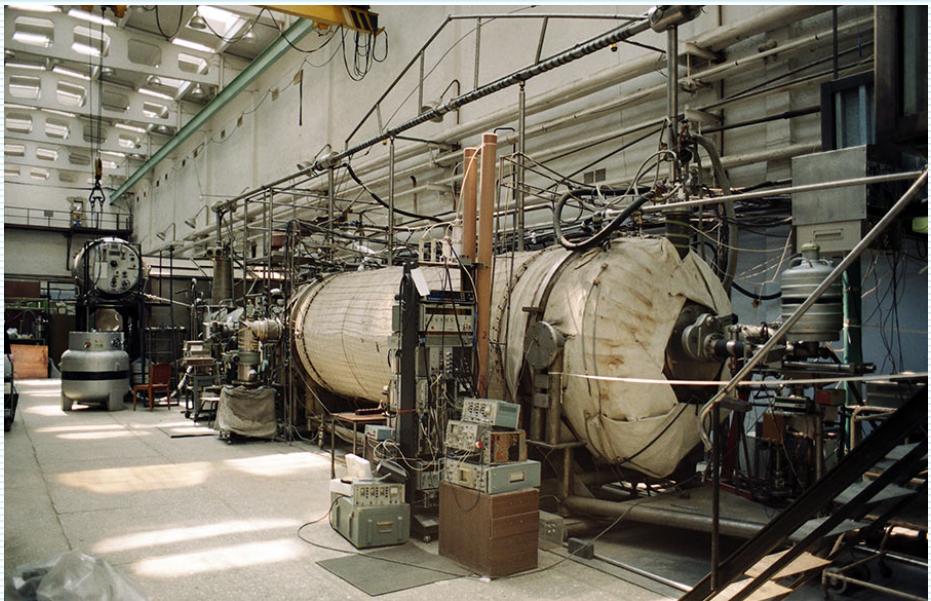
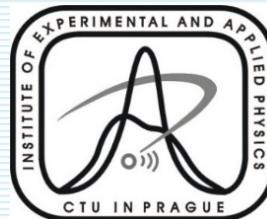
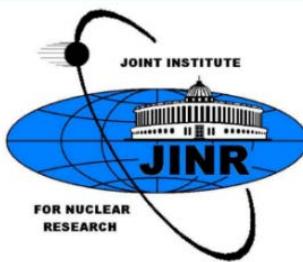


*Юбилейная научная конференция  
к 50-летию Института ядерных исследований РАН,  
3-4 декабря 2020 года (онлайн )*



**Лабораторные нейтринные эксперименты**  
**Федор Шимковиц (Fedor Šimkovic)**





*Дорогие друзья!*

*В этот день примите самые теплые поздравления  
от словацких и чешских друзей*

*к 50-летию Института ядерных исследований РАН!*



*Желаем вам, чтобы ваши научный труд был всегда востребован  
и оценен по достоинству, чтобы он приносил вам  
удовлетворение и благополучие.*



*Новых научные достижения, крепкого здоровья,  
жизненной энергии, счастья и благополучия!*

Fedor Simkovic

20



# **OUTLINE**

## **I. *Introduction***

*(Majorana  $\nu$ 's)*

## **II. *Laboratory measurement of $\nu$ -mass***

*(history, Troitsk exp., KATRIN)*

## **III. *$0\nu\beta\beta$ -decay experiments***

*(GERDA, LEGEND, AMoRE )*

## **IV. *$0\nu\beta\beta$ -decay theory***

*( $\nu$ -mass mechanisms, non-standard  $\nu$ -interactions,  
LR-symmetric models)*

## **V. *$0\nu\beta\beta$ -decay NMEs***

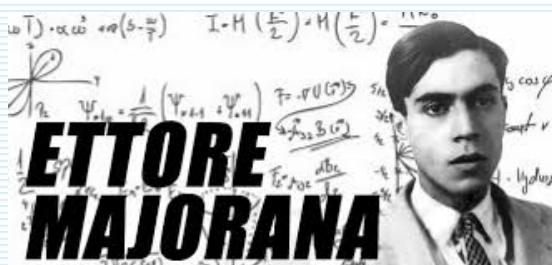
## **VI. *$2\nu\beta\beta$ -decay and quenching of $g_A$***

*(SSD/HSD, exotic contribution to  $2\nu\beta\beta$ -decay rate, etc)*

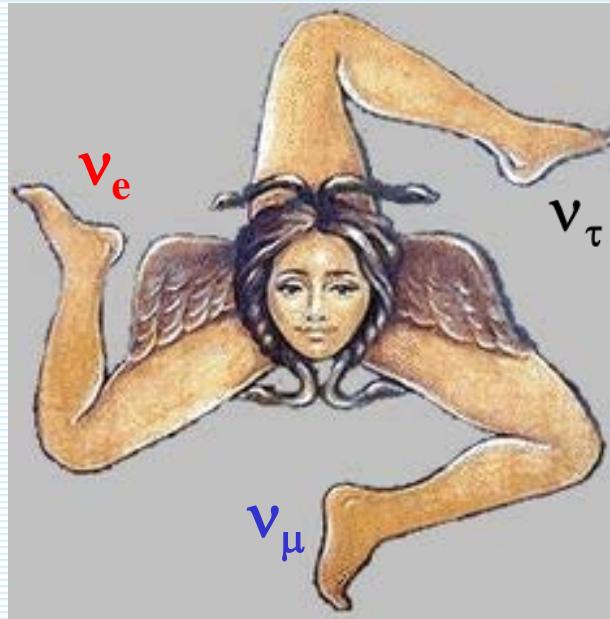
*Acknowledgements: Sergey Troitsky, Igor Tkachev, Vladyslav Trotskyi, Albert Gangapshev, Vladimir Kazalov*

After 90/64 years  
we know

- 3 families of light (V-A) neutrinos:  
 $\nu_e, \nu_\mu, \nu_\tau$
- $\nu$  are massive:  
we know mass squared differences
- relation between flavor states and mass states (neutrino mixing)



## Fundamental $\nu$ properties

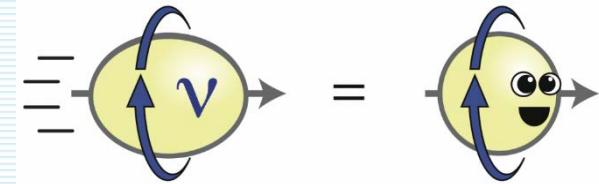


No answer yet

- Are  $\nu$  Dirac or Majorana?
- Is there a CP violation in  $\nu$  sector?
- Are neutrinos stable?
- What is the magnetic moment of  $\nu$ ?
- Sterile neutrinos?
- Statistical properties of  $\nu$ ? Fermionic or partly bosonic?

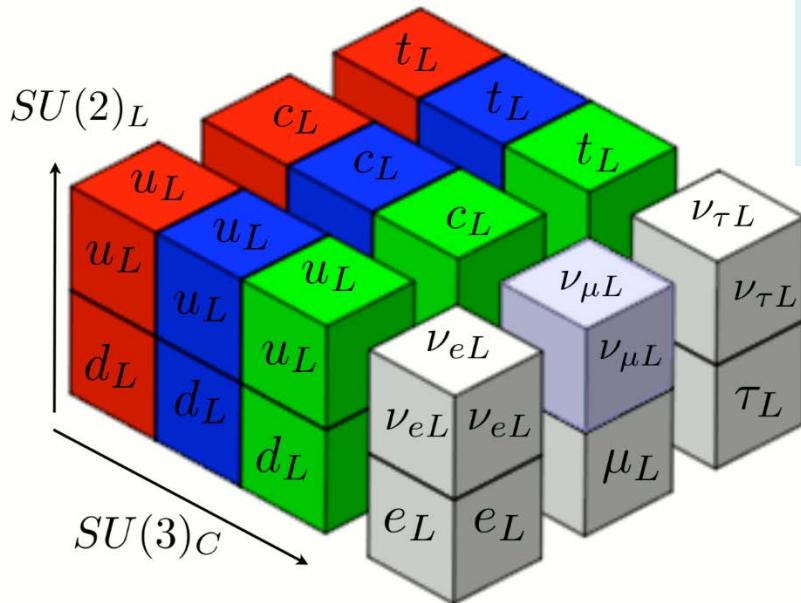
Currently main issue

Nature, Mass hierarchy,  
CP-properties, sterile  $\nu$



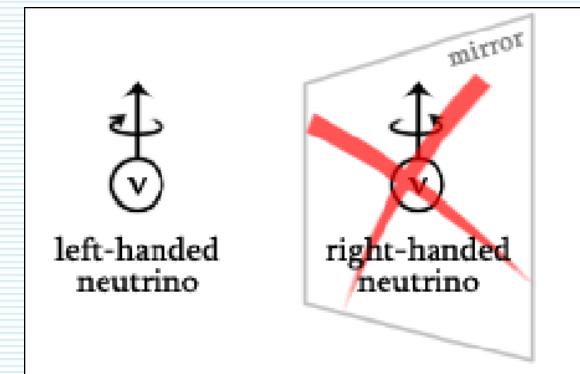
The observation of neutrino oscillations has opened a new excited era in neutrino physics and represents a big step forward in our knowledge of neutrino properties

## Standard Model (an astonishing successful theory, based on few principles)



### Neutrino is a special particle in SM:

- It is the only fermion that does not carry electric charge (like bosons  $\gamma, g, H^0$ ) !
- In the SM, the only left-handed neutrinos  $\nu_L$  appears in the theory.
- One cannot obtain a mass for  $\nu_L$  with any renormalizable coupling with the Higgs fields through SSB.



However, we know that  $\nu$ 's do have mass from the  $\nu$ -oscillation experiments!  
 => Thus the neutrino mass indicates that there is something new = **BSM physics!**



## MESONIUM AND ANTIMESONIUM

B. PONTECORVO

Joint Institute for Nuclear Research

Submitted to JETP editor May 23, 1957

J. Exptl. Theoret. Phys. (U.S.S.R.) 33, 549-551 (August, 1957)

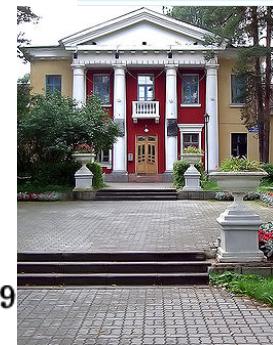
## INVERSE BETA PROCESSES AND NONCONSERVATION OF LEPTON CHARGE

B. PONTECORVO

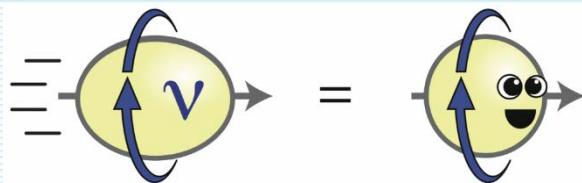
Joint Institute for Nuclear Research

Submitted to JETP editor October 19, 1957

J. Exptl. Theoret. Phys. (U.S.S.R.) 34, 247-249  
(January, 1958)



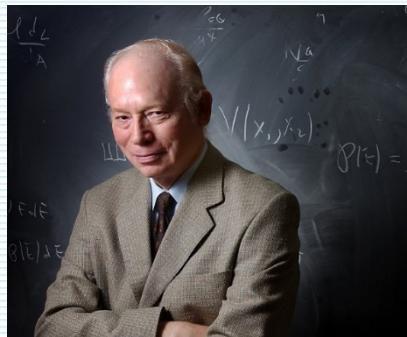
$\nu \leftrightarrow \bar{\nu}$  oscillation  
(neutrinos are Majorana particles)



It follows from the above assumptions that in vacuum a neutrino can be transformed into an antineutrino and vice versa. This means that the neutrino and antineutrino are “mixed” particles, i.e., a symmetric and antisymmetric combination of two truly neutral Majorana particles  $\nu_1$  and  $\nu_2$  of different combined parity.<sup>5</sup>

1968 Gribov, Pontecorvo [PLB 28(1969) 493]  
oscillations of neutrinos - a solution  
of deficit of solar neutrinos in Homestake exp.





S. Weinberg

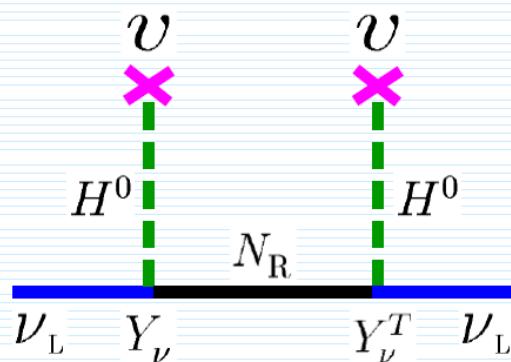
$$\mathcal{L}_5^{eff} = -\frac{1}{\Lambda} \sum_{l_1 l_2} \left( \bar{\Psi}_{l_1 L}^{lep} \tilde{\Phi} \right) \acute{Y}_{l_1 l_2} \left( \tilde{\Phi}^T (\Psi_{l_2 L}^{lep})^c \right)$$

$$m_i = \frac{v}{\Lambda} (y_i v), \quad i = 1, 2, 3$$

$\Lambda \geq 10^{15}$  GeV

## dimension-5 effective Weinberg operator (1979)

thought massless back in 1979. Weinberg does not take credit for predicting neutrino masses, but he thinks it's the right interpretation. What's more, he says, the non-renormalisable interaction that produces the neutrino masses is probably also accompanied with non-renormalisable interactions that produce proton decay and other things that haven't been observed, such as violation of baryon-number conservations. "We don't know anything about the details of those terms, but I'll swear they are there."



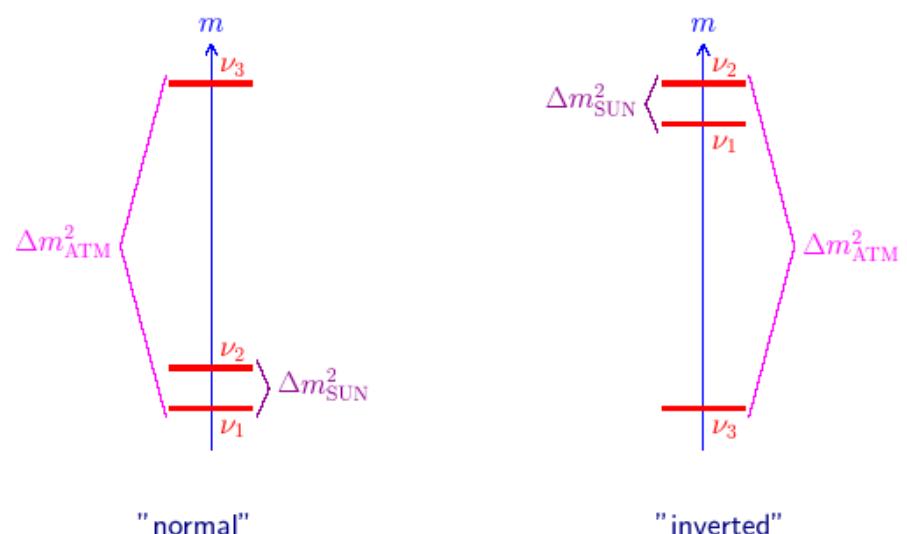
The three Majorana neutrino masses are suppressed by the ratio of the electroweak scale and a scale of a lepton-number violating (new) physics.

# Neutrinos mass spectrum

## $0\nu\beta\beta$ Measurements

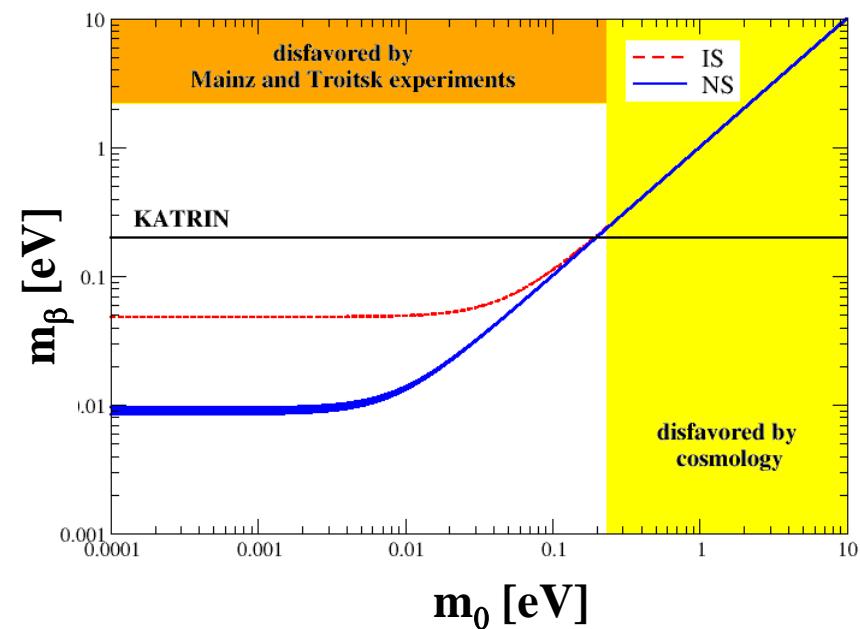
$$m_{\beta\beta} =$$

$$\left| c_{13}^2 c_{12}^2 e^{i\alpha_1} m_1 + c_{13}^2 s_{12}^2 e^{i\alpha_2} m_2 + s_{13}^2 m_3 \right|$$



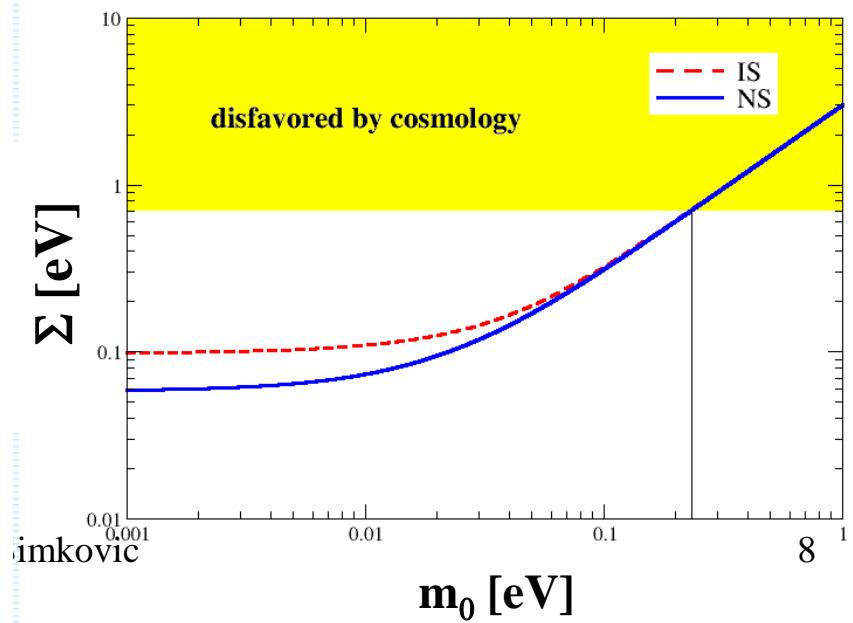
## Beta Decay Measurements

$$m_\beta = \sqrt{c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2}$$



## Cosmological Measurements

$$\Sigma = m_1 + m_2 + m_3$$



# Laboratory measurement of $\nu$ -mass with beta decay

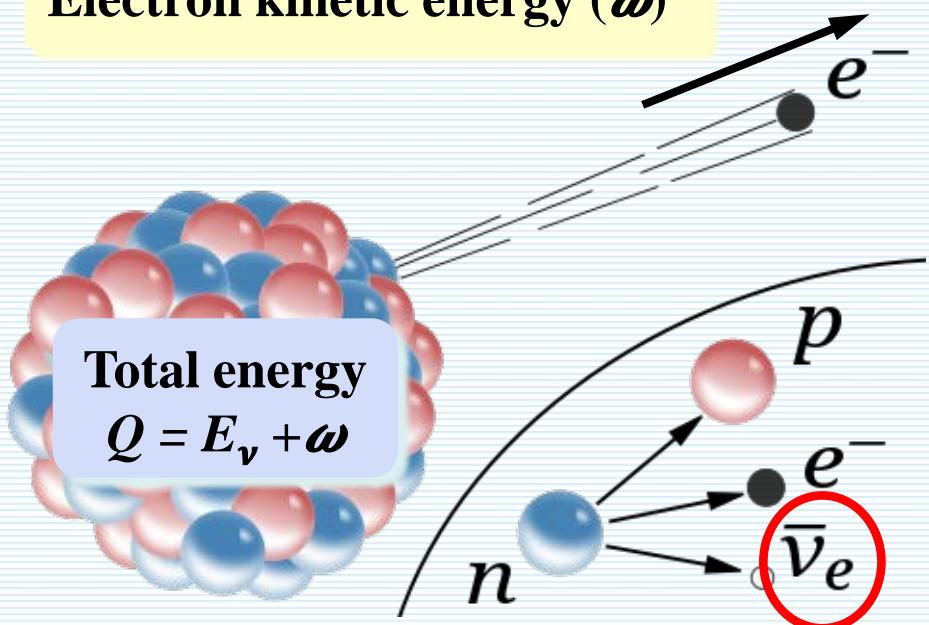
Beta decay ( ${}^3\text{H}$ ,  ${}^{187}\text{Re}$ ,  ${}^{115}\text{In}$  ...)

Exp: Troitsk, Mainz, Mare, Mibeta,  
KATRIN, Project8

Electron capture ( ${}^{163}\text{Ho}$ )

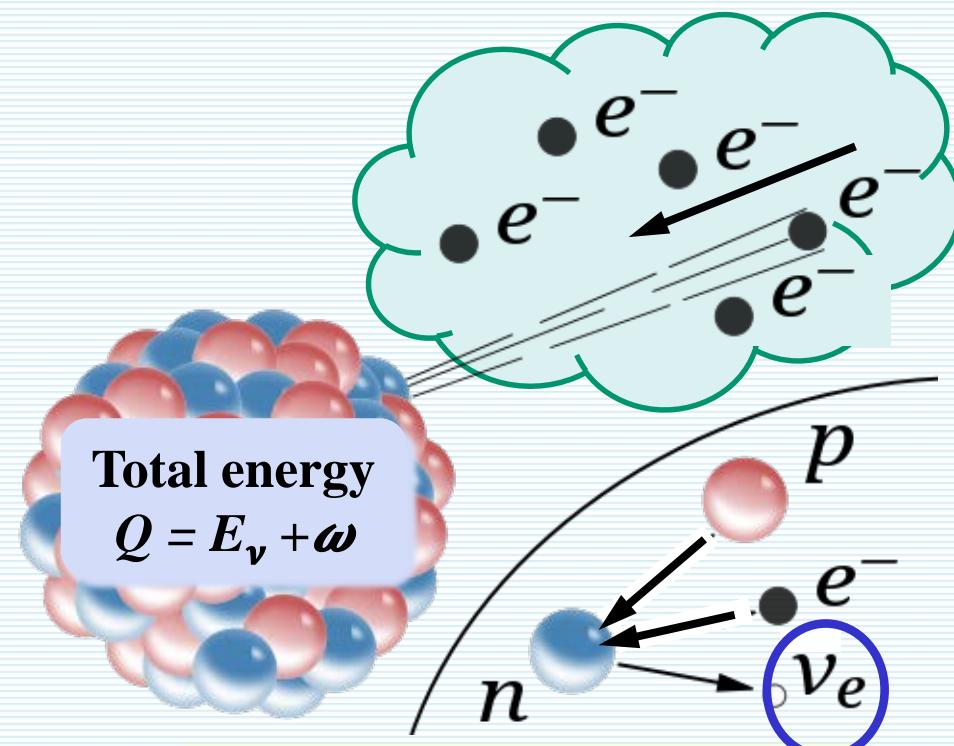
Exp: ECHo, Holmes

Electron kinetic energy ( $\omega$ )



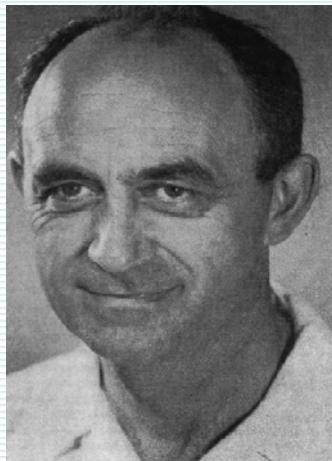
Neutrino kinetic energy ( $E_\nu$ )

Electronic excitations ( $\omega$ )



Neutrino kinetic energy ( $E_\nu$ )

# Fermi: Determine $\nu$ -mass from nuclear $\beta$ -decay



Enrico Fermi,

Z. Physik 88 (1934)

## 7. Die Masse des Neutrinos.

Durch die Übergangswahrscheinlichkeit (32) ist die Form des kontinuierlichen  $\beta$ -Spektrums bestimmt. Wir wollen zuerst diskutieren, wie diese Form von der Ruhemasse  $\mu$  des Neutrinos abhängt, um von einem Vergleich mit den empirischen Kurven diese Konstante zu bestimmen. Die Masse  $\mu$  ist in dem Faktor  $p_o^2/v_\sigma$  enthalten. Die Abhängigkeit der Form der Energieverteilungskurve von  $\mu$  ist am meisten ausgeprägt in der Nähe des Endpunktes der Verteilungskurve. Ist  $E_0$  die Grenzenergie der  $\beta$ -Strahlen, so sieht

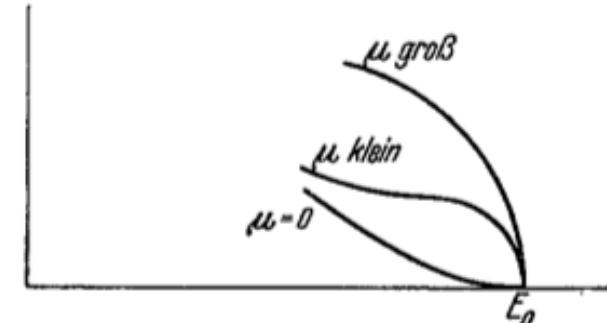
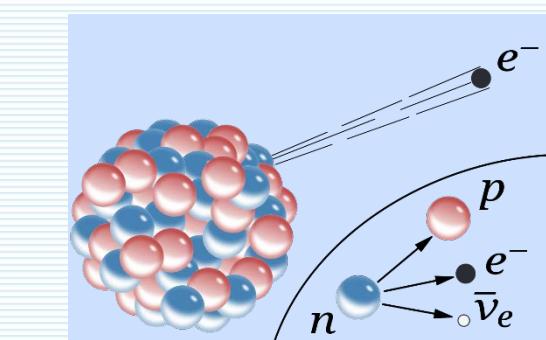
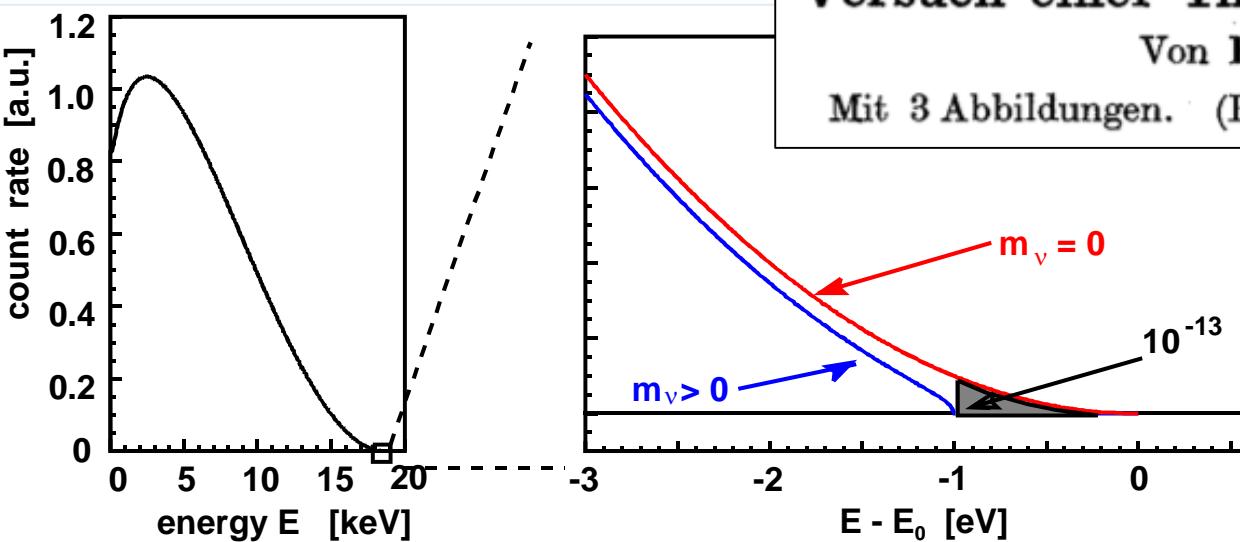


Fig. 1.

## Versuch einer Theorie der $\beta$ -Strahlen. I<sup>1)</sup>.

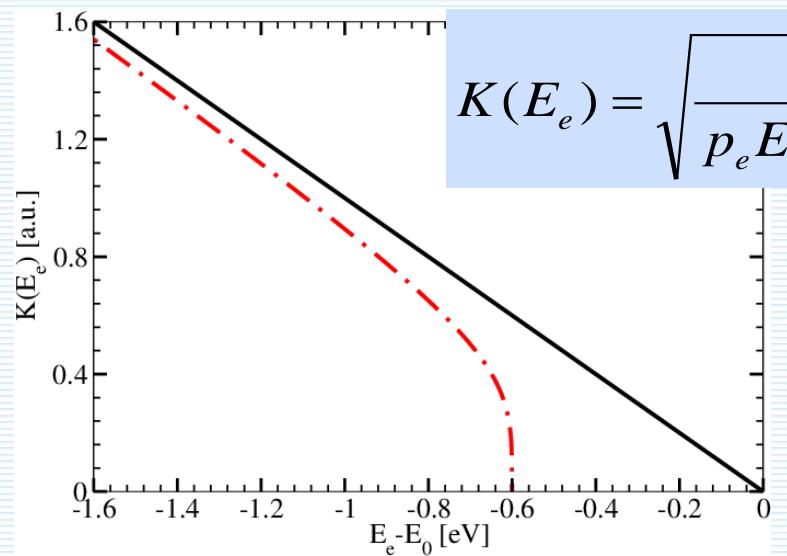
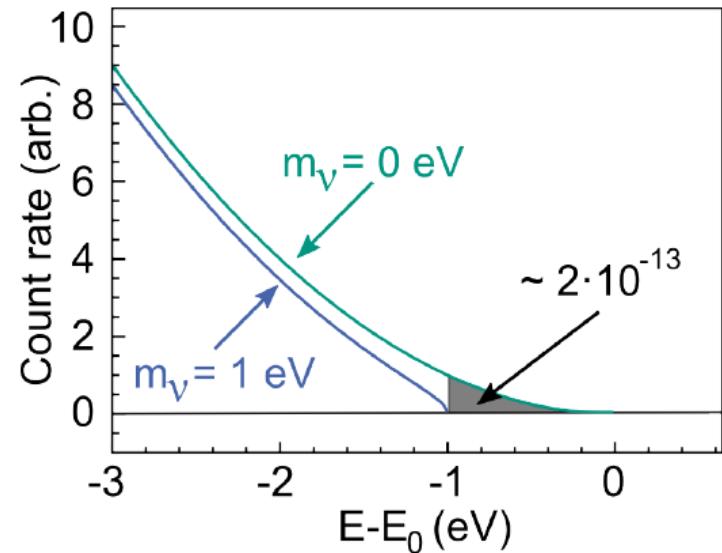
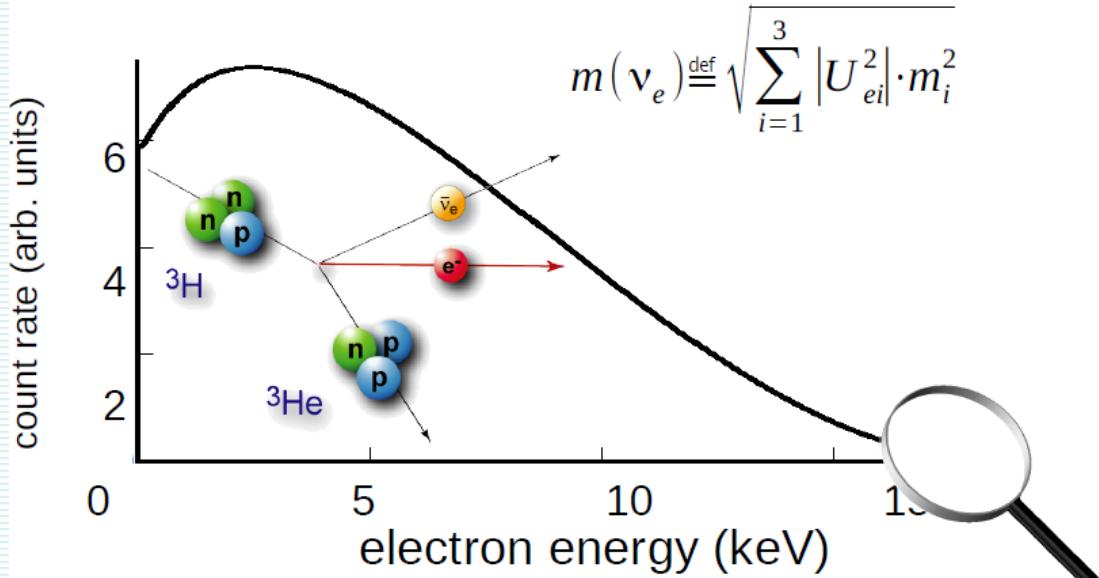
Von E. Fermi in Rom.

Mit 3 Abbildungen. (Eingegangen am 16. Januar 1934.)



# Spectrum shape at $m_\nu > 0$

$$\frac{d\Gamma}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sum_{i=1}^3 |U_{ei}^2| \cdot \sqrt{(E_0 - E)^2 - m_{\nu_i}^2} \cdot F(E, Z) \cdot \theta(E_0 - E - m_{\nu_i})$$



The advantage of Kurie plot is that non-linearity implies non-zero  $\nu$ -mass.

Franz Newell Devereux Kurie



# 1948: First experiment with tritium

$$T \rightarrow {}^3He + e^- + 18,6 \text{ KeV}$$



Бруно Понтекорво

$m_\nu < 1 \text{ кэВ}/c^2$

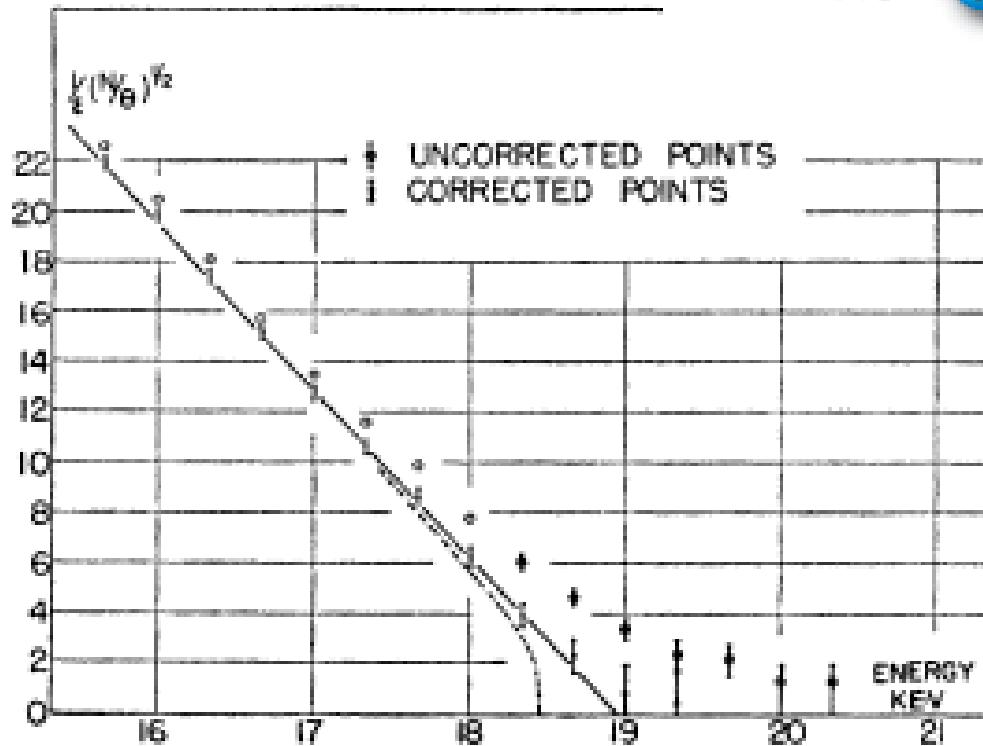
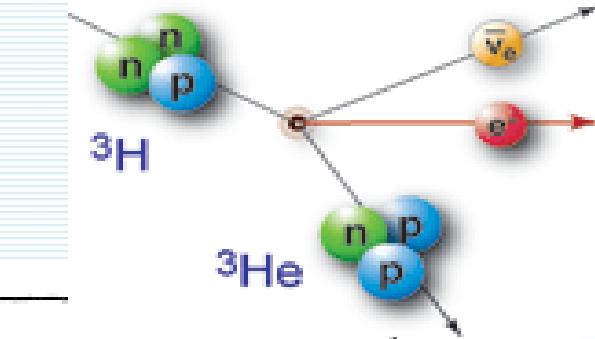


FIG. 2. "Kurie" plot of the end of the  $H^3$  spectrum. The theoretical curve (shown dotted) corresponding to a finite neutrino mass of 500 ev (or 1 kev — see text) has been included for comparison.

Hanna G.C. and Pontecorvo B., Phys. Rev. 75 (1949) 983

Curran S C, Angus J, and Cockcroft A, Phys. Rev. 76 (1949) 853

# Estimation of the neutrino rest mass from measurements of the tritium $\beta$ spectrum

V. A. Lyubimov, E. G. Novikov, V. Z. Nozik, E. F. Tret'yakov, V. S. Kozik, and N. F. Myasoedov

Е.Ф.Третьяков



В.А. Любимов



## Toroidal spectrometer

$14 < m_{ve} < 46 \text{ eV}$   
Closed Universe ?!

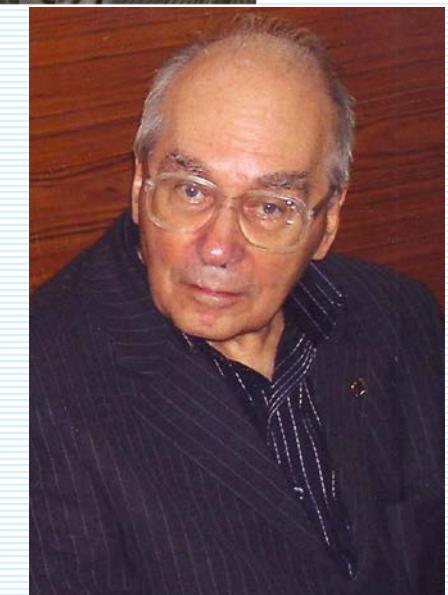
## “Troitsk v-mass” - Founders

Spectrometer resolution and  
Tritium source size  
became decoupled by an  
Electrostatic Spectrometer with  
Adiabatic Magnetic Collimation  
(MAC-E Filter)



Peter Spivak

V.M. Lobashev, P.E. Spivak,  
Nucl. Instr. Meth. A240 (1985) 305

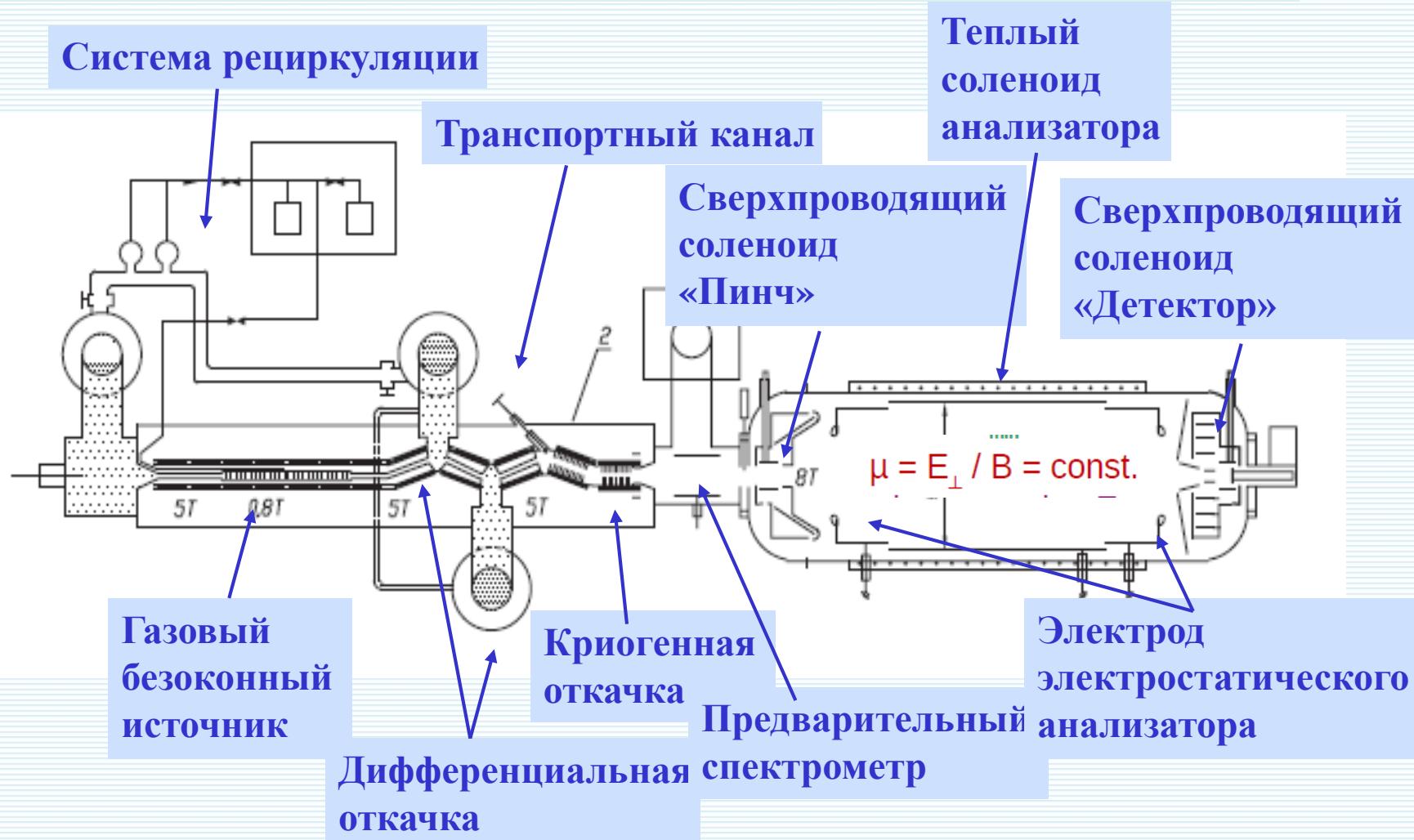


Vladimir Lobashev

High spectrometer resolution does  
not depend on the size of the source

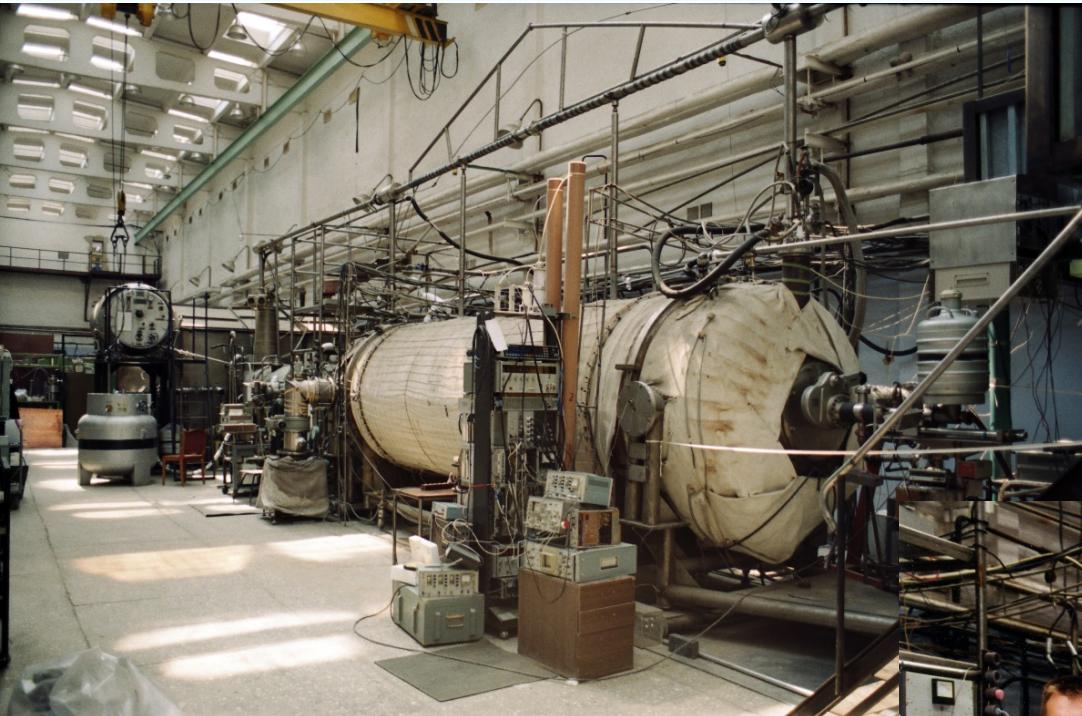
## Установка «Троицк v-масс»

Charged particle in a slowly varying magnetic field moves *adiabatically*.



*In the transition into weaker magnetic field velocity vectors are aligned along the magnetic field direction.*

# Установка «Троицк ню-масс



Первые данные  
опубликованы в 1994 г:

*Paper presented at XXVII Int. Conf. on High Energy Physics.  
Glasgow, UK, 20–27 July 1994*

Завершен набор данных в 2003г:  
V.M.Lobashev Nucl.Phys. A719 (2003) 153e

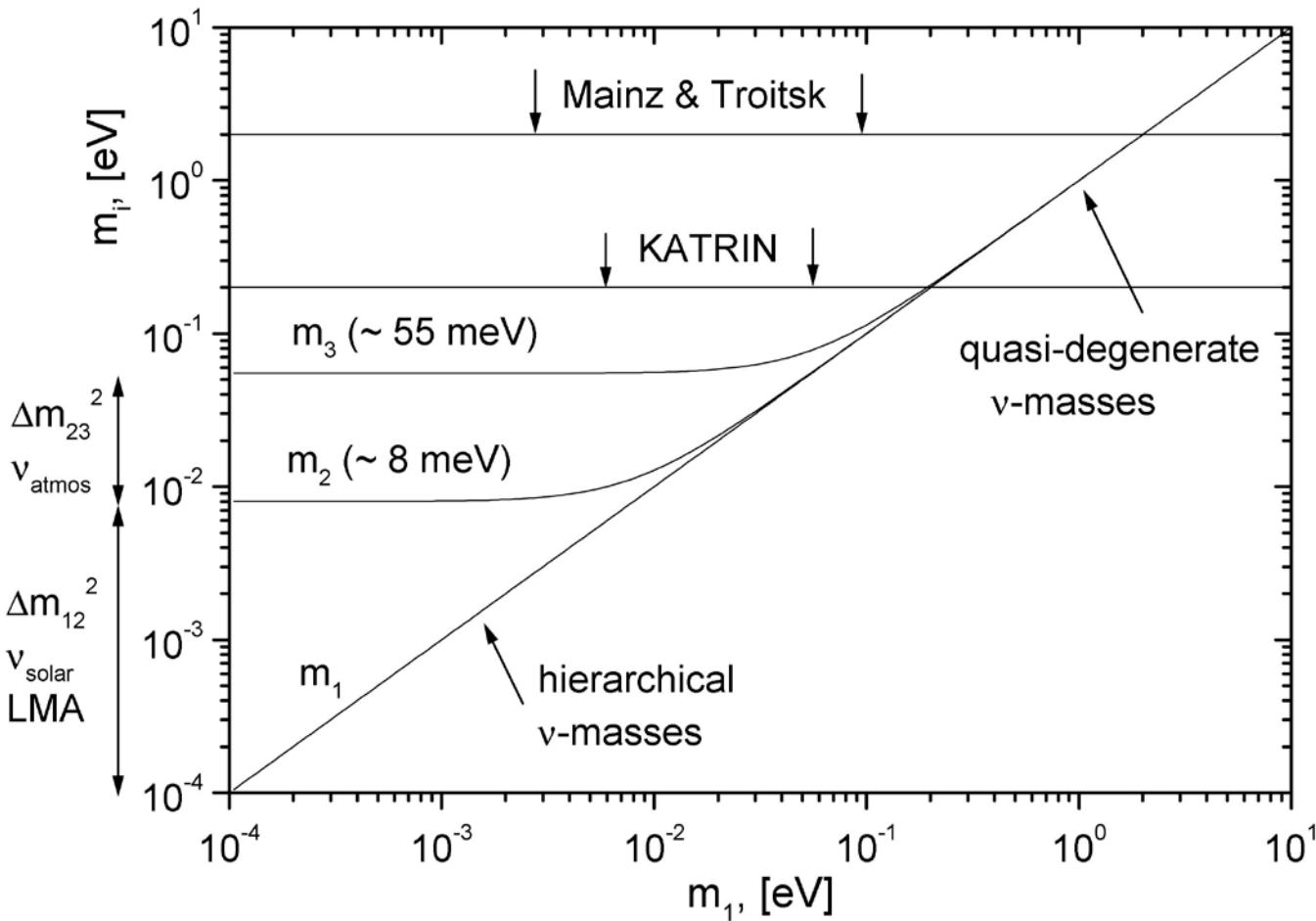
$m_\nu < 2.05 \text{ eV}^2$  (95% C.L.)

Спектрометр  
длина 6,5 м  
диаметр электрода 1,2 м  
разрешение 3,7 эВ  
Диаметр источника 20 мм  
толщина  $1 \cdot 10^{17}$  мол/см<sup>2</sup>,  
активность 0,3 GBk (8 mCi)



## A new challenge $\approx 1998$

Mainz and Troitsk reached their sensitivity limits but it is desirable and possible to improve neutrino mass limit by one more order of magnitude



Confirm or excludes  
quasi-degenerate  
mass regime

Test  
cosmological  
neutrino mass  
limit

# KATRIN Collaboration

Institute for Nuclear Research of Russian Academy of Sciences Moscow, Russia

O. Kazachenko, V. M. Lobashev\*, A. Likhov, A. Skasyrskaya,  
N. Titov, I. Tkachev, S. Zadorozhny

## KATRIN

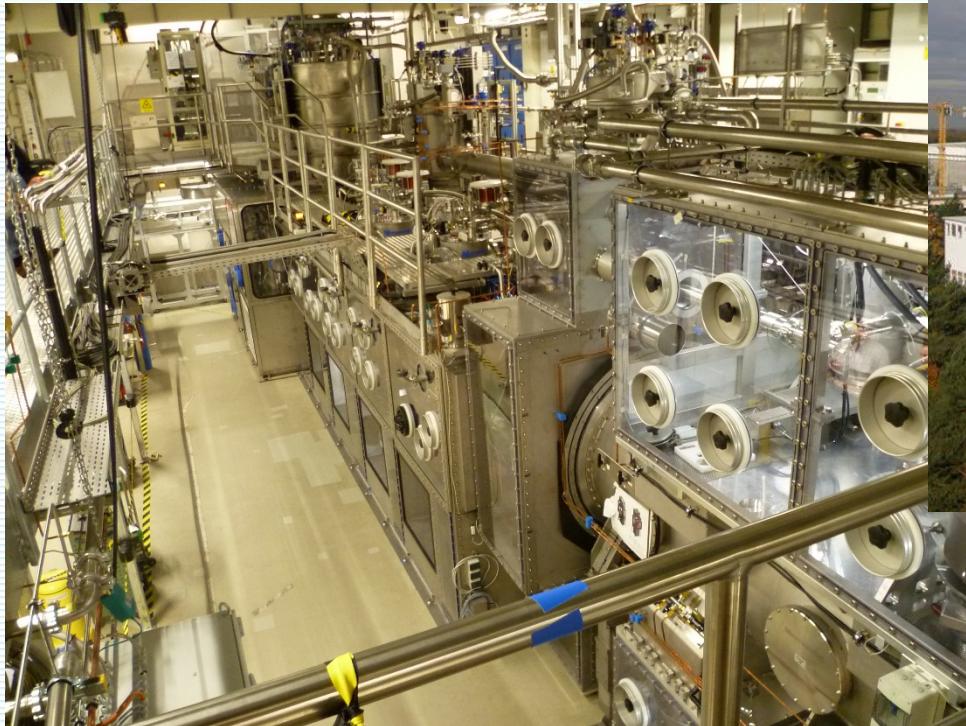
- Experimental site: Karlsruhe Institute of Technology (KIT)
- International Collaboration (150 members)
- Design sensitivity: 0.2 eV (90% CL)  
(1000 days of measurement time)



# Karlsruhe Institute for technology (former Forschungszentrum Karlsruhe)

Tritium laboratory with license for 40g of Tritium  
 $(3,6 \cdot 10^{14} \text{ Bq} = 10 \text{ kCi})$

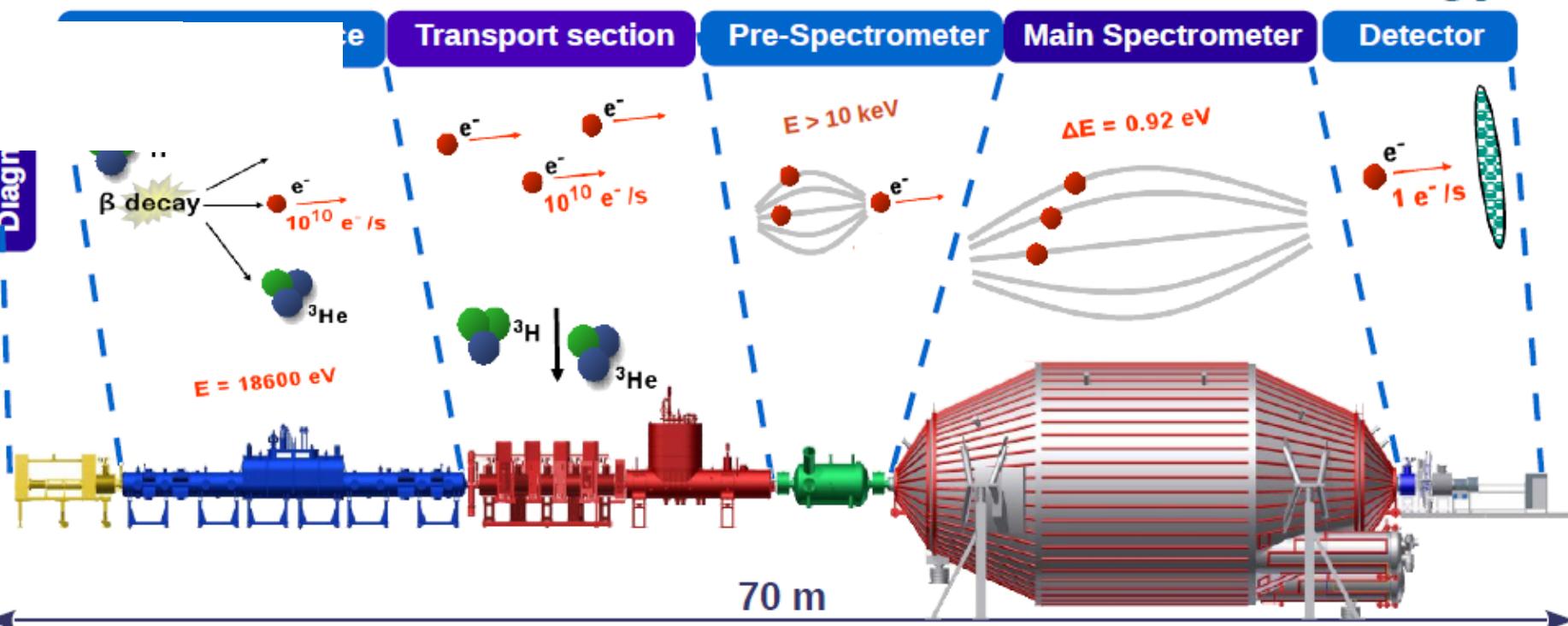
Project started at 2001



First Tritium in  
the set-up - 2018

# The KATRIN experiment at Karlsruhe Institute of Technology

Diagram



for Simkovic

# Relativistic approach to $^3H$ decay nuclear recoil (3.4 eV) taken into account

## Standard approach

- non-relativistic nuclear w.f.
- nuclear recoil neglected
- phase space analysis

$$E_e^{\max} = M_i - M_f - m_\nu$$

$$\frac{d\Gamma}{dT} = \frac{(\cos\theta_C G_F)^2}{2\pi^3} |\mathcal{M}|^2 F(E) p E (Q - T) \sqrt{(Q - T)^2 - m_{\nu_e}^2}$$

## Relativistic EPT approach (Primakoff)

- Analogy with n-decay  
 $(^3H, ^3He) \leftrightarrow (n, p)$
- nuclear recoil of 3.4 eV by  $E_e^{\max}$
- relevant only phase space

$$E_e^{\max} = \frac{1}{2M_f} [M_i^2 + m_e^2 - (M_f^2 - m_\nu^2)]$$



### Numerics:

Practically the same dependence  
of Kurie function on  $m_\nu$  for  $E_e \approx E_e^{\max}$

$$\begin{aligned}
 \frac{d\Gamma}{dE_e} &= \frac{1}{(\pi)^3} (G_F \cos \theta_c)^2 F(Z, E_e) p_e \\
 &\times \frac{M_i^2}{(m_{12})^2} \sqrt{y \left( y + 2m_\nu \frac{M_f}{M_i} \right)} \\
 &\times \left[ (g_V + g_A)^2 y \left( y + m_\nu \frac{M_f}{M_i} \right) \frac{M_i^2 (E_e^2 - m_e^2)}{3(m_{12})^4} \right. \\
 &\quad \underline{(g_V + g_A)^2 (y + m_\nu \frac{M_f + m_\nu}{M_i}) \frac{(M_i E_e - m_e^2)}{m_{12}^2}} \\
 &\quad \times (y + M_f \frac{M_f + m_\nu}{M_i}) \frac{(M_i^2 - M_i E_e)}{m_{12}^2} \\
 &\quad - (g_V^2 - g_A^2) M_f \left( y + m_\nu \frac{(M_f + M_\nu)}{M_i} \right) \\
 &\quad \times \frac{(M_i E_e - m_e^2)}{(m_{12})^2} \\
 &\quad \left. + (g_V - g_A)^2 E_e \left( y + m_\nu \frac{M_f}{M_i} \right) \right]
 \end{aligned}$$

$$\begin{aligned}
 y &= E_e^{\max} - E_e \\
 (m_{12})^2 &= M_i^2 - 2M_i E_e + m_e^2
 \end{aligned}$$

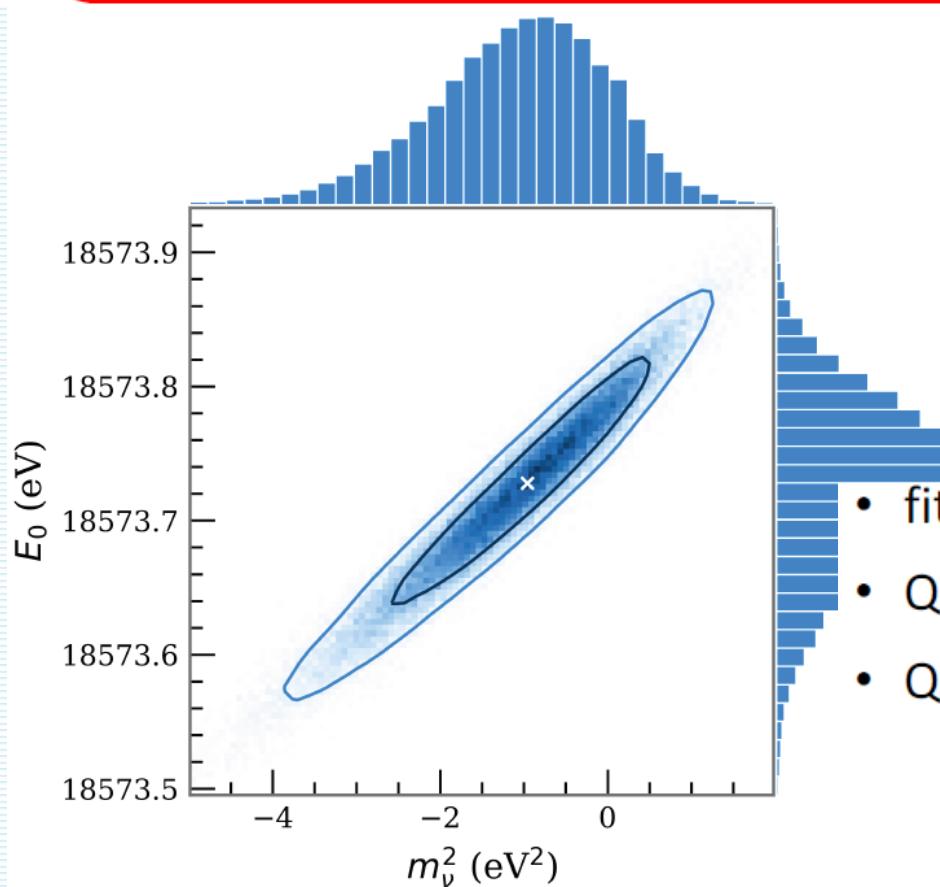
Igor Simkovic

F.Š., R. Dvornický, A. Faessler,  
PRC 77 (2008) 055502

$$m^2(\nu_e) = -1.0^{+0.9}_{-1.1} \text{ eV}^2 \quad (90\% \text{ C.L.})$$

→  $m(\nu_e) < 1.1 \text{ eV}$  at 90% CL (Lokhov-Tchakev)

→  $m(\nu_e) < 0.8 \text{ eV}$  (0.9 eV) at 90% (95%) CL (Feldman-Cousins)



Two independent analysis methods:

- i) Covariance matrix
  - ii) Monte Carlo propagation
- Both methods agree to a few percent  
2million events in 90-eV wide interval

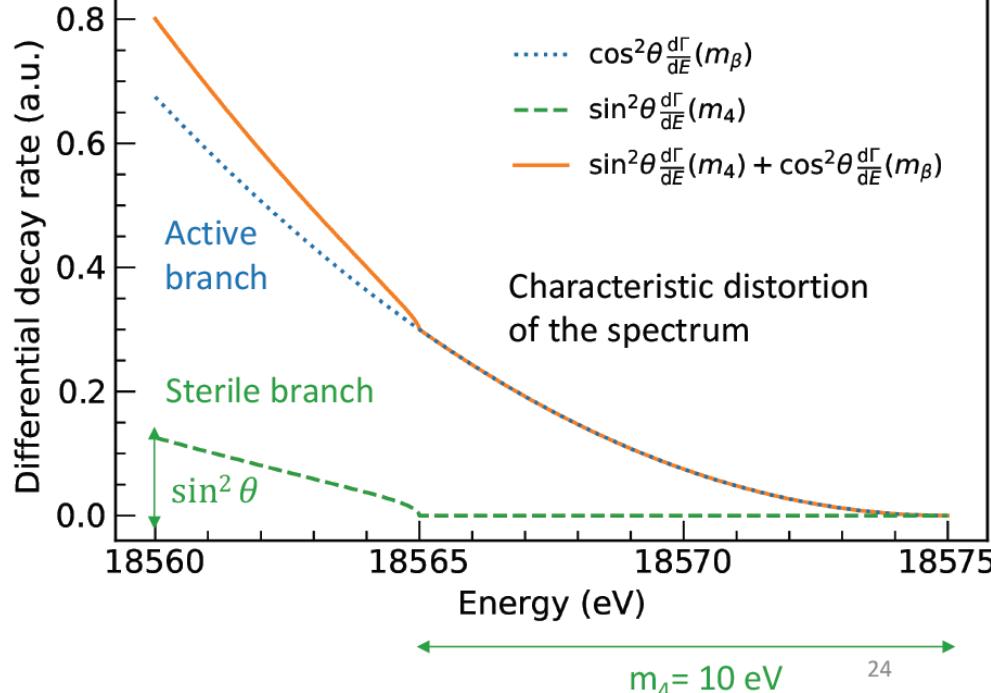
- fitted  $E_0 = 18573.7 \pm 0.1 \text{ eV}$
- Q-value (KATRIN):  $18575.2 \pm 0.5 \text{ eV}$
- Q-value (literature):  $18575.72 \pm 0.07 \text{ eV}$

# Multi-pixel Silicon Detector



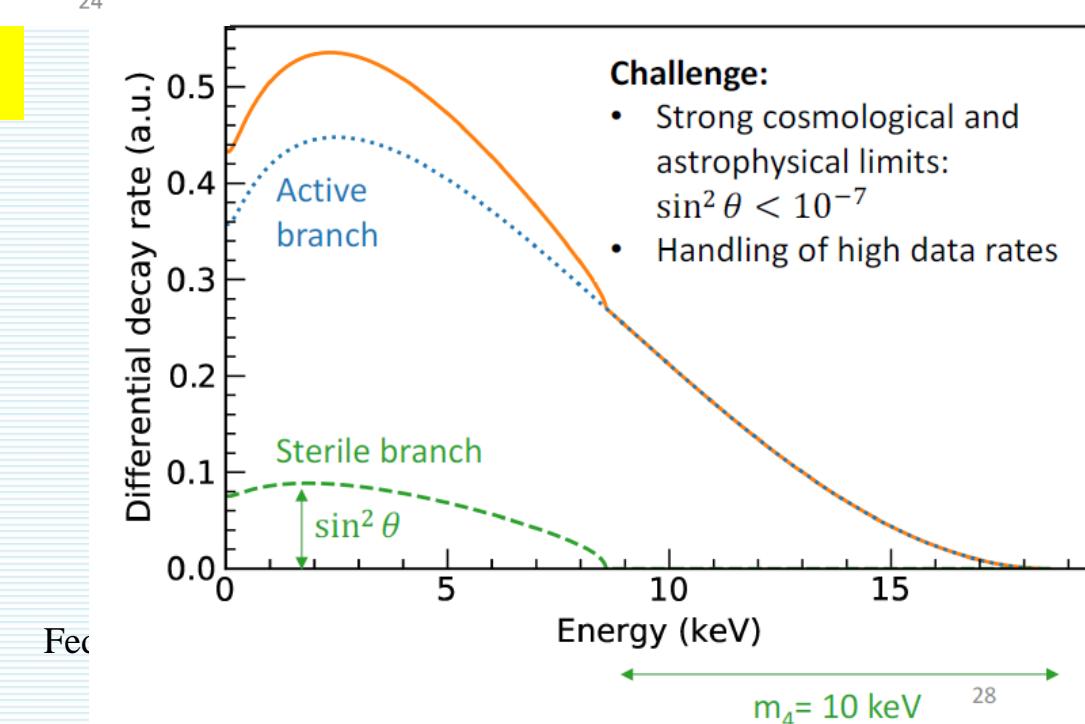
Sensitivity to  
 $\sin^2 \theta < 10^{-6}$

## keV sterile neutrino search



## eV sterile neutrino search

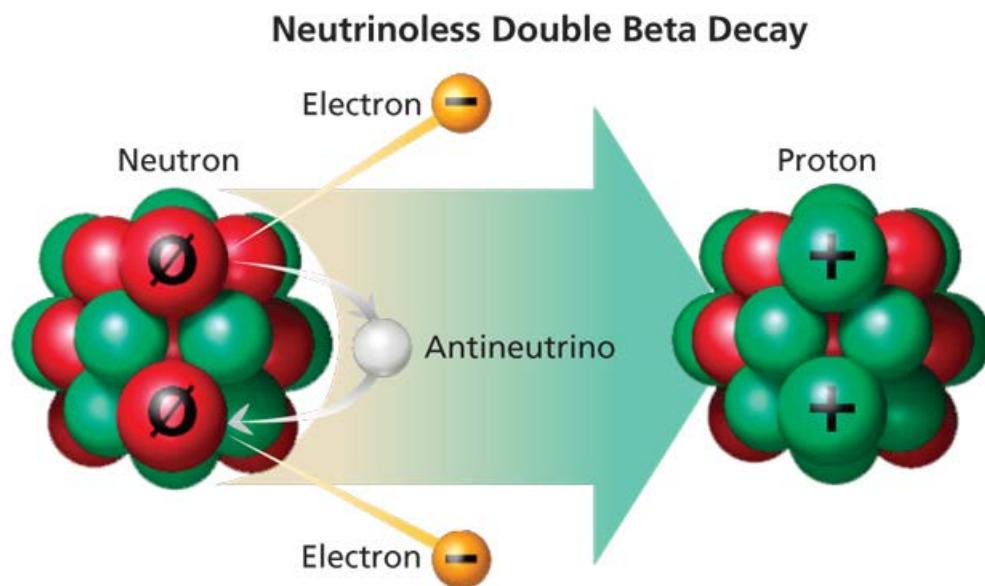
Same set as for  $m(\nu_e)$   
3+1 sterile neutrino model  
grid search in  $m_4, |U_{e4}|^2$  plane



- Challenge:
- Strong cosmological and astrophysical limits:  
 $\sin^2 \theta < 10^{-7}$
  - Handling of high data rates



# ARE NEUTRINOS THEIR OWN ANTI PARTICLES?



# Majorana fermion



[https://en.wikipedia.org/wiki/File:Ettore\\_Majorana.jpg](https://en.wikipedia.org/wiki/File:Ettore_Majorana.jpg)



CNNP 2018, Catania, October 15-21, 2018

## TEORIA SIMMETRICA DELL'ELETTRONE E DEL POSITRONE

Nota di ETTORE MAJORANA

### Symmetric Theory of Electron and Positron Nuovo Cim. 14 (1937) 171

**Sunto.** - Si dimostra la possibilità di pervenire a una piena simmetrizzazione formale della teoria quantistica dell'elettrone e del positrone facendo uso di un nuovo processo di quantizzazione. Il significato delle equazioni di DIRAC ne risulta alquanto modificato e non vi è più luogo a parlare di stati di energia negativa; né a presumere per ogni altro tipo di particelle, particolarmente neutre, l'esistenza di « antiparticelle » corrispondenti ai « vuoti » di energia negativa.

L'interpretazione dei cosiddetti « stati di energia negativa » proposta da DIRAC (<sup>1</sup>) conduce, come è ben noto, a una descrizione sostanzialmente simmetrica degli elettroni e dei positroni. La sostanziale simmetria del formalismo consiste precisamente in questo, che fin dove è possibile applicare la teoria girando le difficoltà di convergenza, essa fornisce realmente risultati del tutto simmetrici. Tuttavia gli artifici sconosciuti non danno alla teoria una forma simmetrica che si accorda sia perché sia perché la simmetria non dà alla teoria una forma simmetrica isfacenti; trica, sia iante tali che possano via che conduce più direttamente alla meta.

Per quanto riguarda gli elettroni e i positroni, da essa si può veramente attendere soltanto un progresso formale; ma ci sembra importante, per le possibili estensioni analogiche, che venga a cadere la nozione stessa di stato di energia negativa. Vedremo infatti che è perfettamente possibile costruire, nella maniera più naturale, una teoria delle particelle neutre elementari senza stati negativi.

Fedor S.

12/4/2020

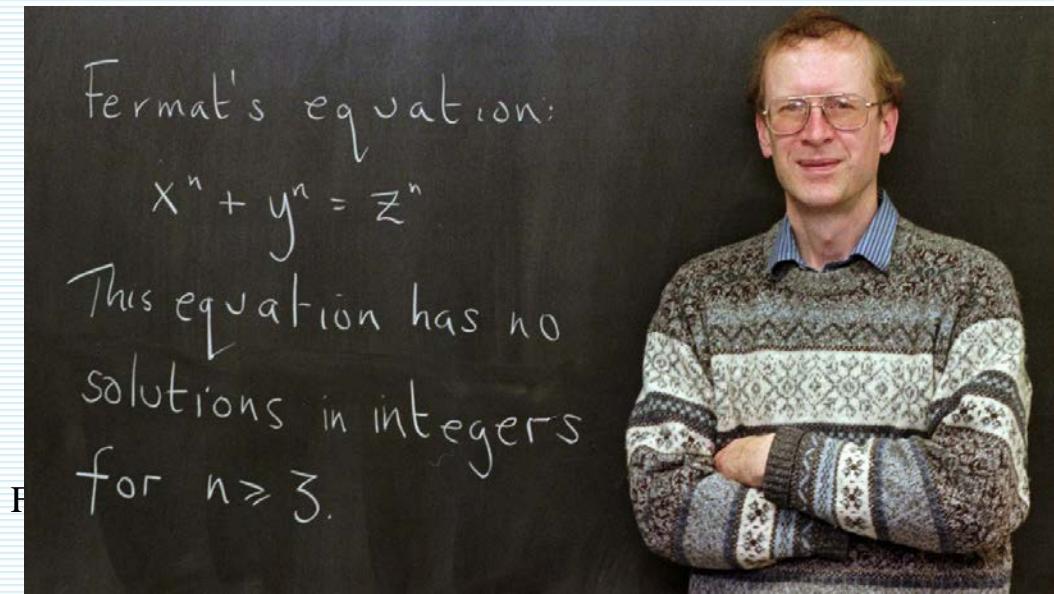
(<sup>1</sup>) P. A. M. DIRAC, « Proc. Camb. Phil. Soc. », **30**, 150, 1924. V. anche W. HEISENBERG, « ZS. f. Phys. », **90**, 209, 1934.



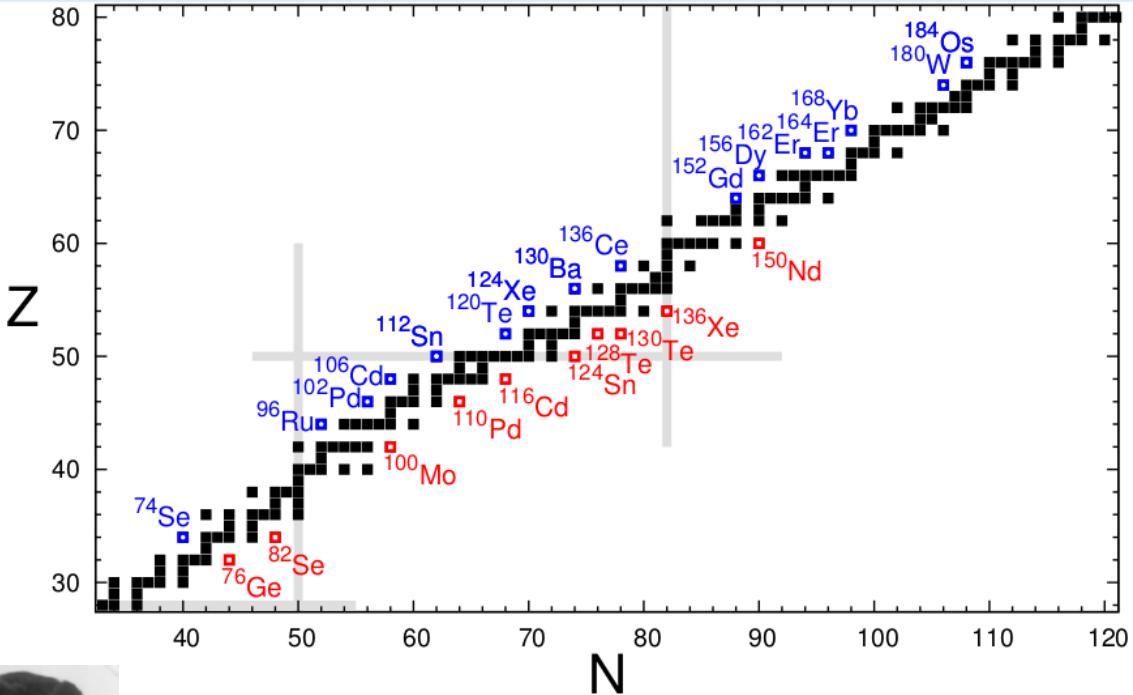
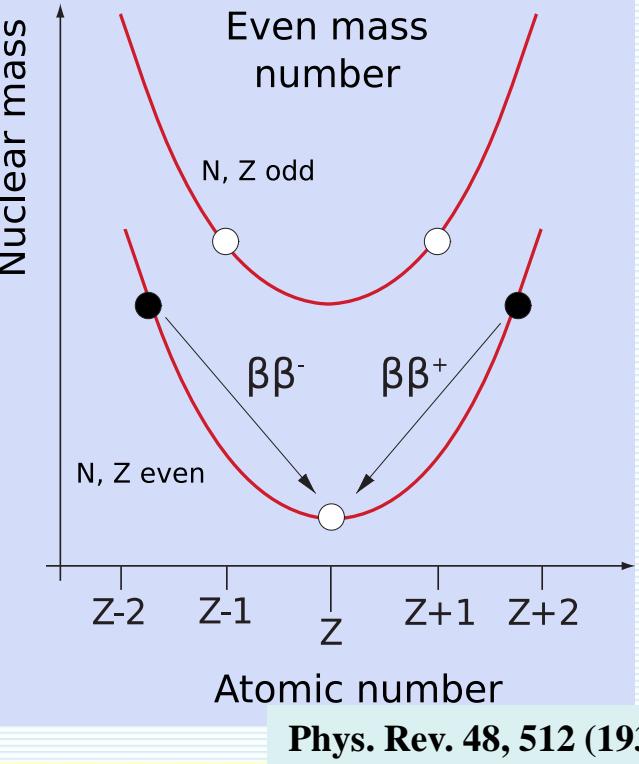
Around 1637, Fermat wrote in the margin of a book that the more general equation  
 $a^n + b^n = c^n$   
had no solutions in positive integers if  $n$  is an integer greater than 2.

After 358 years

The corrected proof was published by Andrew Wiles in 1995.



# Nuclear double- $\beta$ decay (even-even nuclei, pairing int.)



Two-neutrino double- $\beta$  decay – LN conserved  
 $(A, Z) \rightarrow (A, Z+2) + e^- + e^- + \nu_e + \bar{\nu}_e$

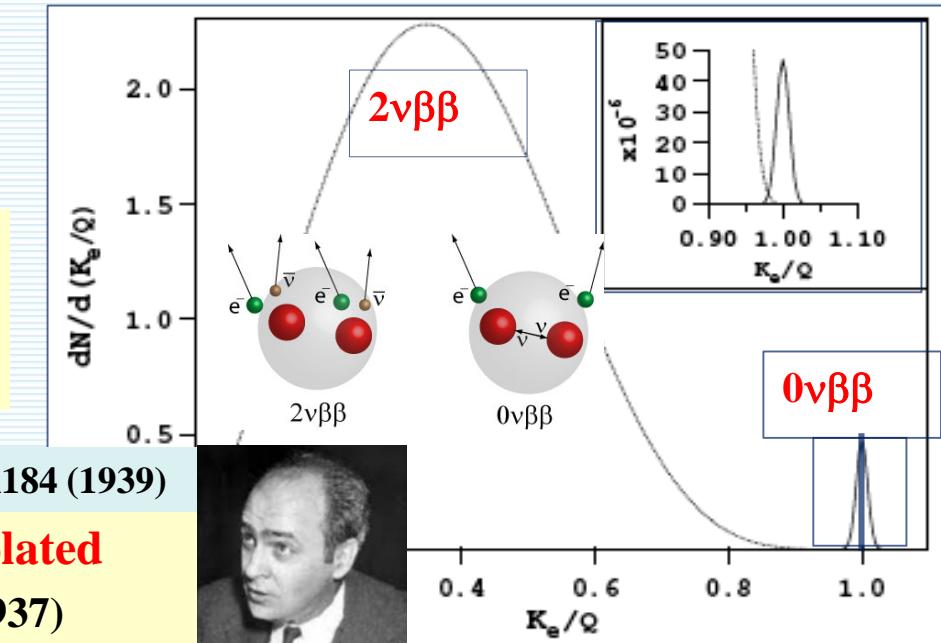
Goeppert-Mayer – 1935. 1<sup>st</sup> observation in 1987



Nuovo Cim. 14, 322 (1937)

Phys. Rev. 56, 1184 (1939)

Neutrinoless double- $\beta$  decay – LN violated  
 $(A, Z) \rightarrow (A, Z+2) + e^- + e^-$  (Furry 1937)  
 Not observed yet. Requires massive Majorana  $\nu$ 's



# 0νββ-decay (traditional picture)

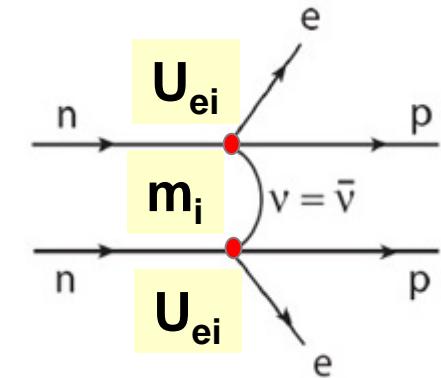
(A,Z) → (A,Z+2) + e<sup>-</sup> + e<sup>-</sup>

$$\left(T_{1/2}^{0\nu}\right)^{-1} = \left|\frac{m_{\beta\beta}}{m_e}\right|^2 g_A^4 |M_\nu^{0\nu}|^2 G^{0\nu}$$

?

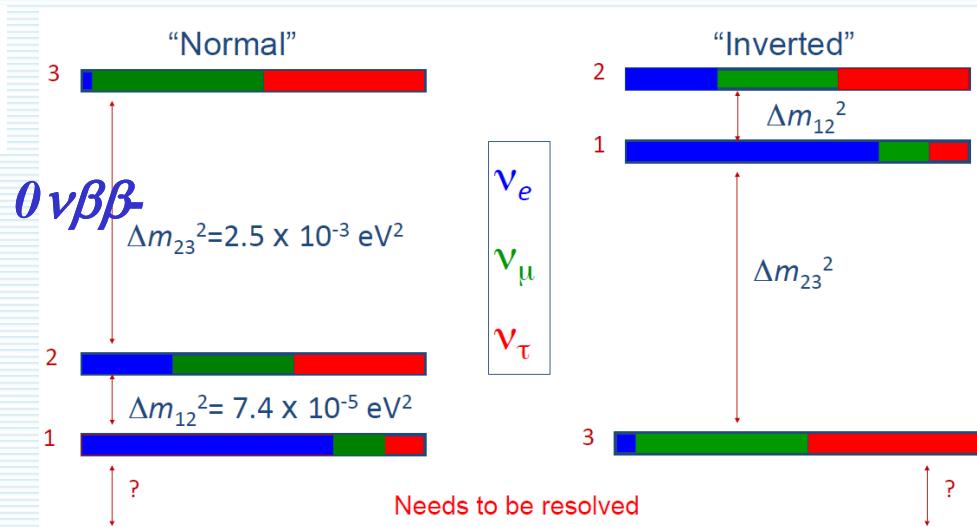
*Phase factor well understood*

*NME must be evaluated using tools of nuclear theory*

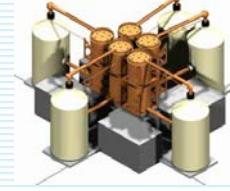


$$m_{\beta\beta} = \left| c_{13}^2 c_{12}^2 e^{i\alpha_1} m_1 + c_{13}^2 s_{12}^2 e^{i\alpha_2} m_2 + s_{13}^2 m_3 \right|$$

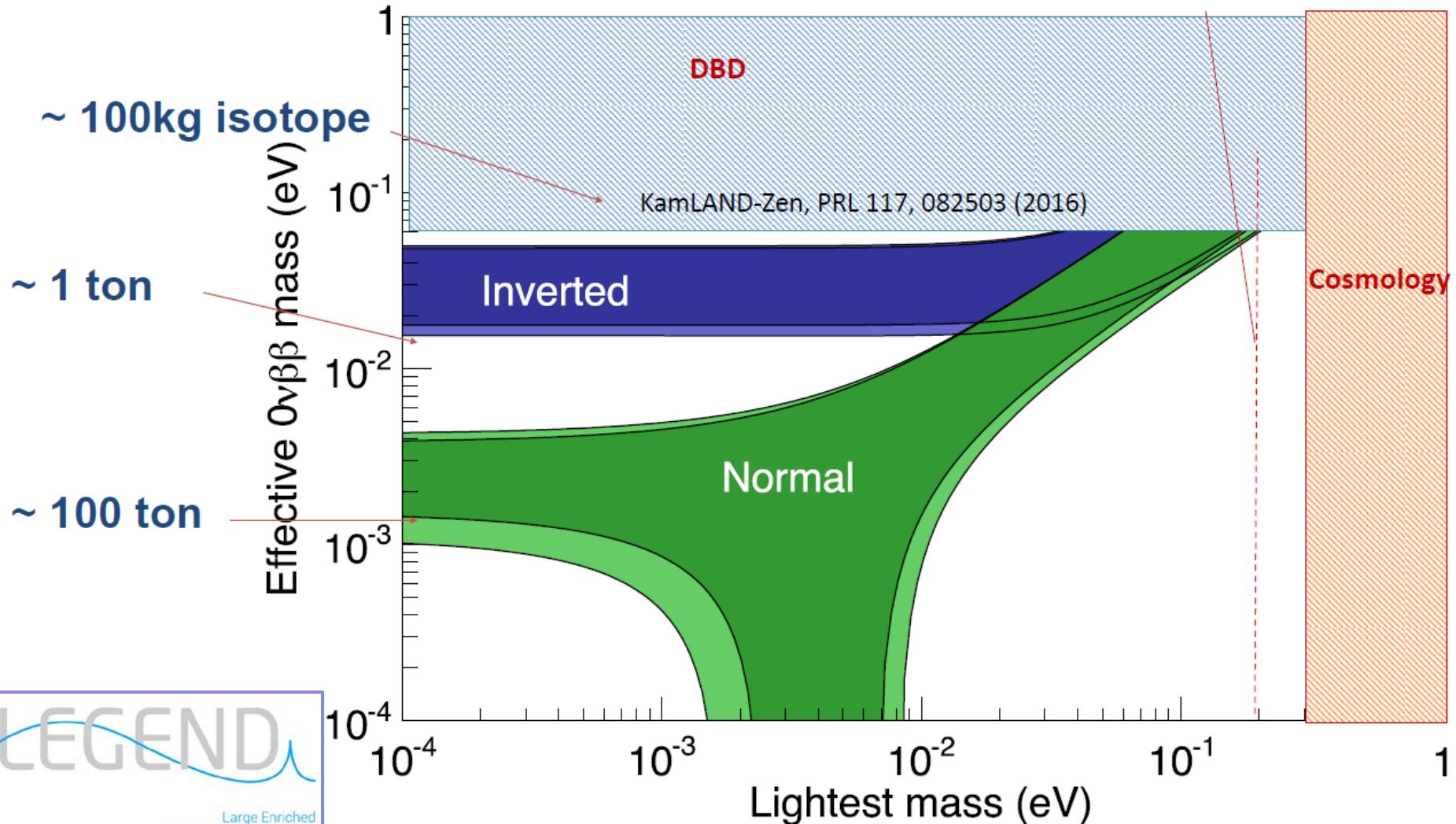
**Effective Majorana mass can be evaluated. It depends on  $m_1, m_2, m_3, \theta_{12}, \theta_{13}, \alpha_1, \alpha_2$**   
 (3 unknown parameters:  $m_1/m_3, \alpha_1, \alpha_2$ )



$$U^{PMNS} = \begin{pmatrix} c_{12}c_{13} & c_{13}s_{12} & e^{-i\delta}s_{13} \\ -c_{23}s_{12} - e^{i\delta}c_{12}s_{13}s_{23} & c_{12}c_{23} - e^{i\delta}s_{12}s_{13}s_{23} & c_{13}s_{23} \\ s_{12}s_{23} - e^{i\delta}c_{12}c_{23}s_{13} & -e^{i\delta}c_{23}s_{12}s_{13} - c_{12}s_{23} & c_{13}c_{23} \end{pmatrix} \begin{pmatrix} e^{i\alpha_1} & 0 & 0 \\ 0 & e^{i\alpha_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



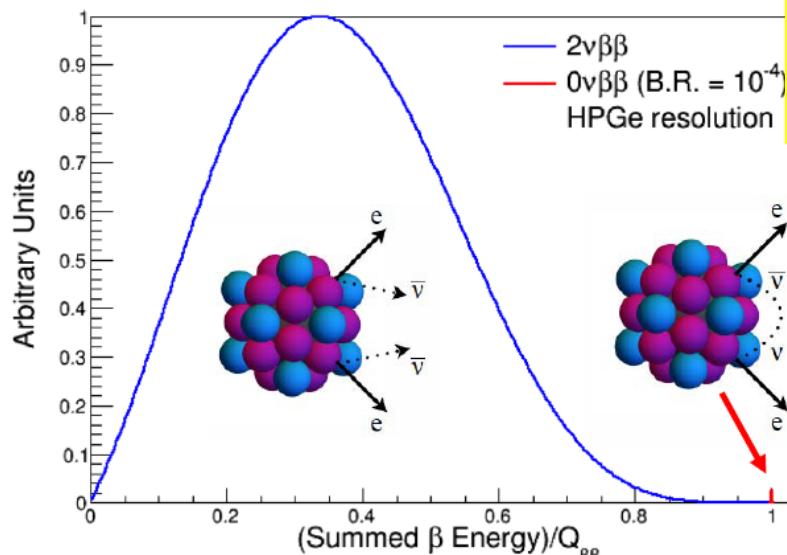
Estimated KATRIN Sensitivity



Collaboration	Isotope	After 83 years ...	mass ( $0\nu\beta\beta$ isotope)	Status
CANDLES	Ca-48	505 kg CaF <sub>2</sub> crystals - liq. scint	0.3 kg	Construction
CARVEL	Ca-48	$^{48}\text{CaWO}_4$ crystal scint.	~ ton	R&D
GERDA I	Ge-76	Ge diodes in LAr	15 kg	Complete
GERDA II	Ge-76	Point contact Ge in LAr	31	Operating
MAJORANA DEMONSTRATOR	Ge-76	Point contact Ge	25 kg	Operating
<b>LEGEND</b>	Ge-76	Point contact with active veto	~ ton	R&D
NEMO3	Mo-100 Se-82	Foils with tracking	6.9 kg 0.9 kg	Complete
SuperNEMO Demonstrator	Se-82	Foils with tracking	7 kg	Construction
SuperNEMO	Se-82	Foils with tracking	100 kg	R&D
LUCIFER (CUPID)	Se-82	ZnSe scint. bolometer	18 kg	R&D
AMoRE	Mo-100	CaMoO <sub>4</sub> scint. bolometer	1.5 - 200 kg	R&D
LUMINEU (CUPID)	Mo-100	ZnMoO <sub>4</sub> / Li <sub>2</sub> MoO <sub>4</sub> scint. bolometer	1.5 - 5 kg	R&D
COBRA	Cd-114,116	CdZnTe detectors	10 kg	R&D
CUORICINO, CUORE-0	Te-130	TeO <sub>2</sub> Bolometer	10 kg, 11 kg	Complete
CUORE	Te-130	TeO <sub>2</sub> Bolometer	206 kg	Operating
CUPID	Te-130	TeO <sub>2</sub> Bolometer & scint.	~ ton	R&D
SNO+	Te-130	0.3% natTe suspended in Scint	160 kg	Construction
EXO200	Xe-136	Xe liquid TPC	79 kg	Operating
nEXO	Xe-136	Xe liquid TPC	~ ton	R&D
KamLAND-Zen (I, II)	Xe-136	2.7% in liquid scint.	380 kg	Complete
KamLAND2-Zen	Xe-136	2.7% in liquid scint.	750 kg	Upgrade
NEXT-NEW	Xe-136	High pressure Xe TPC	5 kg	Operating
NEXT-100	Xe-136	High pressure Xe TPC	100 kg - ton	R&D
PandaX - III	Xe-136	High pressure Xe TPC	~ ton	R&D
DCBA	Nd-150	Nd foils & tracking chambers	20 kg	R&D

I. Barabanov, S. Belogurov, L. Bezrukov, E. Doroshkevich, Gangapshev, V. Gurentsov,  
L.V. Inzhechik, V. Kazalov, V.N. Kornoukhov, B. Lubsandorzhiev, P. Moseev,  
O. Selivanenko, A. Veresnikova, E. Yanovich. Kazachenko, V. M. Lobashev\*, A. Lokhov,  
A. Skasyrskaya, N. Titov, I. Tkachev, S. Zadorozhny

## 0νββ in $^{76}\text{Ge}$

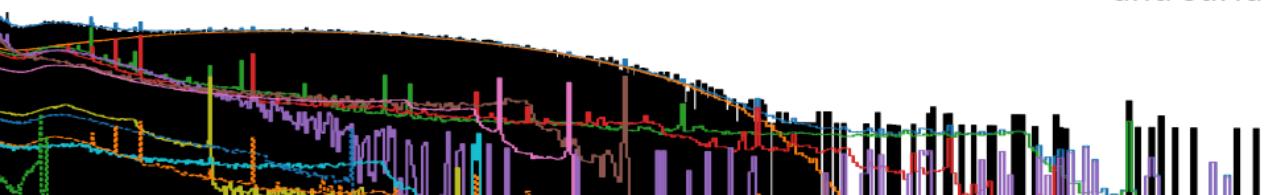


LEGEND

выбор медной внутренней защиты:  
Гуренцов Валерий Иванович

- Routinely enriched to 92%
- Excellent energy resolution: 2.5 keV FWHM at  $Q_{\beta\beta} \rightarrow 2\nu\beta\beta$  background suppressed
- Intrinsically radiopure → low background
- High density → 0νββ single-site ( $\sim 1\text{mm}^3$ )
- Great multiplicity, timing, multi-site and surface event rejection

GERDA Highlights (25/02) Luciano Pandola



clean room with lock

muon & cryogenic infrastructure

control rooms

water plant & radon monitor

cryostat with internal Cu shield

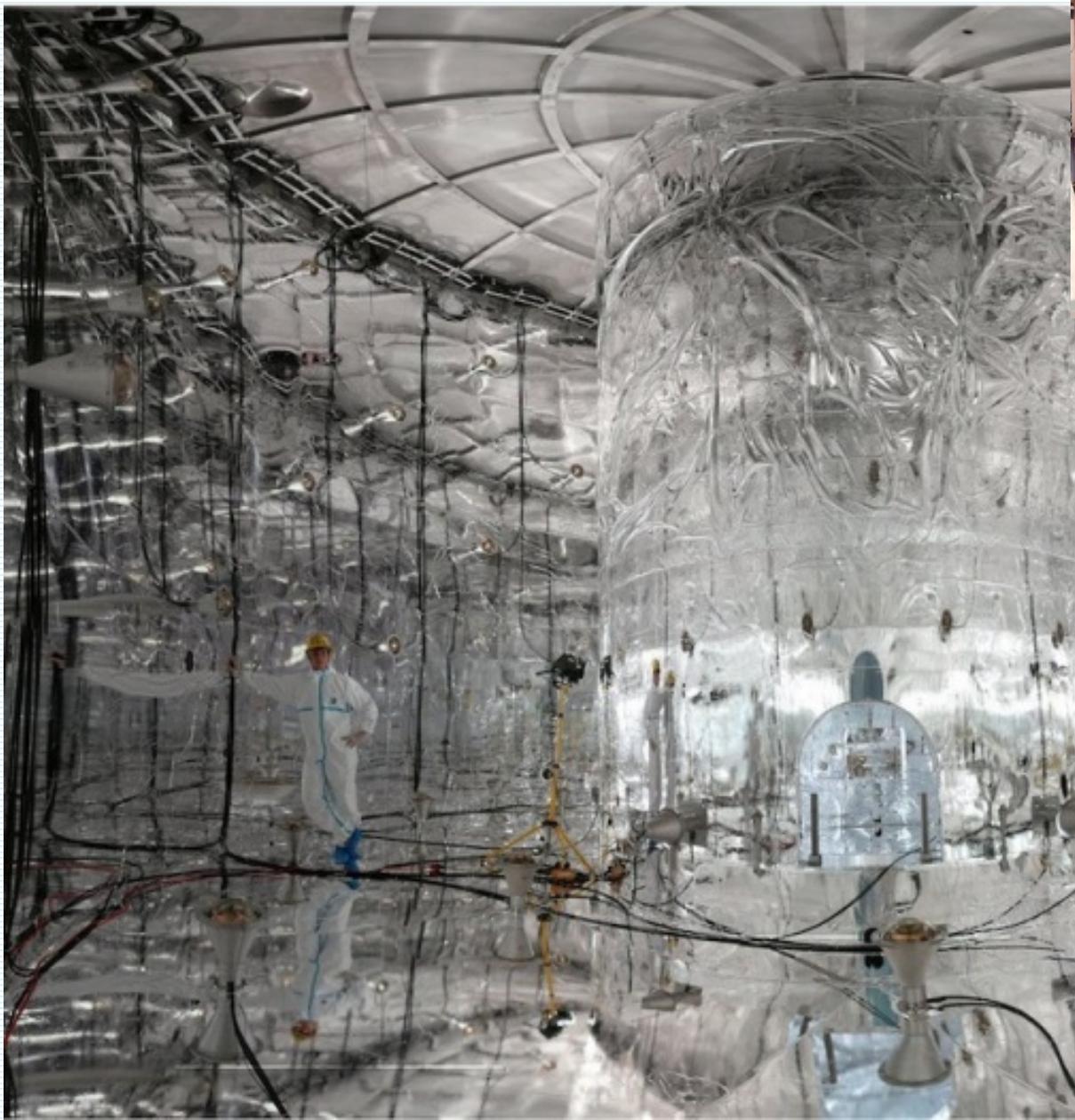
water tank, Ø10m, part of muon detector)



выбор медной внутренней защиты:  
Гуренцов Валерий Иванович



# GERDA – muon veto tank



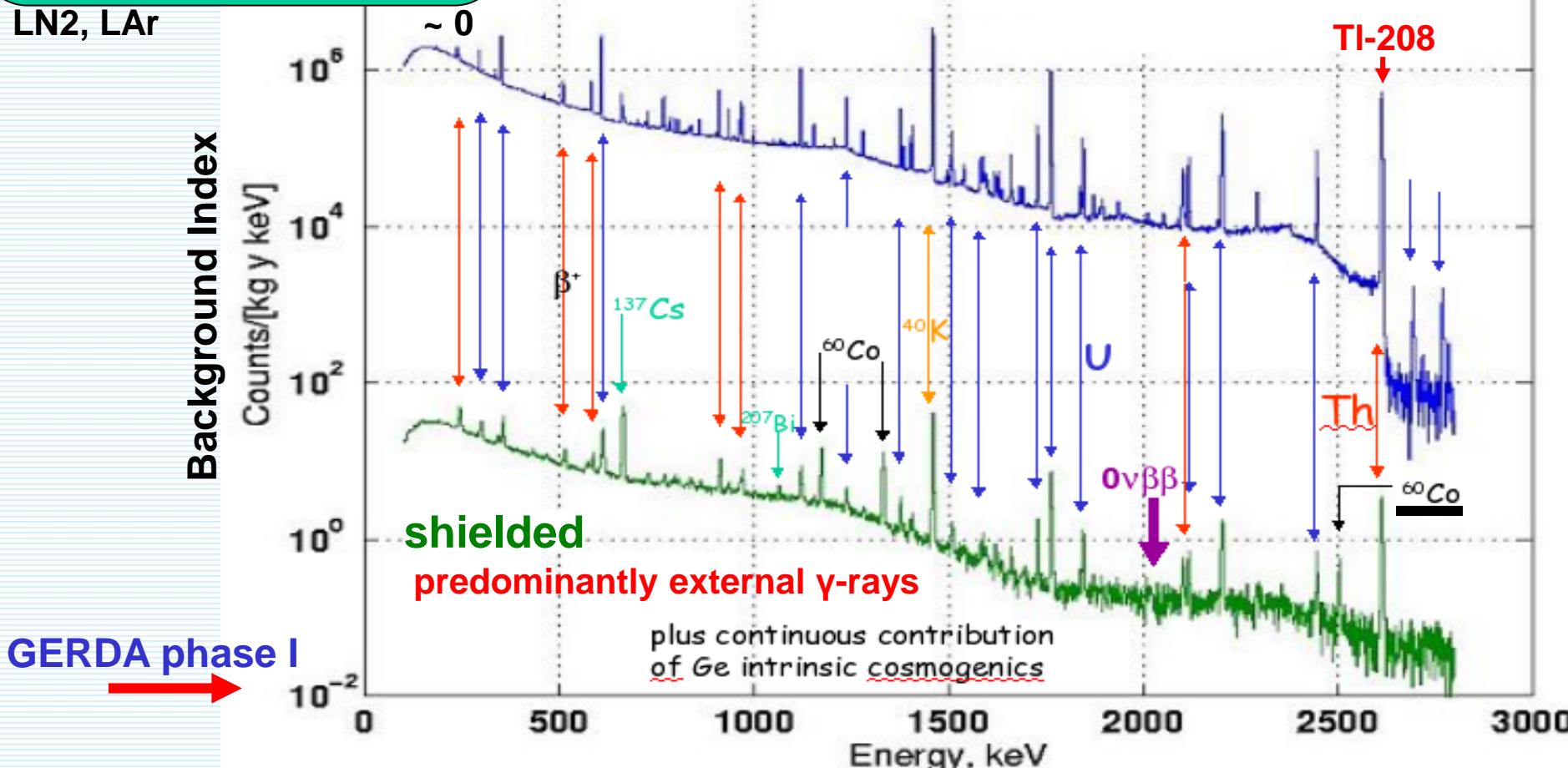
# background spectra

Activity of TI-208	( $\mu$ Bq/kg)
rock, concrete	3000000
stainless steel	~
5000	<20
Cu(NOSV), Pb	< 1
water, purified	~ 0
LN2, LAr	

spectra measured at LNGS with Ge diode

unshielded

TI-208



# Enriched material for GERDA

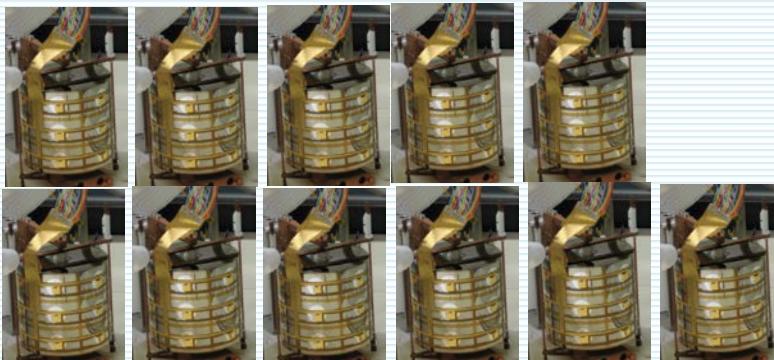
## Phase II: motivation

One <sup>enr</sup>Ge diode  
⇒ 11 <sup>nat</sup>Ge diodes

<sup>enr</sup>Ge diode  
( $^{76}\text{Ge} = 86. \%$ )



<sup>nat</sup>Ge diode  
( $^{76}\text{Ge} = 7.6 \%$ )



Centrifuge acceleration:

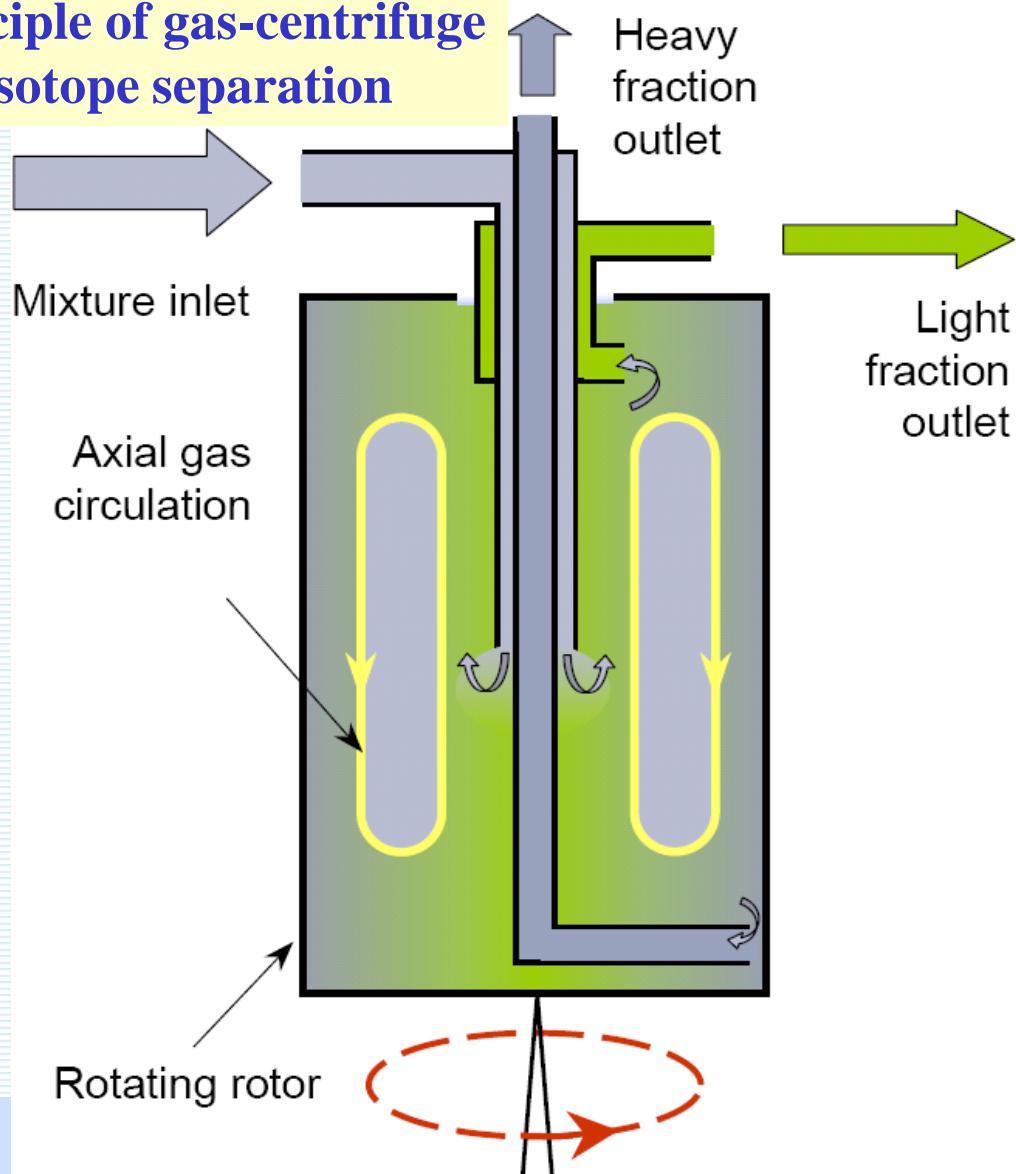
500 000 g, Rotation ~ 1500 s<sup>-1</sup>

Operation life of centrifuge: 30 years

## отбор изотопа Ge-76

Корноухов Василий Николаевич

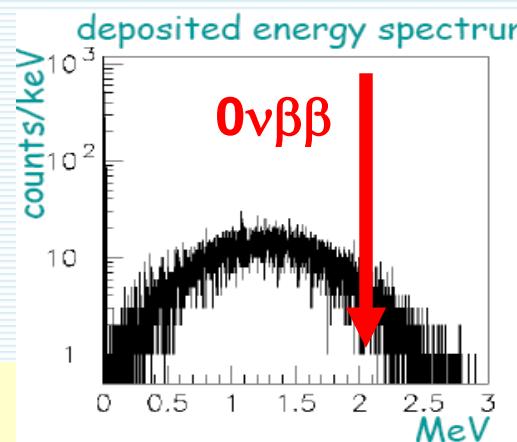
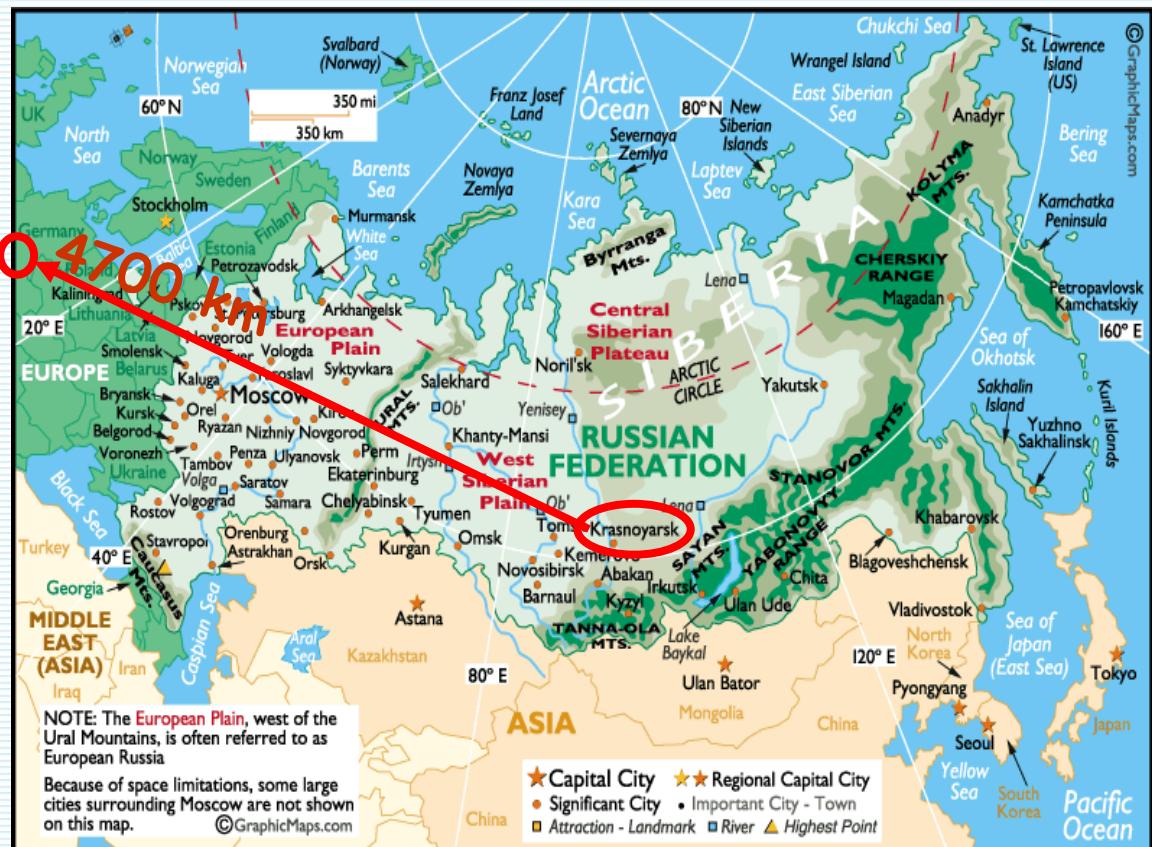
### Principle of gas-centrifuge isotope separation



выбор материала и параметры транспортного контейнера  
Барабанова Игоря Романович  
+ Группа студентов

## Cosmic ray activation at Earth surface

Transportation: Ge + N component  $\rightarrow$   $^{60}\text{Co}$  and  $^{68}\text{Ge}$   
Zelenogorsk (Siberia) – Munich, 4700 km



Reduction of cosmic activation

$$K = 8 \downarrow \text{for } ^{68}\text{Ge}$$

$$K = 13 \downarrow \text{for } ^{60}\text{Co}$$



# Institut für Kristallzüchtung (IKZ, Berlin): Crystal puller EKZ 2000

Crystal pulling (purity 99.999999999 % Ge)  
some of the purest material in the world –  
impurities at the level of  $10^{-12}$ /atom



Canberra-Lingolsheim for detector manufacture

**LEGEND** is building on the success of GERDA and Majorana

**LEGEND-200** is well underway and construction set started in 2018

**LEGEND-200** and **1000** backgrounds goals are based on a background free exposure

**LEGEND-1000 R&D** is ongoing



Large Enriched  
Germanium Experiment  
for Neutrinoless  $\beta\beta$  Decay

53 Institutions, 250+ scientists

Collaboration meeting, LNGS May 2019



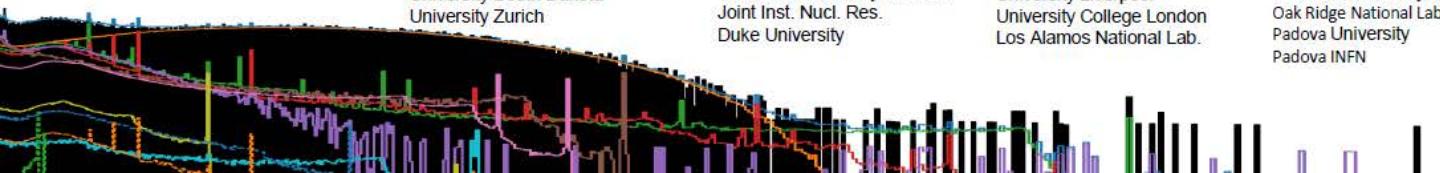
Czech Technical University Prague  
North Carolina State University  
South Dakota School Mines Tech.  
University Washington  
Academia Sinica  
University Tübingen  
University South Dakota  
University Zurich

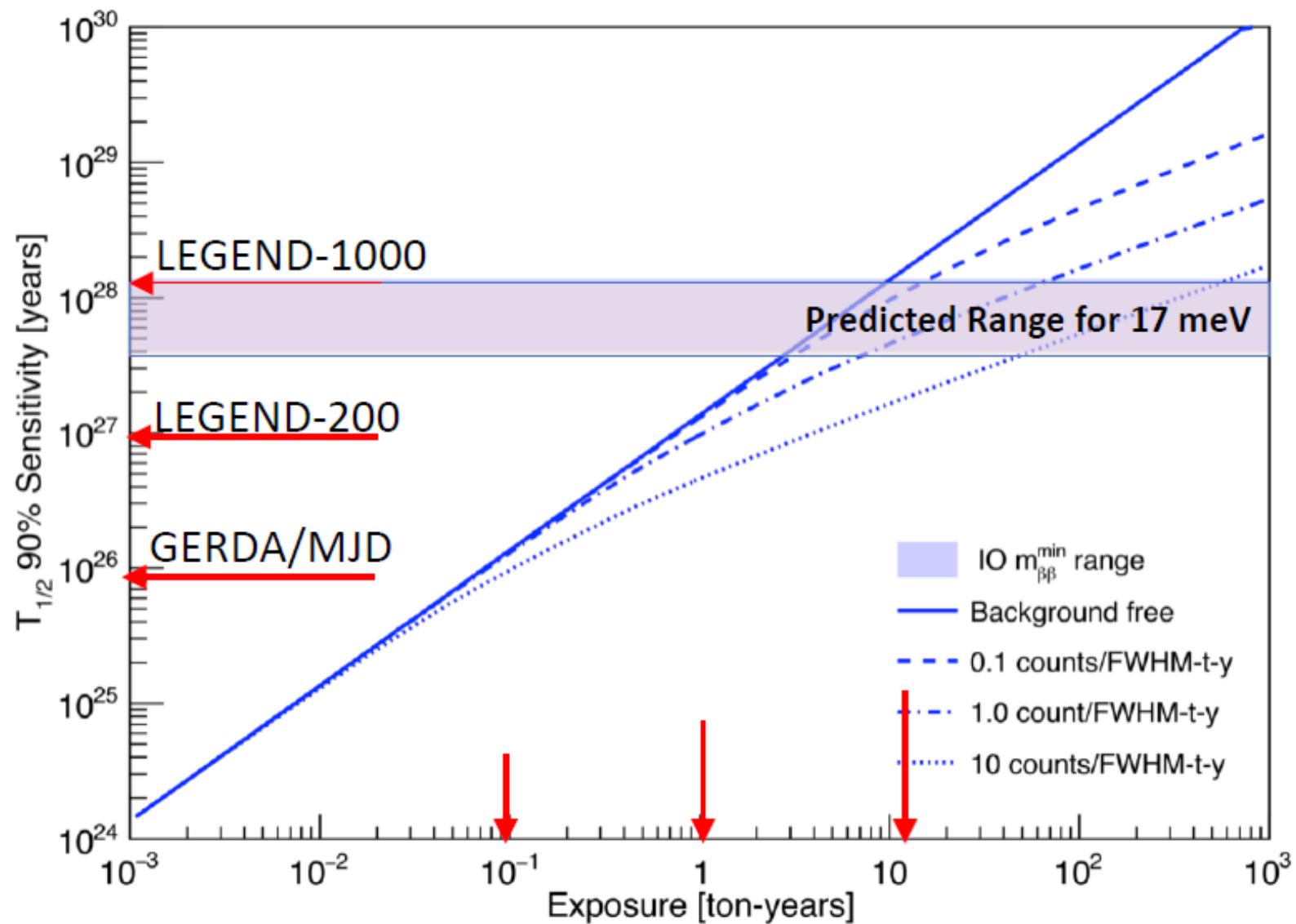
University of North Carolina  
Sichuan University  
University of South Carolina  
Tennessee Tech University  
Jagiellonian University  
University of Dortmund  
Technical University Dresden  
Joint Inst. Nucl. Res.  
Duke University

Triangle Univ. Nuclear. Lab.  
Joint Research Centre, Geel  
Max Planck Institute, Heidelberg  
Queens University  
University Tennessee  
Lancaster University  
University Liverpool  
University College London  
Los Alamos National Lab.

INFN Milano Bicocca  
Milano University and Milano INFN  
**Institute Nuclear Research Russ. Acad. Sci.**  
National Research Center Kurchatov Inst.  
Lab. Exper. Nucl. Phy. MEPhI  
Max Planck Institute, Munich  
Technical University Munich  
Oak Ridge National Laboratory  
Padova University  
Padova INFN

Univ. New Mexico  
L'Aquila University and INFN  
Lab. Naz. Gran Sasso  
University Texas, Austin  
Tsinghua University  
Lawrence Berkeley Natl. Lab.  
University California, Berkeley  
Leibniz Inst. Crystal Growth  
Comenius University



**LEGEND-1000** **$^{76}\text{Ge}$  (88% enr.)**

$>10^{28}$  yr or  $m_{\beta\beta}=17$  meV for worst case NME and quenching of  $g_A$   
3  $\sigma$  discovery level to cover inverted ordering, given NME uncertainty

# Background-free search for neutrinoless double- $\beta$ decay of $^{76}\text{Ge}$ with GERDA

# Schedule of $0\nu\beta\beta$ -decay experiments

M. Agostini et al. (GERDA Coll.), *Nature* 544 (2017) 47

## Schedule



2018

2019

2020

2021

2022

2023

GERDA (100 kg yr)

MAJORANA (65 kg yr)

LEGEND-200 Purchase Isotope

Fabricate Detectors

Develop/Install New Lock,  
Experimental Apparatus

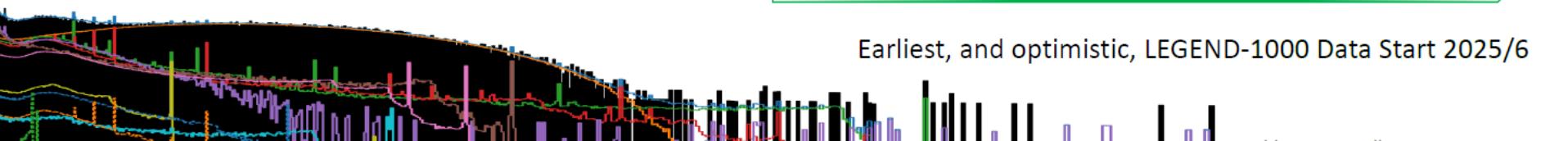
Integration/Commissioning

LEGEND-200 Data Runs, Goal: 1 t yr (~5-7 years)

Ton-Scale Down-Select Process

LEGEND-1000 Design/Build, ~6yrs, 2021-2027

Earliest, and optimistic, LEGEND-1000 Data Start 2025/6



# AMoRE (Advanced Mo-based Rare process Experiment)

## Searching for neutrinoless double beta decay of $^{100}\text{Mo}$ using cryogenic $^{40}\text{Ca}^{100}\text{MoO}_4$ detectors

### History of $^{40}\text{Ca}^{100}\text{MoO}_4$

2002: Idea and try to grow CMO in Korea

2003: Collaboration with V. N. Kornoukhov.  
Received CMO (better)

2004: CMO test. Extended idea of XMoO<sub>4</sub>,  
cryogenic detector of CMO

2005-7: Large CMO with 1<sup>st</sup> ISTC project

2006: Collaboration with F. Danevich (CMO by Lviv))

2007: CMO R&D in cryogenic temperature started

2008: 2<sup>nd</sup> ICTC project: 1 kg of  $^{40}\text{Ca}^{100}\text{MoO}_4$  crystal  
growing

2009: AMORE Collaboration formed

2010-11: Characterization of  $^{40}\text{Ca}^{100}\text{MoO}_4$  and  
background study

2012: Russian group got funding for CMO  
production line

2013: AMoRE project funded (Under IBS CUP)



# Baksan Neutrino Observatory of Institute for Nuclear Research of Russian Academy of Sciences Moscow, Kabardino-Balkaria

A. M. Gangapshev, Yu. M. Gavriljuk, A. M. Gezhaev, V. I. Gurentsov, V. V. Kazalov,  
V. N. Kornoukhov (MFTI), V. V. Kuzminov, S. I. Panasenko, S. S. Ratkevich



5 countries (Korea, Russia,  
Ukraine, China, Germany)  
13 institutes, ~84 collaborators

# $^{40}\text{Ca}^{100}\text{MoO}_4$ crystals

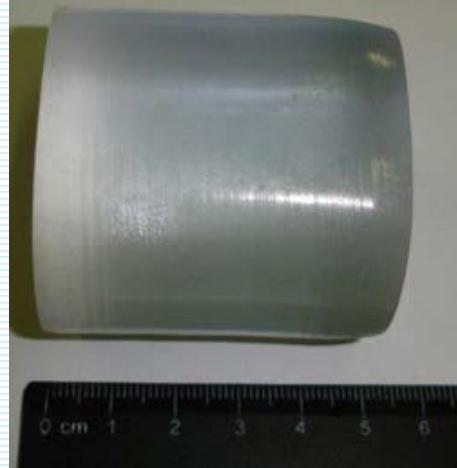
**SB28**

**weight 196 g**



**SB29**

**weight 390 g**



**S35**

**weight ~300 g**



# Experimental Sensitivity of $T_{1/2} (0\nu\beta\beta)$

For sizeable background case

$$T_{1/2}^{0\nu}(\text{exp}) = (\ln 2) N_a \frac{a}{A} \varepsilon \sqrt{\frac{MT}{b\Delta E}}$$

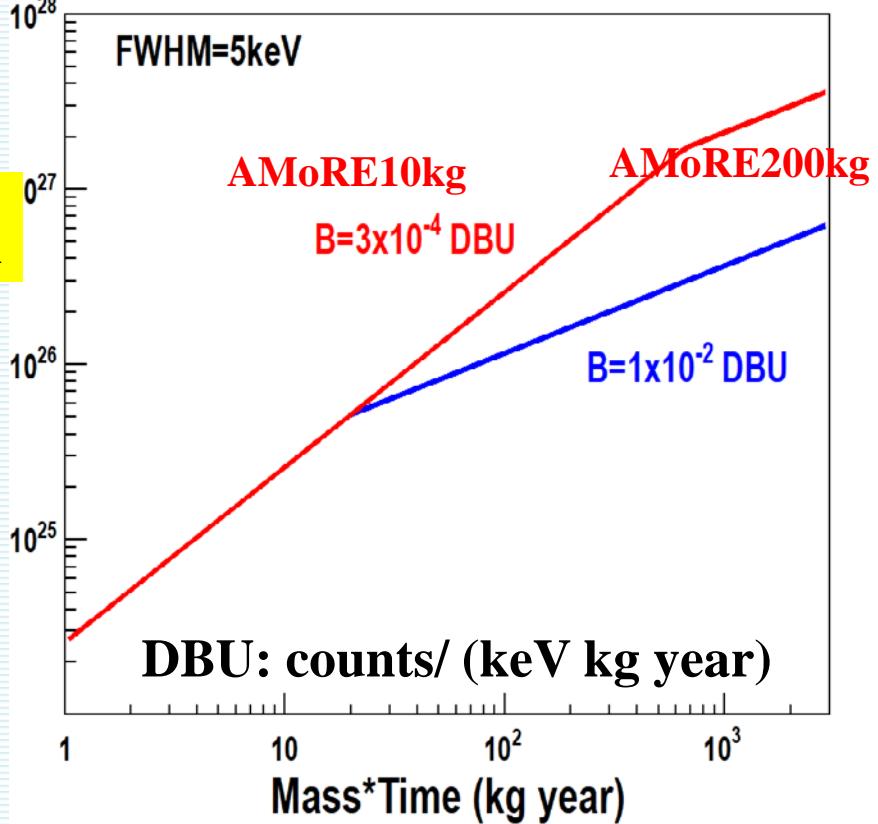
Isotopic Abundance → Detection Efficiency → Detector Mass → Time

Atomic mass → Background level (count/keV kg year) → Energy Resolution

For “zero” background case;  
# of background events  $\sim 0$  (1)

$$T_{1/2}^{0\nu}(\text{exp}) = (\ln 2) N_a \frac{a}{A} \varepsilon \frac{MT}{n_{CL}}$$

Candidate	Q (MeV)	Abund. (%)
$^{48}\text{Ca}$	4.271	0.19
$^{76}\text{Ge}$	2.040	7.8
$^{82}\text{Se}$	2.995	8.7
$^{100}\text{Mo}$	3.034	9.7
$^{130}\text{Te}$	2.533	34.1
$^{136}\text{Xe}$	2.479	8.9
$^{150}\text{Nd}$	3.367	5.6



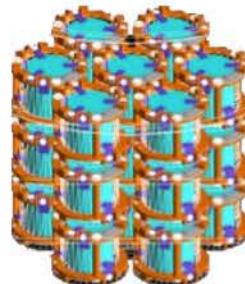
**Crystal:  $^{40}\text{Ca}^{100}\text{MoO}_4$ , doubly enriched scintillating crystals; MMC technology for heat and light measurement; Temperature: ~20 mK; Zero background measurement in ROI; Location: Y2L (till Phase I) and a new lab (after)**



$^{40}\text{Ca}^{100}\text{MoO}_4$

~ 1.5 kg

**AMoRE Pilot**



$^{40}\text{Ca}^{100}\text{MoO}_4$

~ 5 kg

**AMoRE-I**

$\text{X}^{100}\text{MoO}_4$

200 kg

**AMoRE-II**



## Summary of the AMoRE project

ckky : counts/ (keV kg year)

	<u>AMoRE-Pilot</u>	<u>AMoRE-I</u>	<u>AMoRE-II</u>
Crystal Mass (kg)	1.5	5	200
Backgrounds(ckky)	$\sim 10^{-2}$	$\sim 10^{-3}$	$10^{-4}$
$T_{1/2}$ (year)	$1.0 \times 10^{24}$	$8.2 \times 10^{24}$	$8.2 \times 10^{26}$
$m_{bb}$ (meV)	380-719	130-250	13-25
Schedule	2017	2018	2020-2023

**Fully funded for Pilot, Phase I and II**

**FIGURE 3.** Phases of AMoRE Project. With 200 kg of Mo-100 crystals, we can reach  $8.2 \times 10^{26}$  years of sensitivity and 13-25 meV mass range.

# Yang Yang(Y2L) Underground Laboratory

(Upper Dam)

Yang Yang Pumped  
Storage Power Plant



(Power Plant)



양양양수발전소



(Lower Dam)

KIMS (Dark Matter Search)

AMoRE (Double Beta Decay Experiment)

Minimum depth : 700 m / Access to the lab by car (~2km)

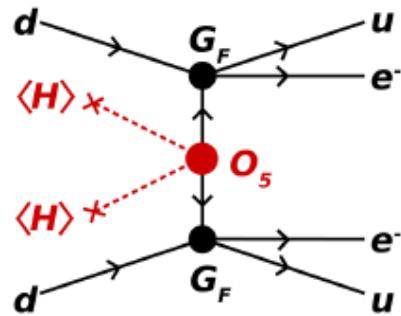
# *$\nu$ -mass $0\nu\beta\beta$ -decay mechanisms*

$$\mathcal{L} = \mathcal{L}_{SM}^{(4)} + \frac{1}{\Lambda} \sum_i c_i^{(5)} \mathcal{O}_i^{(5)} + \frac{1}{\Lambda^2} \sum_i c_i^{(6)} \mathcal{O}_i^{(6)} + O(\frac{1}{\Lambda^3})$$

## Beyond the SM physics

Amplitude for  
 $(A, Z) \rightarrow (A, Z+2) + 2e^-$   
can be divided into:

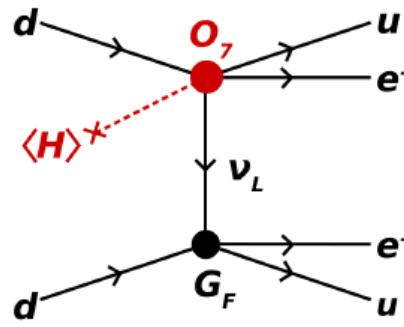
mass mechanism: d=5



$$\mathcal{O}_W \propto \frac{c_{ij}}{\Lambda} (L_i H)(L_j H)$$

Weinberg, 1979

long range: d=7



$$\mathcal{O}_2 \propto LLL e^c H$$

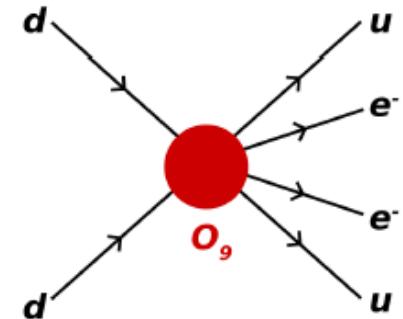
$$\mathcal{O}_3 \propto LLQ d^c H$$

$$\mathcal{O}_4 \propto LL\bar{Q} \bar{u}^c H$$

$$\mathcal{O}_8 \propto L\bar{e}^c \bar{u}^c d^c H$$

Babu, Leung: 2001  
de Gouvea, Jenkins: 2007

short range: d=9 (d=11)



$$\mathcal{O}_5 \propto LLQ d^c H H H^\dagger$$

$$\mathcal{O}_6 \propto LL\bar{Q} \bar{u}^c H H^\dagger H$$

$$\mathcal{O}_7 \propto LQ\bar{e}^c \bar{Q} H H H^\dagger$$

$$\mathcal{O}_9 \propto LLL e^c L e^c$$

$$\mathcal{O}_{10} \propto LLL e^c Q d^c$$

$$\mathcal{O}_{11} \propto LLQ d^c Q d^c$$

.....

Valle

# Quark Condensate Seesaw Mechanism for Neutrino Mass

A. Babič, S. Kovalenko, M.I. Krivoruchenko ,  
F.Š., arXive:1911.12189, accepted in PRD

## The SM gauge-invariant effective operators

$$\mathcal{O}_7^{u,d} = \frac{\tilde{g}_{\alpha\beta}^{u,d}}{\Lambda^3} \overline{L_\alpha^C} L_\beta H \left\{ (\overline{Q} u_R), (\overline{d}_R Q) \right\}$$

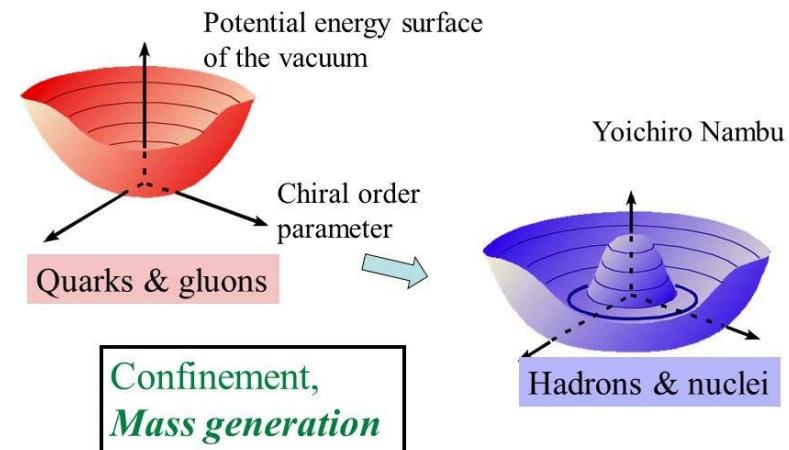
After the EWSB and ChSB one arrives at the Majorana mass matrix of active neutrinos

$$\begin{aligned} m_{\alpha\beta}^\nu &= g_{\alpha\beta} v \frac{\langle \bar{q}q \rangle}{\Lambda^3} \\ &= g_{\alpha\beta} v \left( \frac{\omega}{\Lambda} \right)^3 \end{aligned}$$

$$\begin{aligned} g_{\alpha\beta} &= g_{\alpha\beta}^u + g_{\alpha\beta}^d, \quad v/\sqrt{2} = \langle H^0 \rangle \\ \omega &= -\langle \bar{q}q \rangle^{1/3}, \quad \langle \bar{q}q \rangle^{1/3} \approx -283 \text{ MeV} \end{aligned}$$

This operator contributes to the Majorana-neutrino mass matrix due to chiral symmetry breaking via the light-quark condensate.

Spontaneous breaking of **chiral ( $\chi$ ) symmetry**



$\Lambda \sim$  a few TeV  
we get the neutrino mass in the sub-eV ballpark

# The genuine QCSS scenario with no fine-tuning

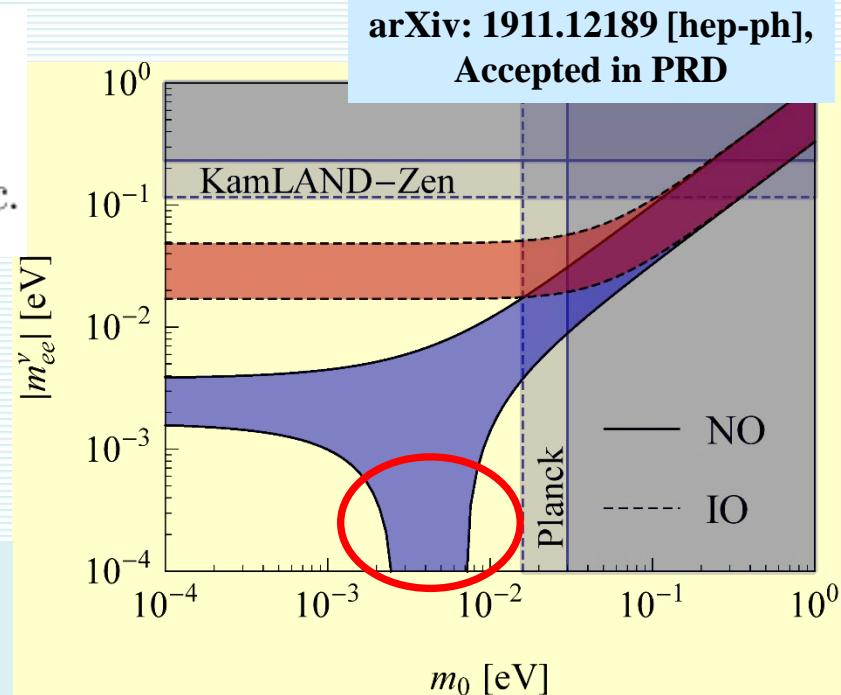
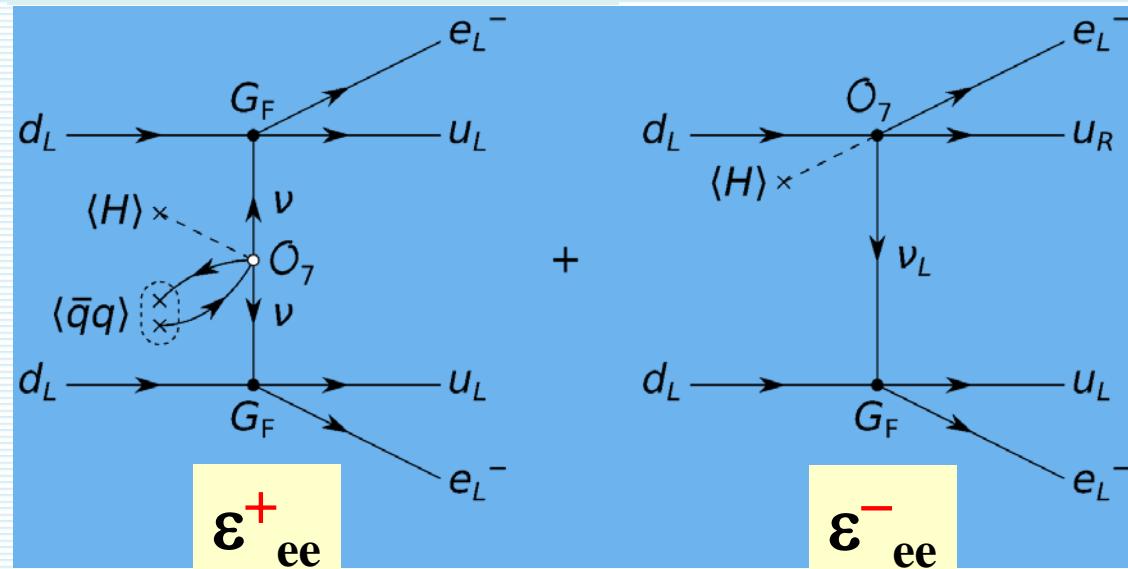
A. Babič, S. Kovalenko,  
M.I. Krivoruchenko , F.Š,  
arXiv: 1911.12189 [hep-ph],  
Accepted in PRD

$$\mathcal{L}_7 = \frac{G_F}{\sqrt{2}} \overline{e_L} \nu_L^C (\varepsilon_{ee}^u \overline{u_R} d_L - \varepsilon_{ee}^d \overline{u_L} d_R) + \frac{G_F}{\sqrt{2}} \overline{\nu_L^C} \nu_L (\varepsilon_{ee}^u \overline{u_L} u_R + \varepsilon_{ee}^d \overline{d_R} d_L) + \text{H.c.}$$

$$\varepsilon_{\alpha\beta}^{u,d} = \frac{g_{\alpha\beta}^{u,d} v / \Lambda^3}{G_F / \sqrt{2}}$$

$$\varepsilon_{\alpha\beta}^\pm = \varepsilon_{\alpha\beta}^u \pm \varepsilon_{\alpha\beta}^d$$

(a) discussed earlier in  
S. Kovalenko,  
M.I. Krivoruchenko, F.Š., S. Kovalenko  
PRL 112, 142503 (2014). (b) discussed earlier in  
H. Päs, M. Hirsch,  
PLB 453, 194 (1999).



## New features:

IH excluded, limits  $\varepsilon < 10^{-8}$

$2 \text{ meV} < m_1 < 7 \text{ meV}$

$9 \text{ meV} < m_2 < 11 \text{ meV}$

$50 \text{ meV} < m_3 < 51 \text{ meV}$

Neutrino spectrum

Prediction for Kathrin

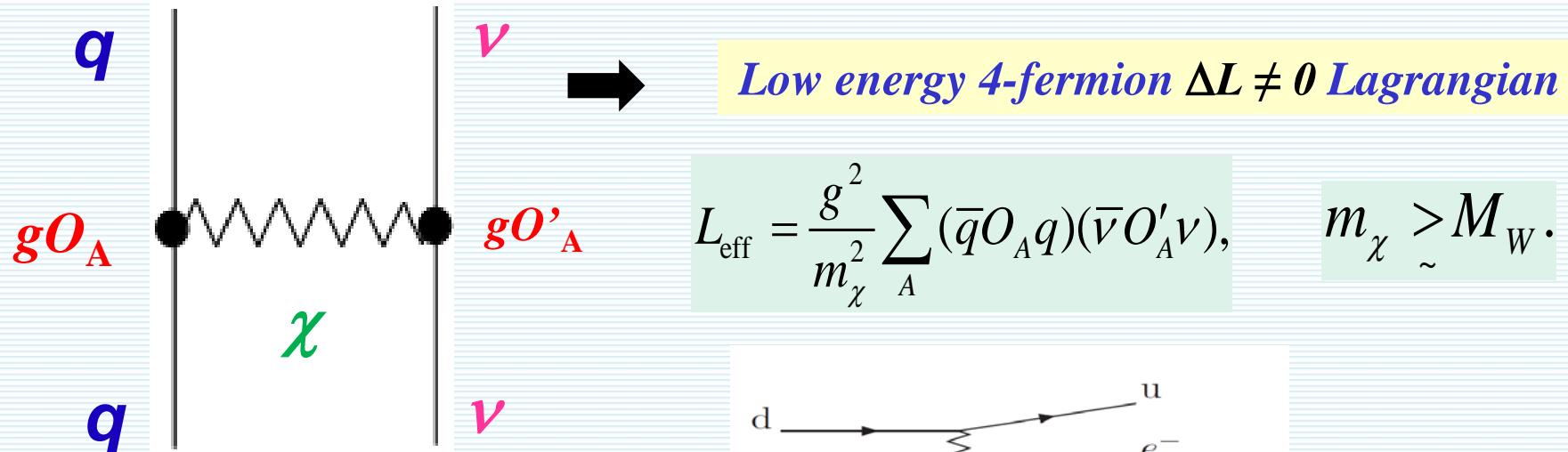
$9 \text{ meV} < m_\beta < 12 \text{ meV}$

Prediction for cosmology

$62 \text{ meV} < m_1 + m_2 + m_3 < 69 \text{ meV}$

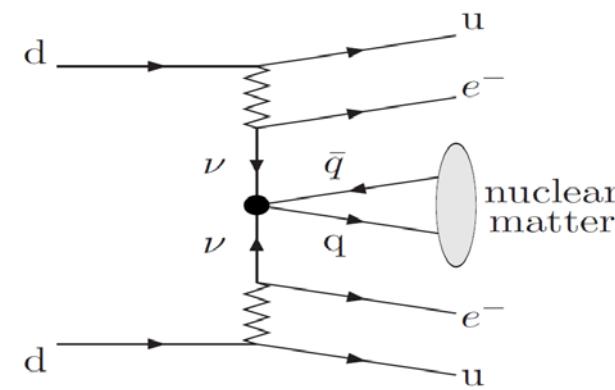
## II.b Nuclear medium effect on the light neutrino mass exchange mechanism of the $0\nu\beta\beta$ -decay

S.G. Kovalenko, M.I. Krivoruchenko, F. Š., Phys. Rev. Lett. 112 (2014) 142503



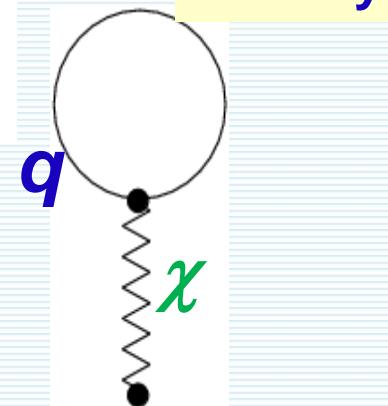
*oscillation experiments,  
tritium  $\beta$ -decay  
cosmology*

$$\sum_\nu^{\text{vac}} = \times, ---$$



$0\nu\beta\beta$ -decay

$$\sum_\nu^{\text{medium}} = -\times- +$$



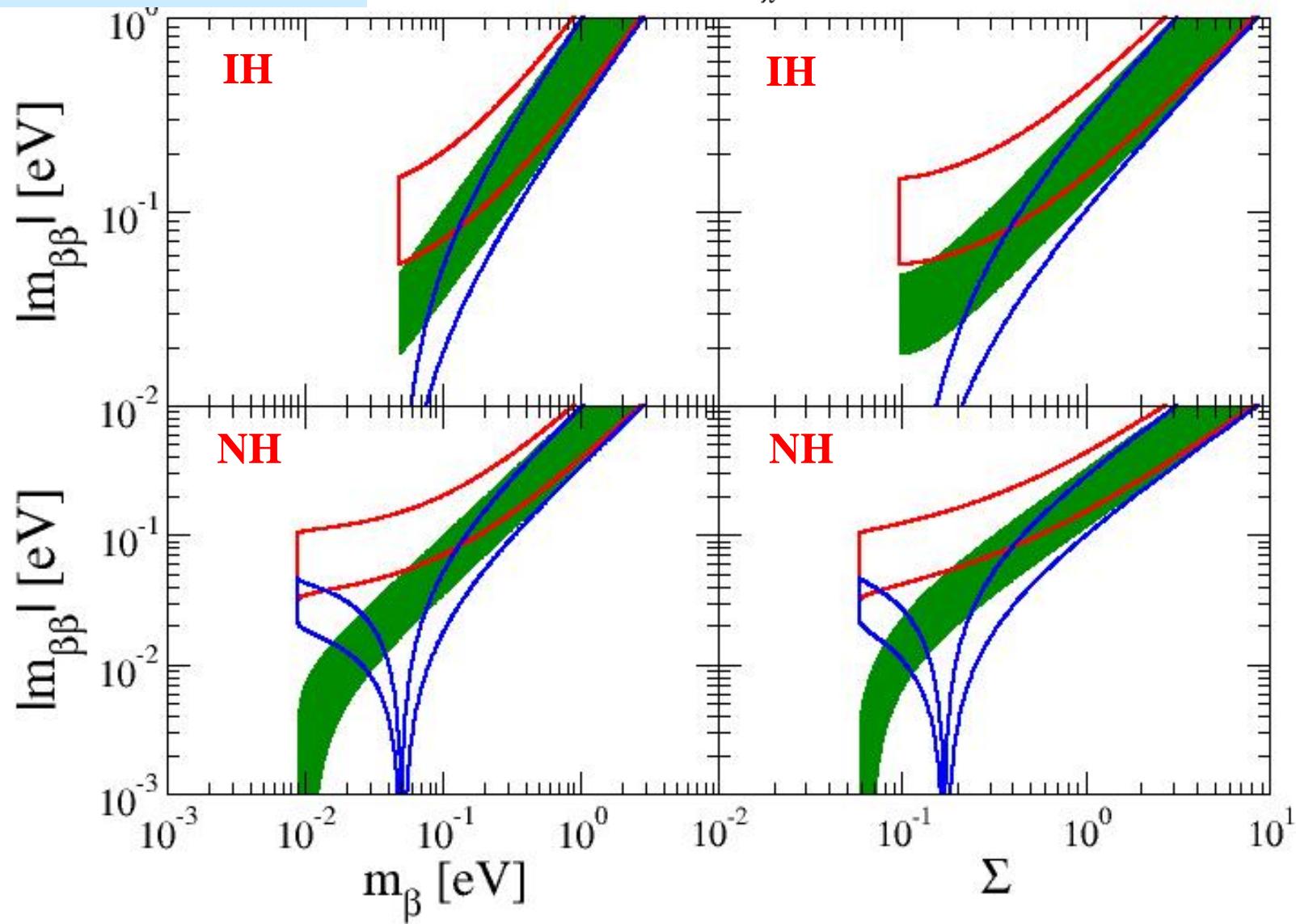
**density**

Complementarity between  $\beta$ -decay,  $0\nu\beta\beta$  –decay and cosmological measurements might be spoiled

Area	$\langle \chi \rangle g_1$ [eV]
blue	-0.05
green	0
red	1

$$\langle \chi \rangle g_{ij}^a = -\frac{G_F}{f_\pi} \langle \bar{q}q \rangle \varepsilon_{ij}^a \approx -25 \varepsilon_{ij}^a \text{ eV}$$

$$\langle \chi \rangle = -\frac{g_\chi}{m_\chi^2} \langle \bar{q}q \rangle \quad g_{ij}^a = \delta_{ij} g_a \quad \varepsilon_{ij}^a = \delta_{ij} \varepsilon_a$$



# *The sterile $\nu$ mechanism of the $0\nu\beta\beta$ -decay (D-M mass term, V-A,SM int.) Interpolating formula*

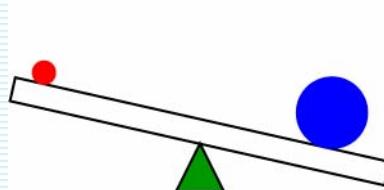
**Dirac-Majorana  
mass term**

$$N = \sum_{\alpha=s,e,\mu,\tau} U_{N\alpha} \nu_\alpha$$

**Mixing of  
active-sterile  
neutrinos**

**small  $\nu$  masses due to see-saw mechanism**

$$\begin{pmatrix} 0 & m_D \\ m_D & m_{LNV} \end{pmatrix}$$



**Light  $\nu$  mass  $\approx (m_D/m_{LNV}) m_D$**   
**Heavy  $\nu$  mass  $\approx m_{LNV}$**

**Neutrinos masses offer a great opportunity to jump  
beyond the EW framework via see-saw ...**

**Different motivations for the LNV scale  $\Lambda$**

**eV**  
**light sterile  $\nu$**   
 **$10^{-6}$  GeV**

**keV**  
**hot DM**  
 **$10^{-6}$  GeV**

**Fermi**  
**or Si II**  
 **$10^{-6}$  GeV**

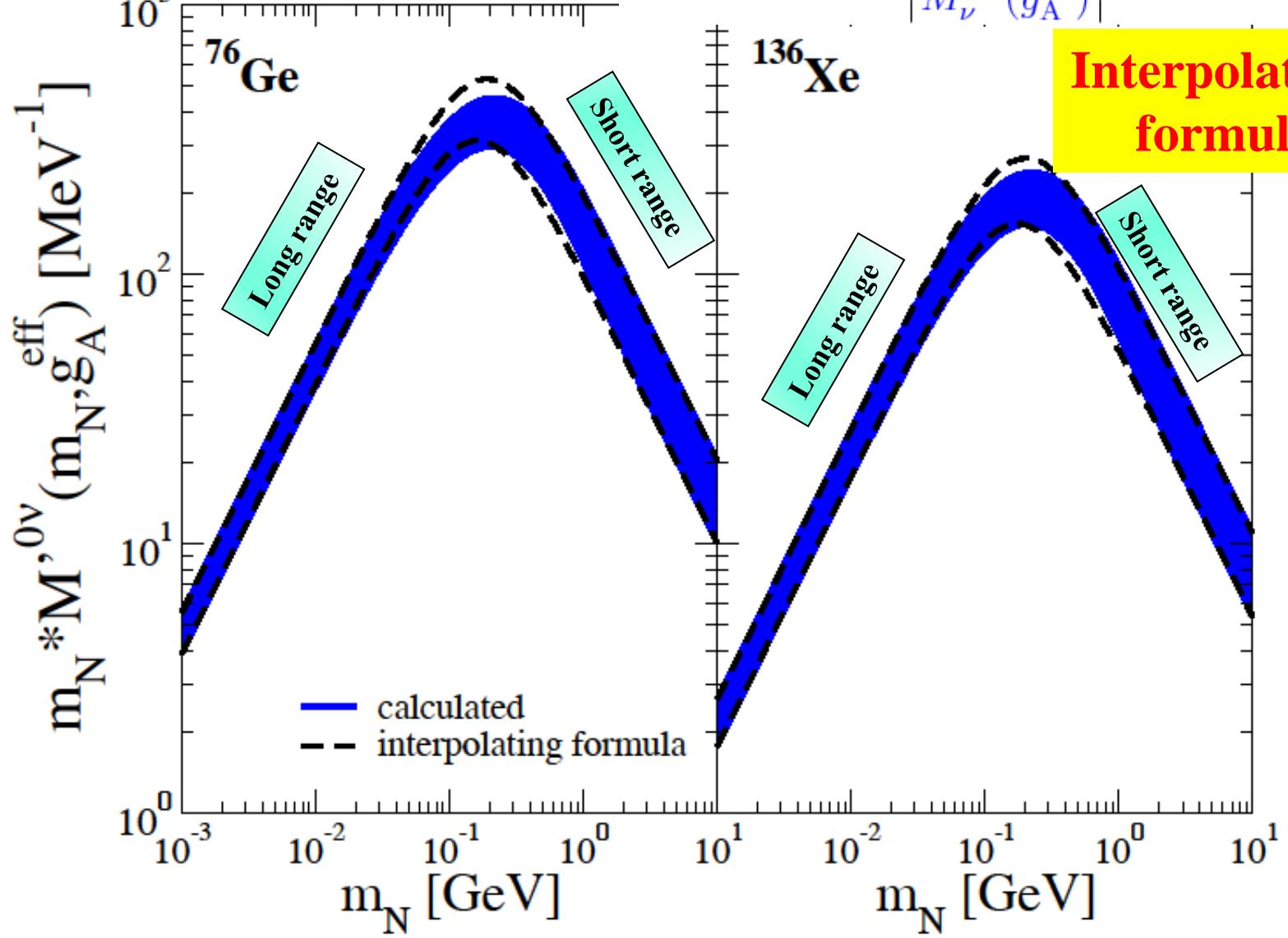
**TeV**  
**LHC**  
 **$10^3$  GeV**

**GUT**  
 **$10^{16}$  GeV**

**Planck**  
 **$10^{19}$  GeV**

$$[T_{1/2}^{0\nu}]^{-1} = \mathcal{A} \cdot \left| m_p \sum_N U_{eN}^2 \frac{m_N}{\langle p^2 \rangle + m_N^2} \right|^2, \quad \mathcal{A} = G^{0\nu} g_A^4 \left| M_N^{0\nu}(g_A^{\text{eff}}) \right|^2,$$

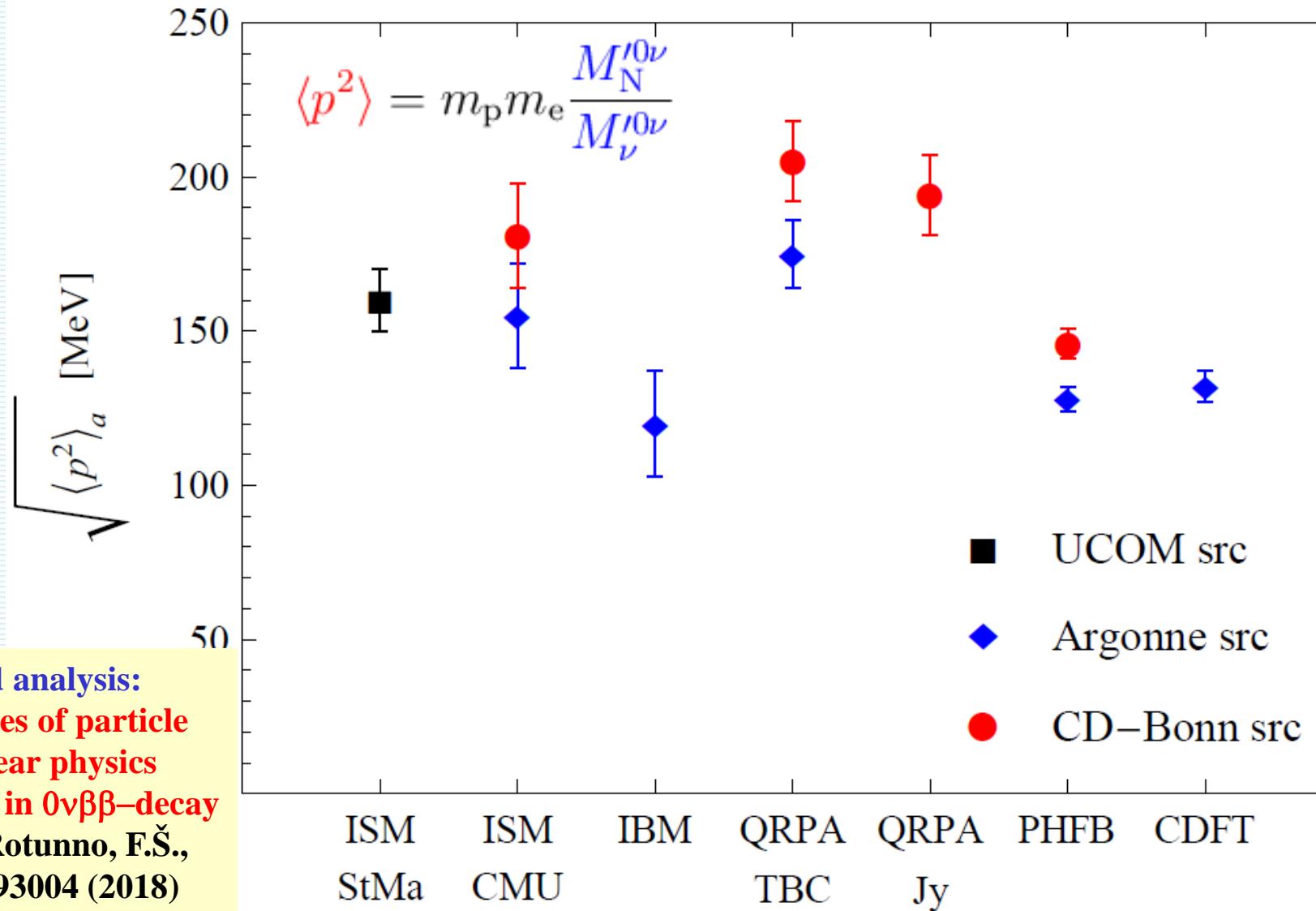
$$\langle p^2 \rangle = m_p m_e \left| \frac{M_N^{0\nu}(g_A^{\text{eff}})}{M_\nu^{0\nu}(g_A^{\text{eff}})} \right| \approx 200 \text{ MeV}$$



# Interpolating formula is justified by practically no dependence $\langle p^2 \rangle$ on A

A. Babič, S. Kovalenko, M.I. Krivoruchenko ,  
F.Š., PRD 98, 015003 (2018)

$$[T_{1/2}^{0\nu}]^{-1} = \mathcal{A} \cdot \left| m_p \sum_N U_{eN}^2 \frac{m_N}{\langle p^2 \rangle + m_N^2} \right|^2,$$



# The $0\nu\beta\beta$ -decay within L-R symmetric theories (interpolating formula)

(D-M mass term, see-saw, V-A and V+A int., exchange of heavy neutrinos)

A. Babič, S. Kovalenko, M.I. Krivoruchenko , F.Š., PRD 98, 015003 (2018)

$$[T_{1/2}^{0\nu}]^{-1} = \eta_{\nu N}^2 C_{\nu N}$$

$$C_{\nu N} = g_A^4 \left| M_\nu^{0\nu} \right|^2 G^{0\nu}$$

$$\nu_{eL} = \sum_{j=1}^3 \left( U_{ej} \nu_{jL} + S_{ej} (N_{jR})^C \right),$$

$$\nu_{eR} = \sum_{j=1}^3 \left( T_{ej}^* (\nu_{jL})^C + V_{ej}^* N_{jR} \right)$$

Mixing of light and heavy neutrinos

$$\mathcal{U} = \begin{pmatrix} U & S \\ T & V \end{pmatrix}$$

Effective LNV parameter within LRS model  
(due interpolating formula)

$$\langle p^2 \rangle = m_p m_e \frac{M_N'^{0\nu}}{M_\nu'^{0\nu}}$$

$$\eta_{\nu N}^2 = \left| \sum_{j=1}^3 \left( U_{ej}^2 \frac{m_j}{m_e} + S_{ej}^2 \frac{\langle p^2 \rangle_a}{\langle p^2 \rangle_a + M_j^2} \frac{M_j}{m_e} \right) \right|^2$$

$$+ \lambda^2 \left| \sum_{j=1}^3 \left( T_{ej}^2 \frac{m_j}{m_e} + V_{ej}^2 \frac{\langle p^2 \rangle_a}{\langle p^2 \rangle_a + M_j^2} \frac{M_j}{m_e} \right) \right|^2$$

The dominance of  
light and heavy  
 $\nu$ -mass contributions to  
0nbb-decay rate can not be  
established by observing this  
process at different nuclei.

# 6x6 PMNS see-saw $\nu$ -mixing matrix

(the most economical one, prediction for mixing of heavy neutral leptons)

6x6 neutrino mass matrix

$$\mathcal{U} = \begin{pmatrix} U & S \\ T & V \end{pmatrix} \quad \text{Basis} \quad (\nu_L, (N_R)^c)^T$$

$$\mathcal{M} = \begin{pmatrix} M_L & M_D \\ M_D & M_R \end{pmatrix}$$

**6x6 matrix:** 15 angles, 10+5 CP phases

**3x3 matrix:** 3 angles, 1+2 CP phases

3x3 block matrices **U, S, T, V** are generalization of **PMNS** matrix

## Assumptions:

- i) the see-saw structure
- ii) mixing between different generations is neglected

$$\mathcal{U}_{\text{PMNS}} = \begin{pmatrix} U_{\text{PMNS}} & \zeta \mathbf{1} \\ -\zeta \mathbf{1} & U_{\text{PMNS}}^\dagger \end{pmatrix} \quad \mathcal{U}_{\text{PMNS}} \mathcal{U}_{\text{PMNS}}^\dagger = \mathcal{U}_{\text{PMNS}}^\dagger \mathcal{U}_{\text{PMNS}} = 1$$

see-saw parameter

$$\zeta = \frac{m_{\text{D}}}{m_{\text{LNV}}}$$

**6x6 matrix:** 3 angles, 1+2 CP phases, 1 see-saw par.

# 6x6 PMNS see-saw $\nu$ -mixing matrix (the most economical one)

$$\mathcal{U} = \begin{pmatrix} U_0 & \zeta \mathbf{1} \\ -\zeta & V_0 \end{pmatrix}$$

$$U_0 = U_{\text{PMNS}}$$

A. Babič, S. Kovalenko, M.I. Krivoruchenko, F.Š., PRD 98, 015003 (2018)

$$V_0 = U_{\text{PMNS}}^\dagger =$$

$$\begin{pmatrix} c_{12} c_{13} e^{-i\alpha_1} & \left( -s_{12} c_{23} - c_{12} s_{13} s_{23} e^{-i\delta} \right) e^{-i\alpha_1} & \left( s_{12} s_{23} - c_{12} s_{13} c_{23} e^{-i\delta} \right) e^{-i\alpha_1} \\ s_{12} c_{13} e^{-i\alpha_2} & \left( c_{12} c_{23} - s_{12} s_{13} s_{23} e^{-i\delta} \right) e^{-i\alpha_2} & \left( -c_{12} s_{23} - s_{12} s_{13} c_{23} e^{-i\delta} \right) e^{-i\alpha_2} \\ s_{13} e^{i\delta} & c_{13} s_{23} & c_{13} c_{23} \end{pmatrix}$$

**Assumption about heavy neutrino masses  $M_i$  (by assuming see-saw)**

Inverse proportional

$$m_i M_i \simeq m_D^2$$

$$M_{\beta\beta}^R = \lambda \frac{\langle p^2 \rangle_a}{m_D^2} \left| \sum_{j=1}^3 (U_0^\dagger)_{ej}^2 m_j \right|$$

Proportional

$$m_i \simeq \zeta^2 M_i$$

$$M_{\beta\beta}^R = \lambda \zeta^2 \left| \sum_{j=1}^3 (U_0^\dagger)_{ej}^2 \frac{\langle p^2 \rangle_a}{m_j} \right|$$

$M_{\beta\beta}^R$  depends on  
“Dirac” CP phase  $\delta$   
unlike “Majorana”  
CP phases  $\alpha_1$  and  $\alpha_2$

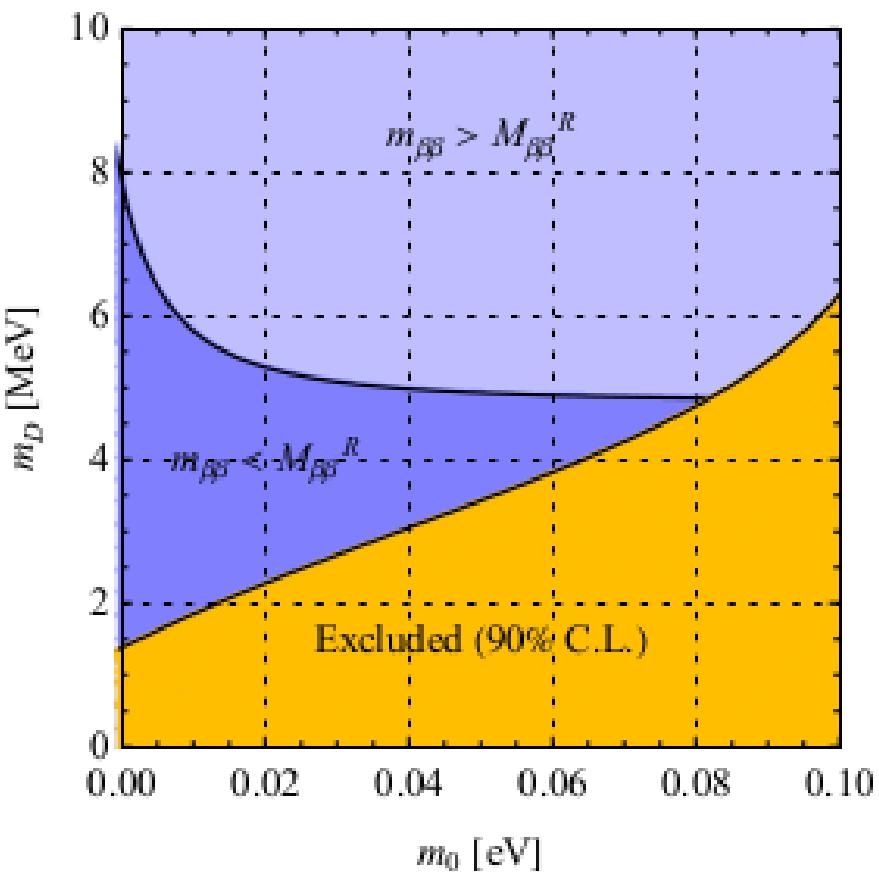
Heavy Majorana mass  $M_{\beta\beta}^R$  depends on the “Dirac” CP violating phase  $\delta$  8

## See-saw scenario

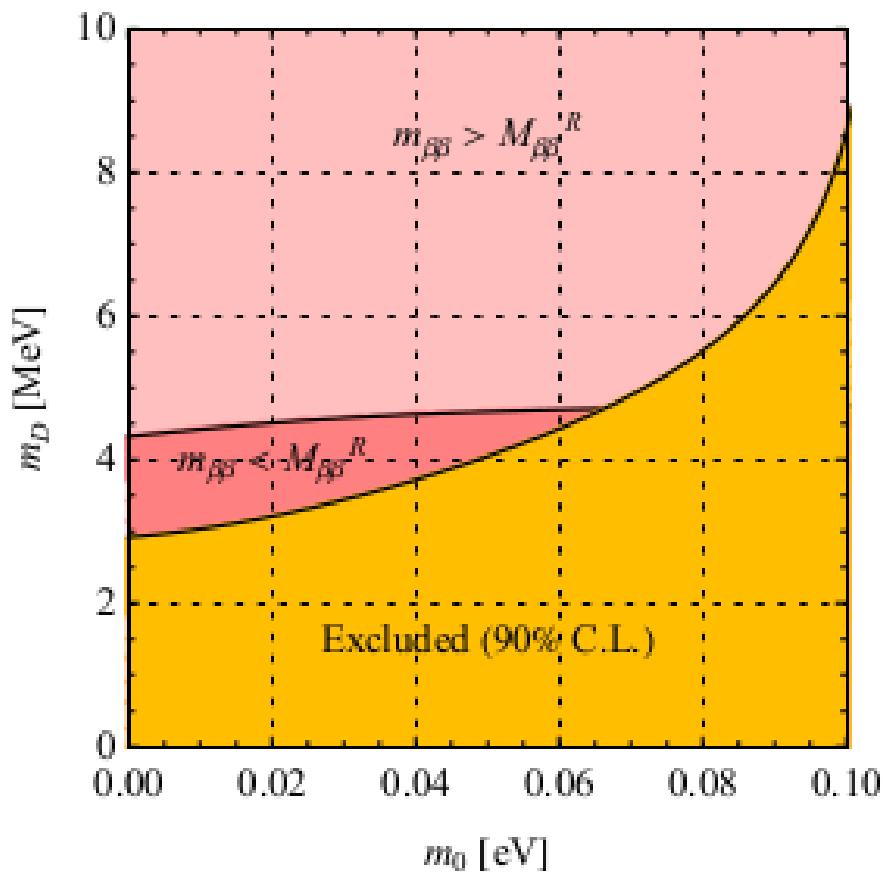
$$m_i M_i \simeq m_D^2$$

$$M_{\beta\beta}^R = \lambda \frac{\langle p^2 \rangle_a}{m_D^2} \left| \sum_{j=1}^3 (U_0^\dagger)_{ej}^2 m_j \right|$$

**Normal spectrum**



**Inverted spectrum**

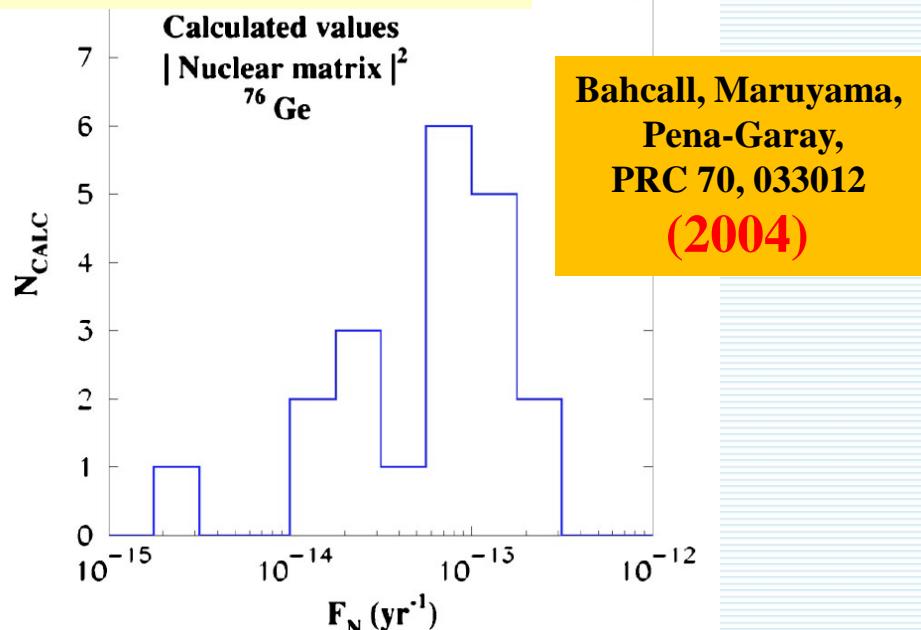


# *The $0\nu\beta\beta$ -decay NMEs – current status*

**2004 (factor 10)**

few groups, 2 nuclear  
structure methods:  
**Nuclear Shell Model,**  
**QRPA**

$0\nu\beta\beta$   
decay  
NMEs



**2019 (factor 2-3)**

many groups, many nuclear  
structure methods:  
**Nuclear Shell Model, QRPA,**  
**Interacting Boson Model, Energy**  
**Density Functional**

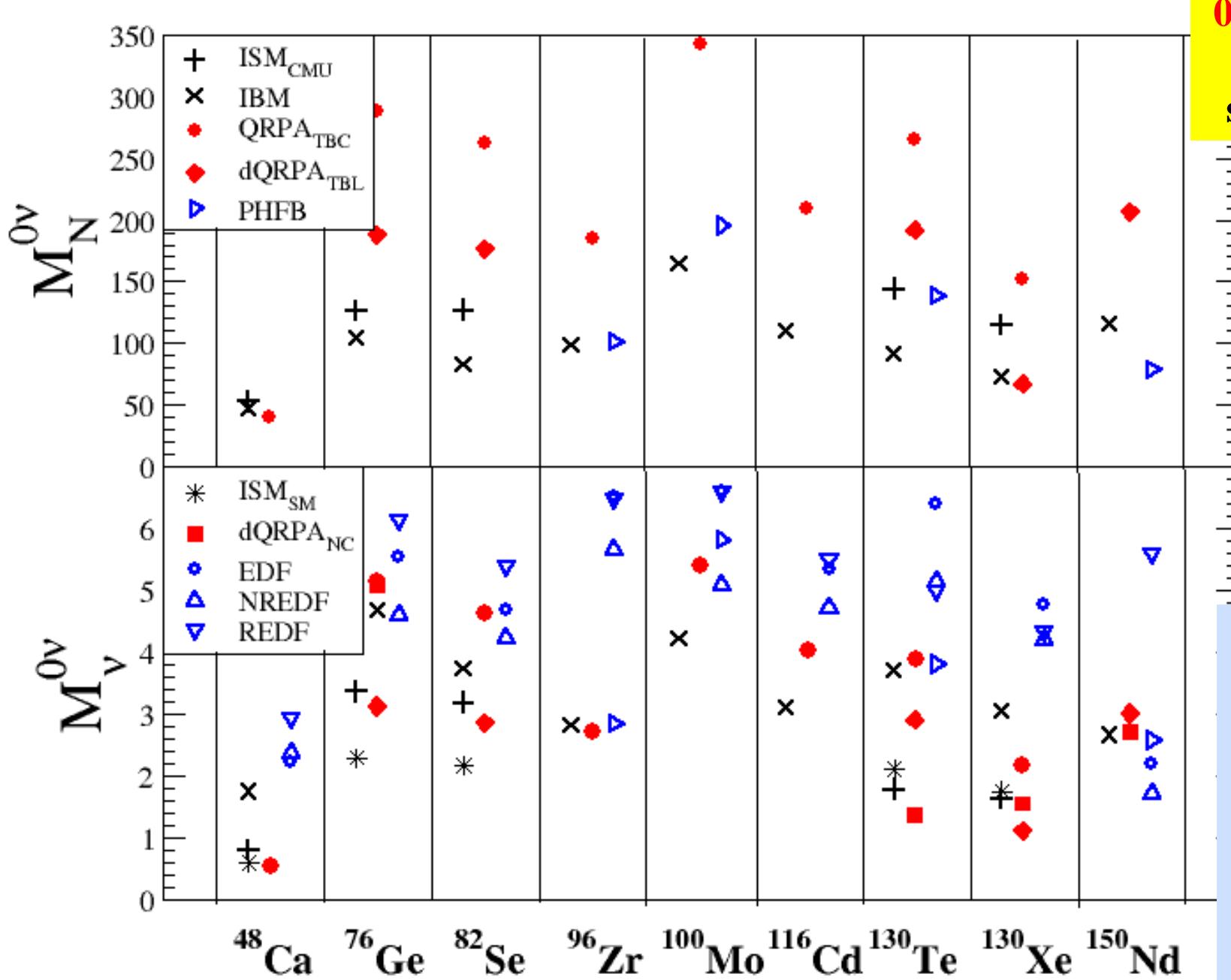
Attempts (light nuclear systems):  
**Ab initio calculations by different**  
**approaches – No Core Shell Model,**  
**Green's Function Monte Carlo,**  
**Coupled Cluster Method, Lattice QCD**

**Nuclear Shell Model** (Madrid-Strasbourg, Michigan, Tokyo): Relatively small model space (1 shell), all correlations included, solved by direct diagonalization

**QRPA** (Tuebingen-Bratislava-Calltech, Jyvaskyla, Chapel Hill, Lanzhou, Prague): Several shells, only simple correlations included

**Interacting Boson Method** (Yale-Concepcion): Small space, important proton-neutron Pairing correlations missing

**Energy Density Functional theory** (Madrid, Beijing): >10 shells, important proton-neutron pairing missing



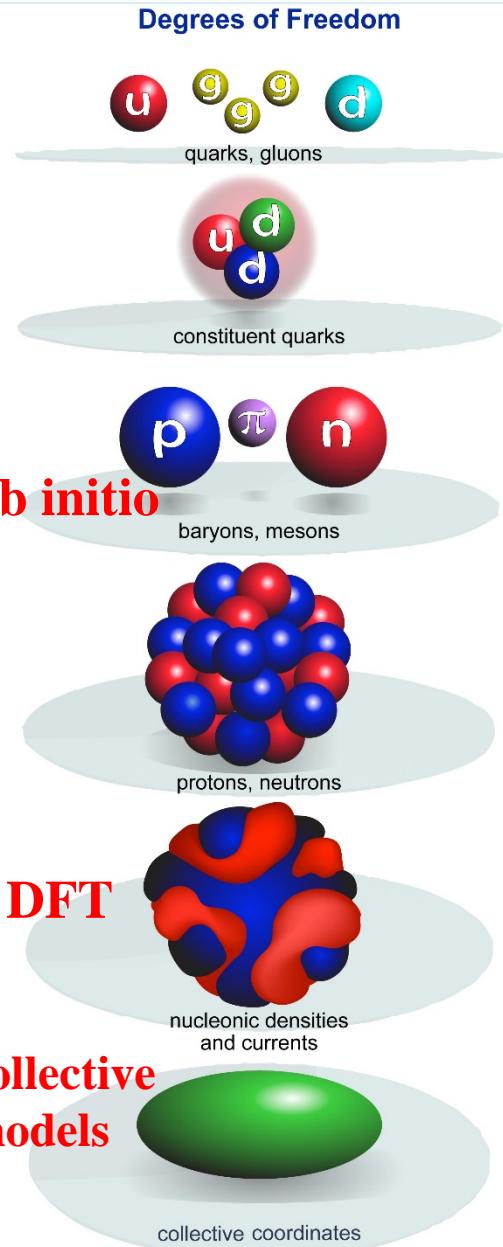
All  
models  
missing  
essential  
physics

Impossible  
to assign  
rigorous  
uncertainties

# Ab Initio Nuclear Structure

(Often starts with chiral effective-field theory)

Physics of Hadrons



Energy (MeV)

940  
neutron mass

$Q^0$   
LO

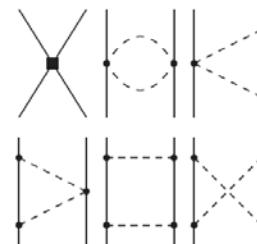
2N Force

3N Force

4N Force

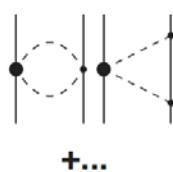
140  
pion mass

$Q^2$   
NLO



8  
proton separation energy in lead

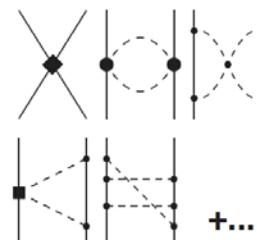
$Q^3$   
NNLO



...

1.12  
vibrational state in tin

$Q^4$   
 $N^3LO$



...

0.043  
rotational state in uranium

Nucleons, pions. Sufficient below chiral symmetry breaking scale. Expansion of operators in power of  $Q/\Lambda_\chi$ .  $Q=m_\pi$  or typical nucleon momentum.

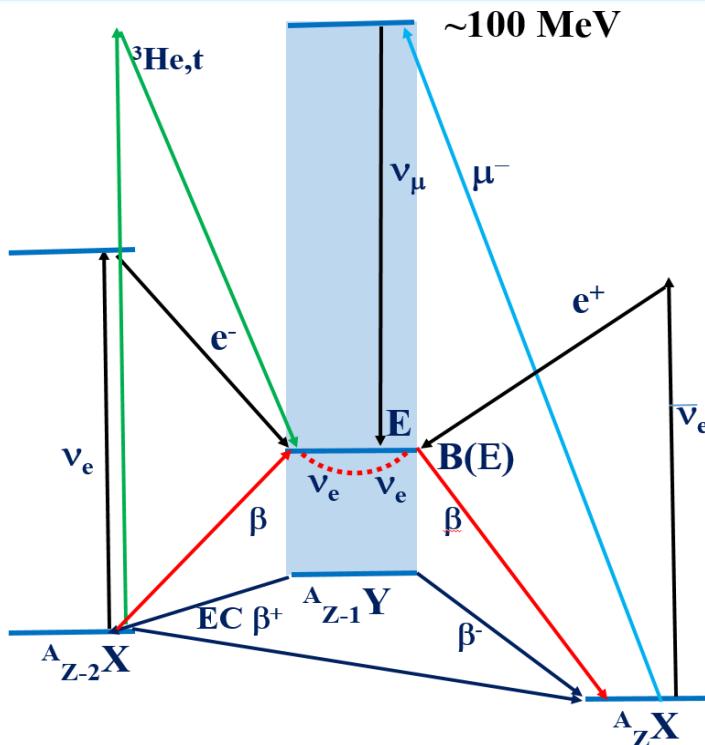
Calculation for the hypothetical  $0\nu\beta\beta$  decay:  
 $^{10}\text{He} \rightarrow ^{10}\text{Be} + e^- + e^-$   
masses, spectra

A. Schwenk,  
P. Navratil,  
J. Engel,  
J. Menendez

Moore's law: exponential growth in computing power

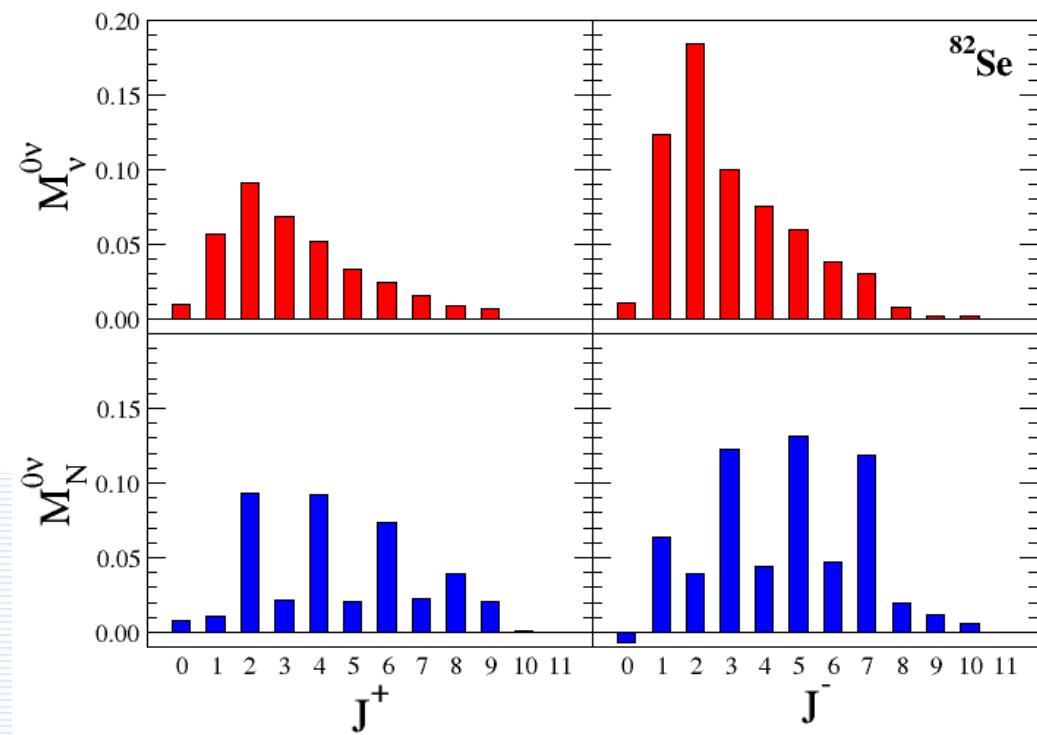
# *Supporting nuclear physics experiments*

# Exploiting charge-exchange reactions ( ${}^3\text{He},t$ ) and $\mu$ -capture to constrain $0\nu\beta\beta$ -decay NMEs



*Higher multipoles  
are populated mostly  
due large  $\nu$ -momenta  
transfer*

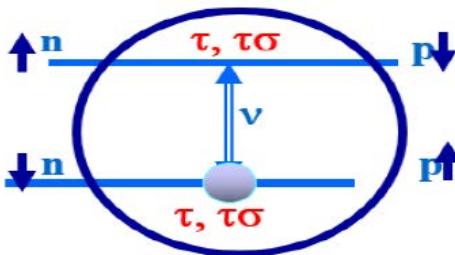
*Multipole decomposition of light and heavy  
 $0\nu\beta\beta$ -decay NMEs  
normalized to unity*



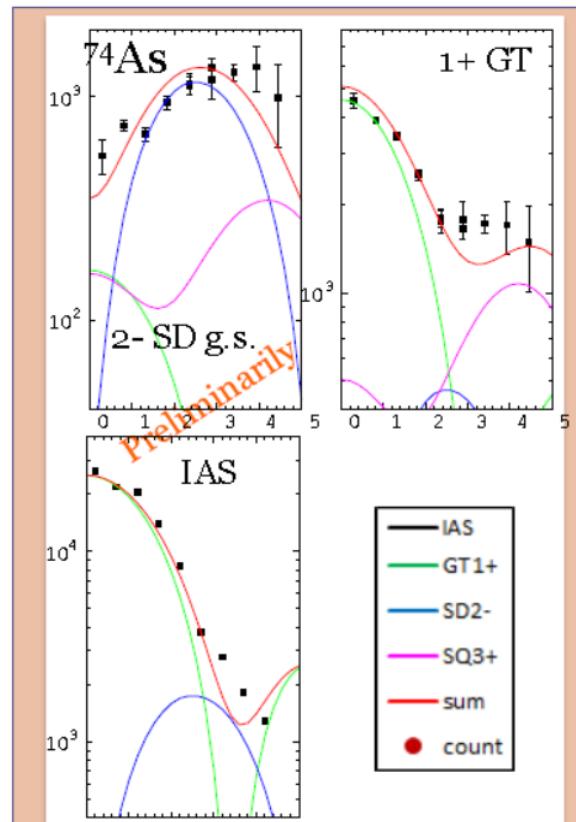
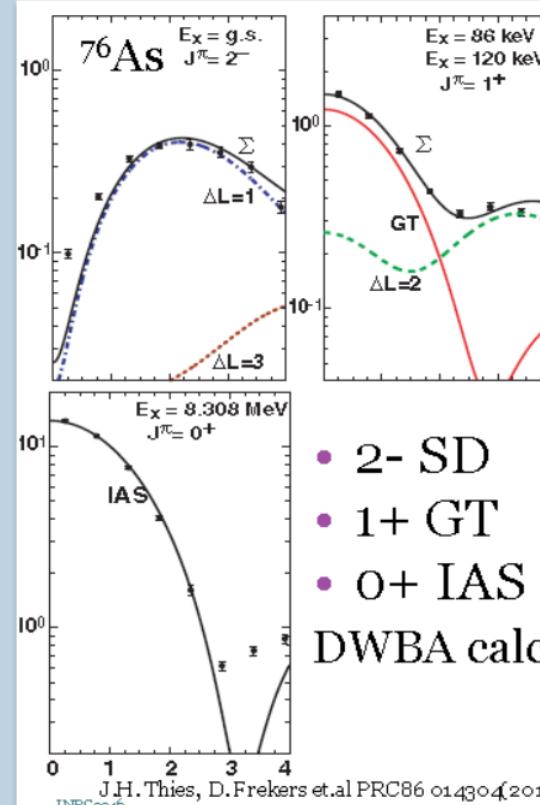


# Measuring of GT-like ( $1^+$ , $2^-$ , $3^+$ ) strengths distribution for $^{74,76}\text{Ge} \rightarrow ^{74,76}\text{As}$ with ( $^3\text{He},t$ ) reactions

H. Akimune, H. Ejiri, RCNP,  
Catania, KVI , Munster ▪ ▪

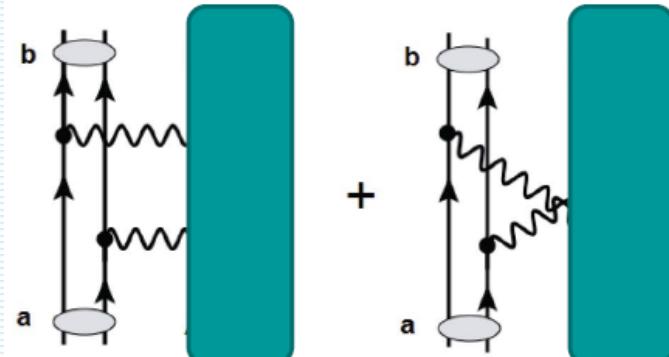
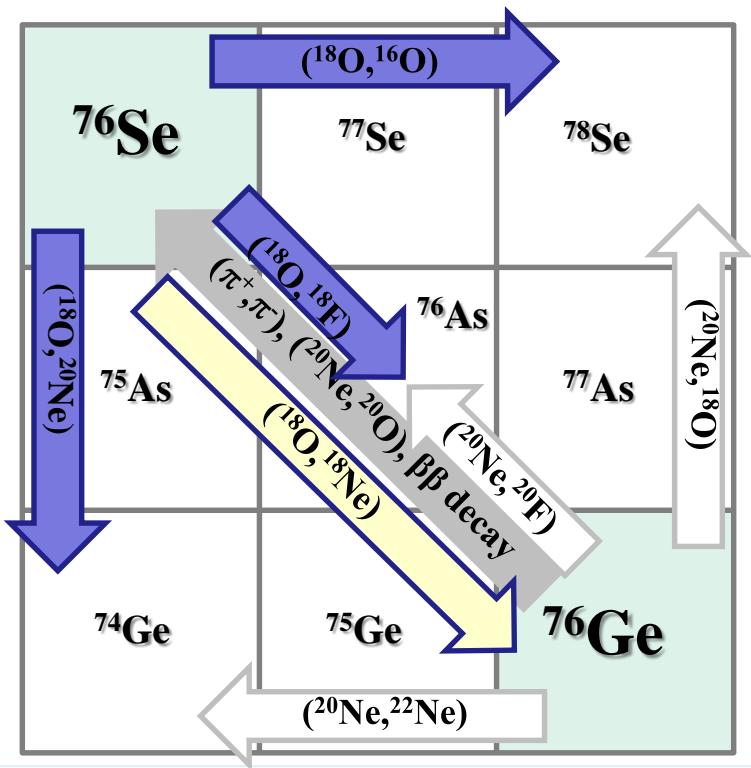


## $^{74,76}\text{Ge} (^3\text{He},t) ^{74,76}\text{As}$ Angular distribution



# Supporting nuclear physics experiments

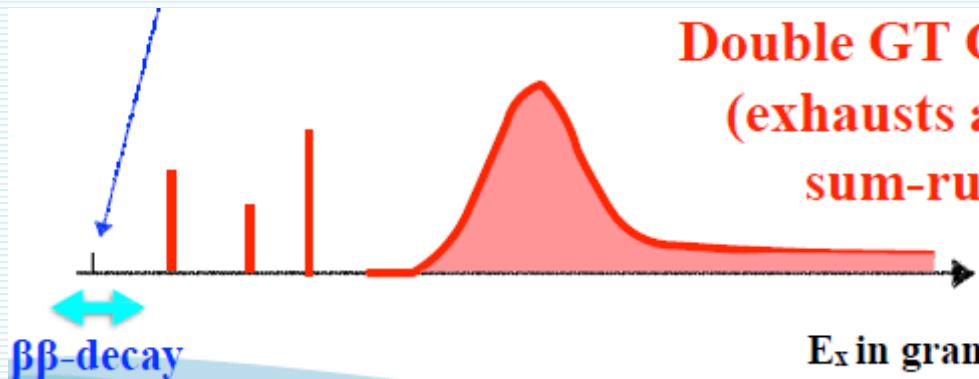
( $2\nu\beta\beta$ -decay,  $\mu$ -capture ChER, pion and heavy ion DCX, nucleon transfer reactions etc)



**H. Lenske group**  
Theory of heavy ion DCX and  
connection to DBD NMEs

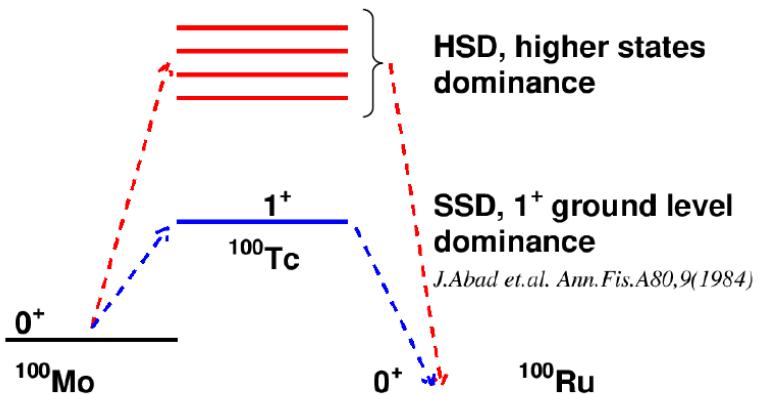
Heavy ion DCX: **NUMEN** (LNC-INFN), **HIDCX** (RCNP/RIKEN)

Double GT Giant resonances  
(exhausts a major part of  
sum-rule strength)



$E_x$  in grand-daughter nucleus

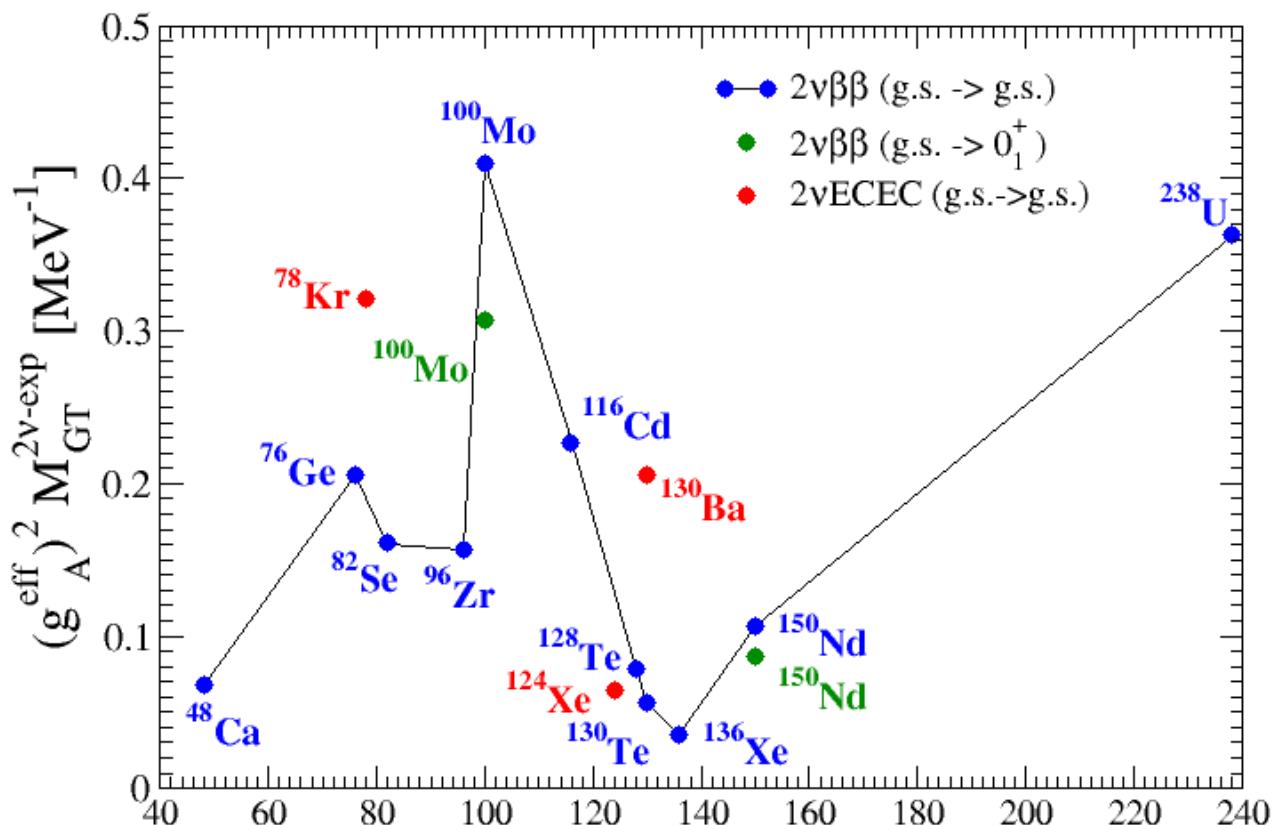
## *The $2\nu\beta\beta$ -decay*



*Understanding of the 2νββ-decay NMEs  
is of crucial importance for correct  
evaluation of the 0νββ-decay NMEs*

$$M_{GT}^{2\nu} = \sum_m \frac{<0_f^+||\tau^+\sigma||1_m^+><1_m^+||\tau^+\sigma||0_i^+>}{E_m - E_i + \Delta}$$

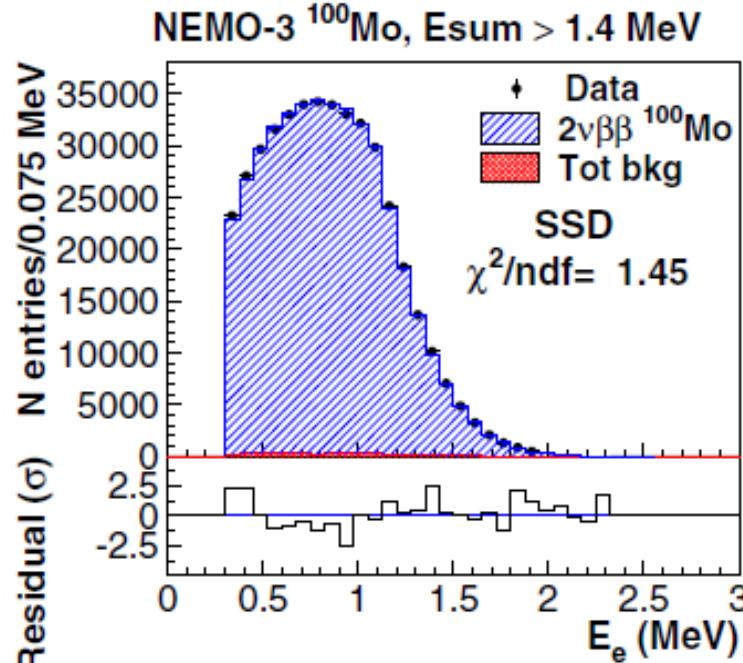
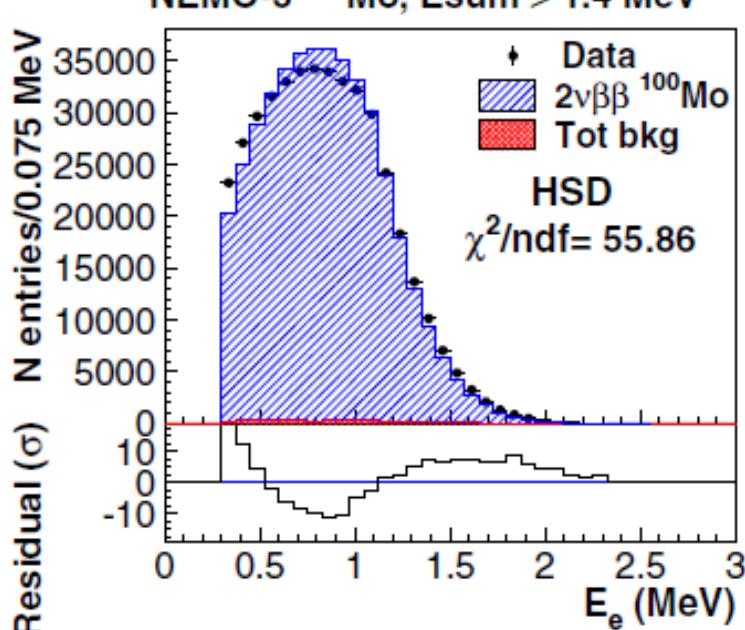
**There is no reliable calculation of the 2νββ-decay NMEs yet**



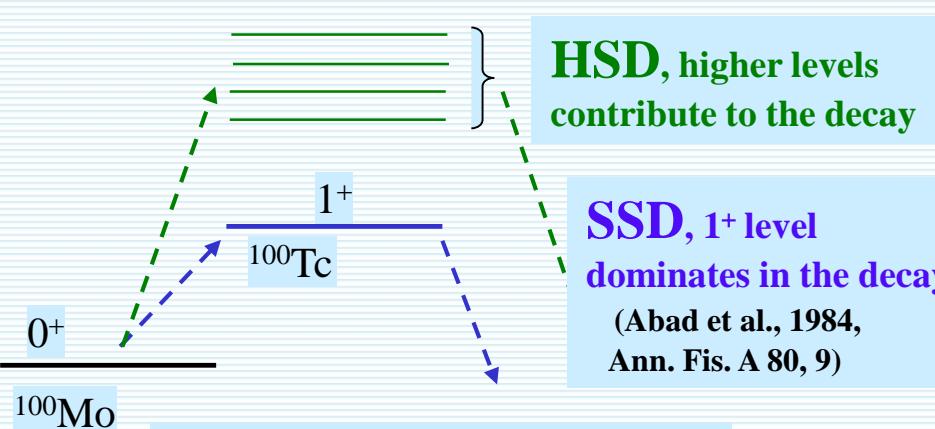
*Both 2νββ and 0νββ operators connect the same states. Both change two neutrons into two protons. Explaining 2νββ-decay is necessary but not sufficient*

Looking  
for  
SSD/HSD  
effect

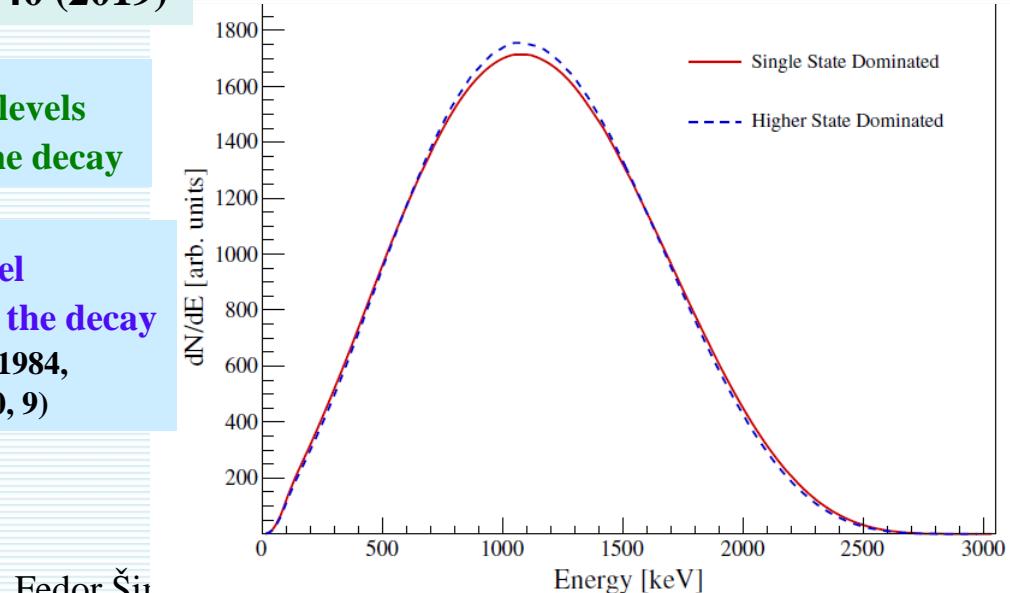
SSD favored  
 $\Rightarrow$   
 Strong trans.  
 through low  
 lying states  
 of  $(A, Z+1)$ ;  
 $M_F^{2\nu} \approx 0$



NEMO3 Collaboration, Eur. Phys. J. C79, 440 (2019)



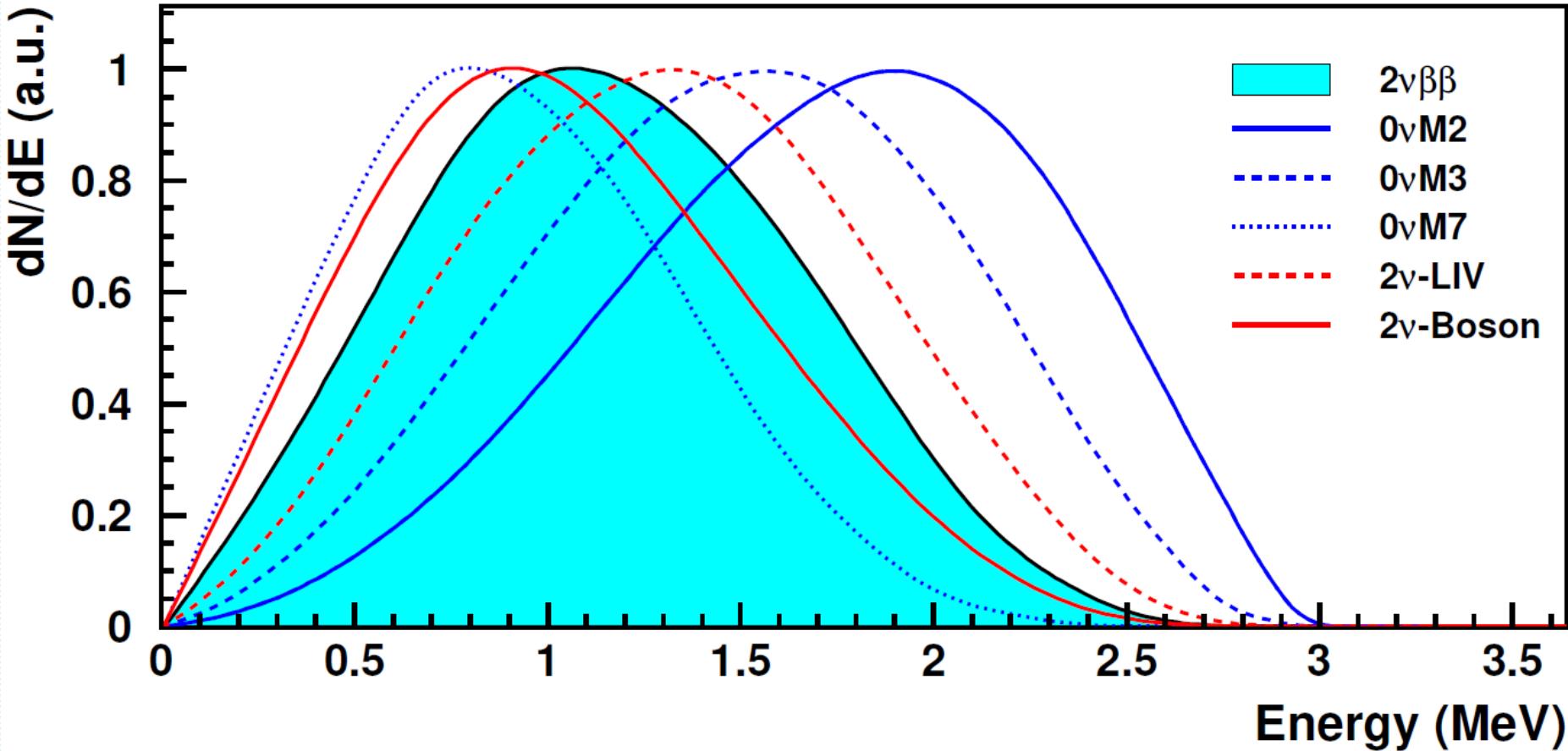
Look at energy distributions  
 F. Š., Šmotlák, Semenov  
 J. Phys. G, 27, 2233, 2001



Fedor Šii

CUPID-0 Coll., PRL 123, 262501 (2019)

# Looking for a new physics with differential characteristics



Spectral index  $n$

12/4/2020

$$\frac{d\Gamma}{d\varepsilon_1 d\varepsilon_2} = C(Q - \varepsilon_1 - \varepsilon_2)^n [p_1 \varepsilon_1 F(\varepsilon_1)] [p_2 \varepsilon_2 F(\varepsilon_2)]$$

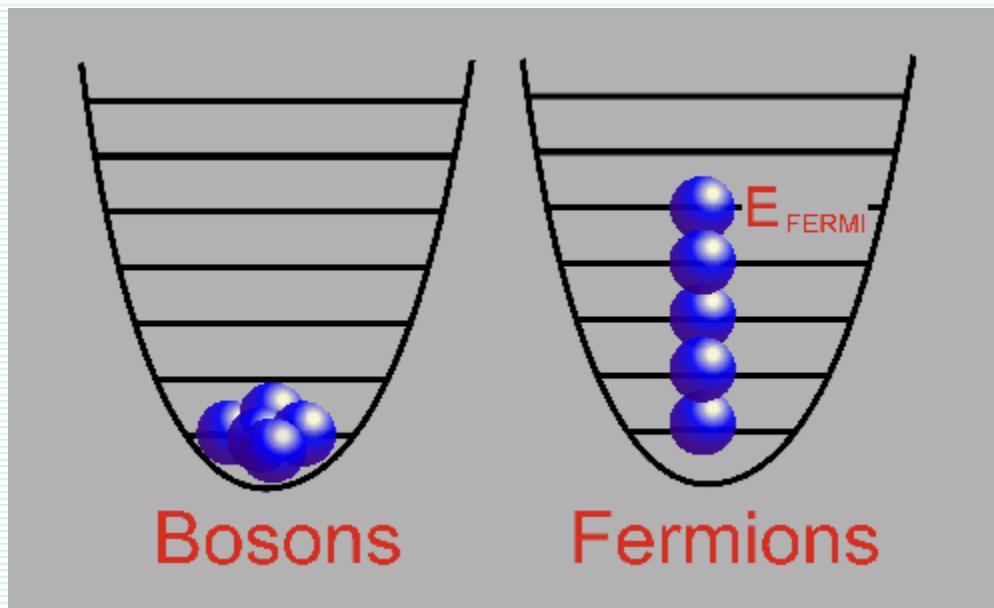
## (Partly)bosonic or fermionic neutrinos?

### Bosons:

In the ground state ( $T=0$ ) all bosons occupy lowest energy state.

### Fermions:

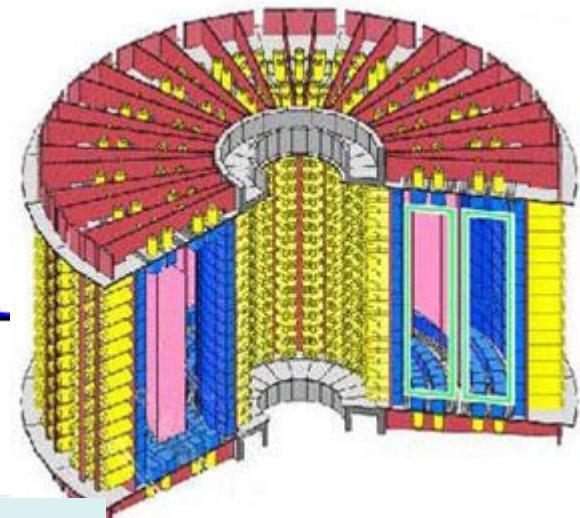
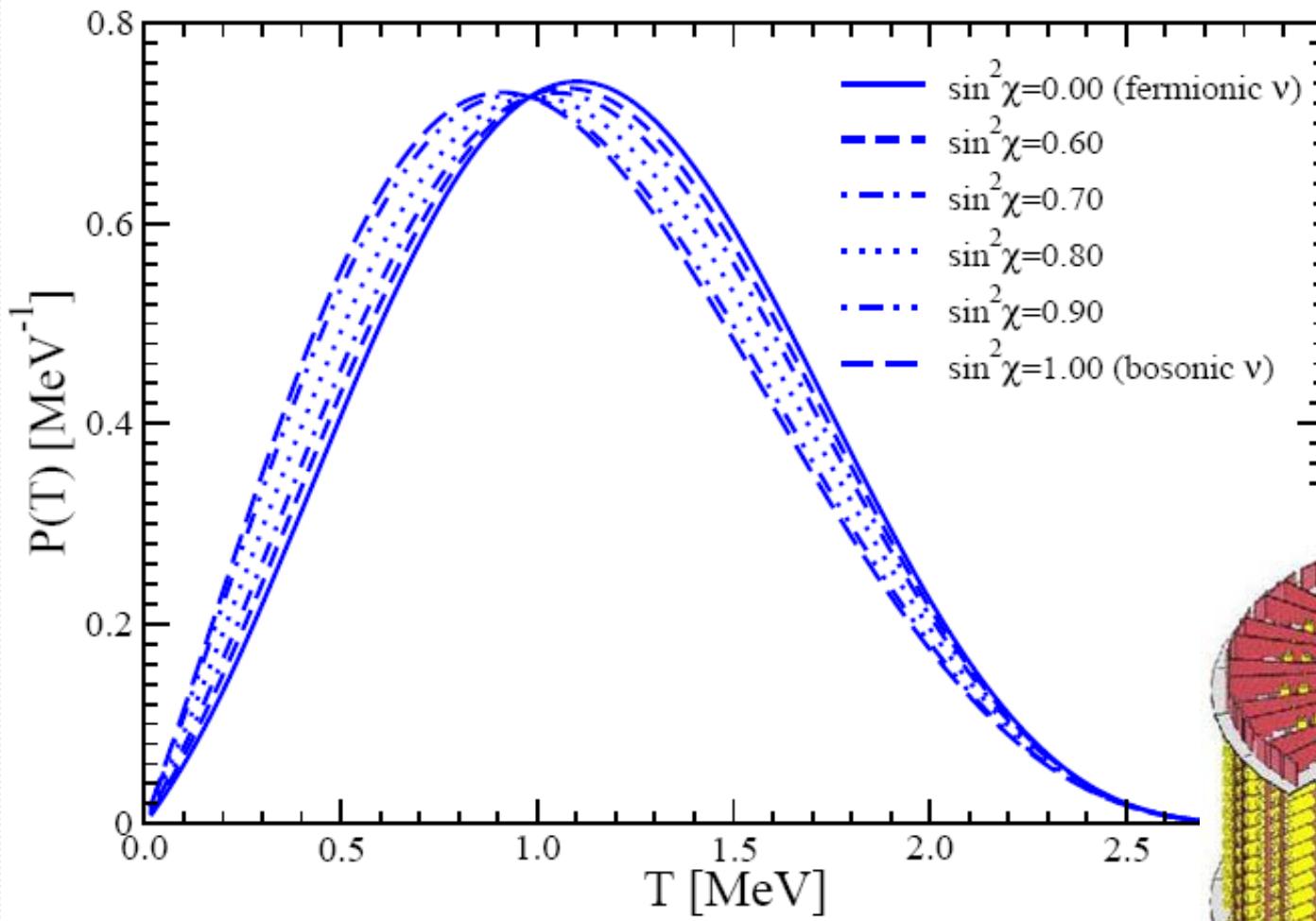
No two fermions can occupy the same state, so in the ground state ( $T=0$ ), fermions stack from The lowest energy level to higher Energy levels, leaving no holes.



# Mixed $\nu$ excluded for $\sin^2\chi < 0.6$ (NEMO3 data)

$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$  (SSD)

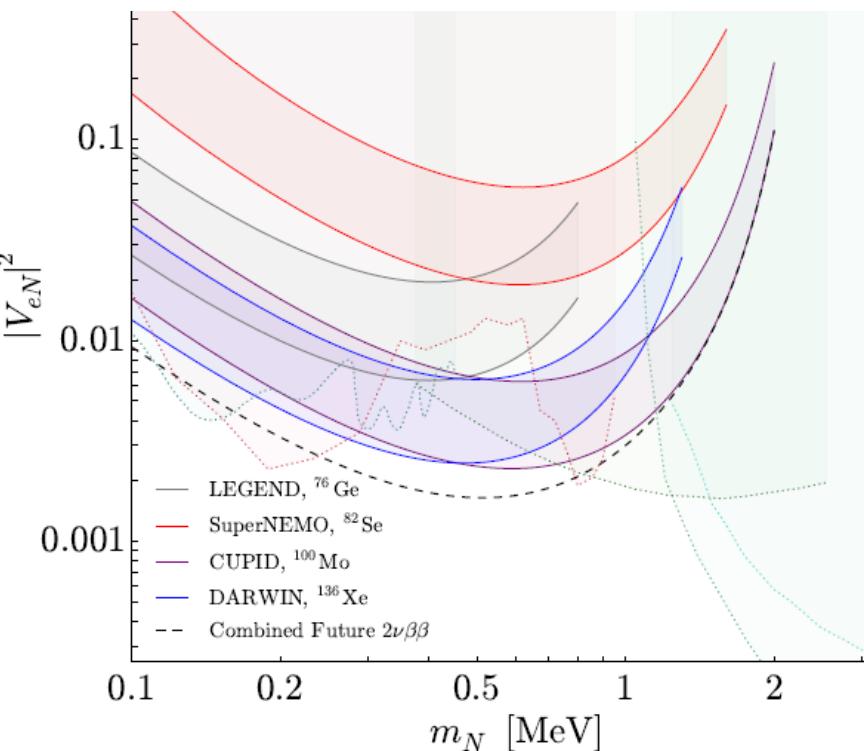
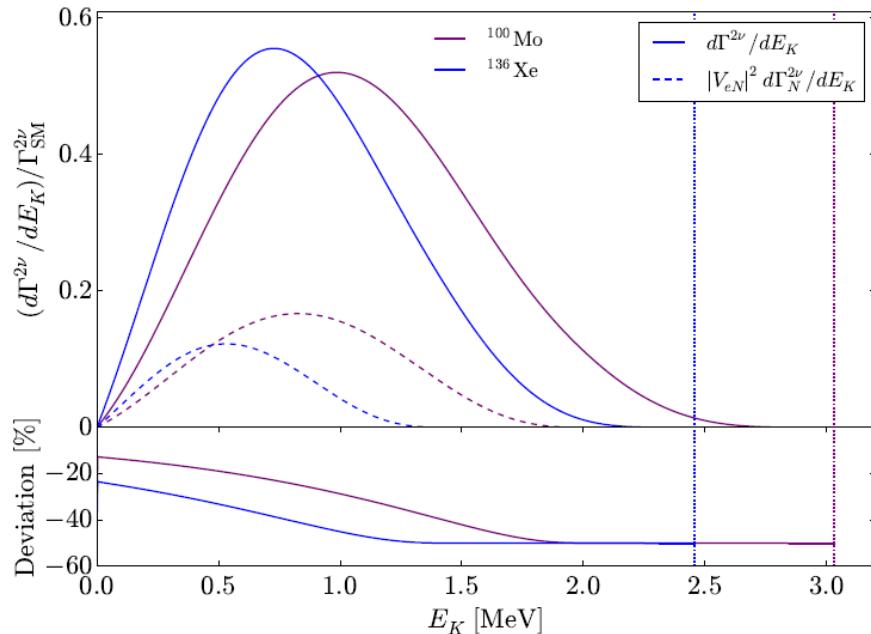
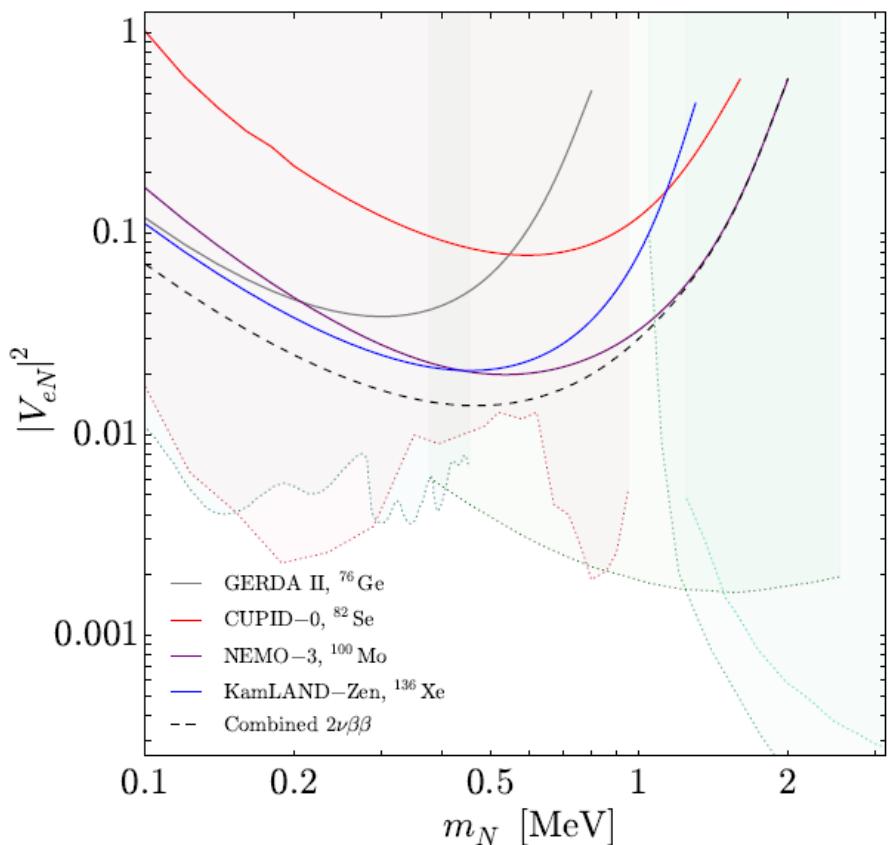
$$\begin{aligned} W^{2\nu} &= \cos\chi^4 W^f + \sin\chi^4 W^b \\ &= (1 - b^2) W^f + b^2 W^b \end{aligned}$$



# Two-neutrino Double Beta Decay with Sterile Neutrinos

P.D. Bolton, F.F. Depisch, L. Graf, F. Š.,  
arXiv: 2011.13387 [hep-ph]

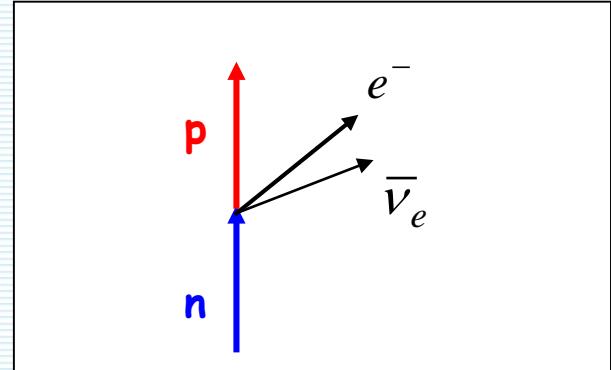
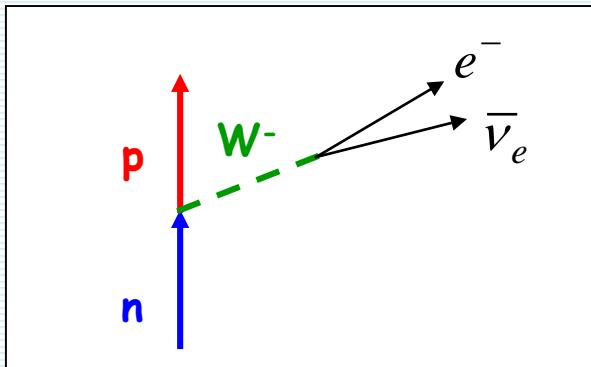
$$\mathcal{L} = \frac{G_F \cos \theta_C}{\sqrt{2}} \left[ (1 + \delta_{\text{SM}}) j_L^\mu J_{L\mu} + V_{eN} j_L^{N\mu} J_{L\mu} + \epsilon_{LR} j_R^{N\mu} J_{L\mu} + \epsilon_{RR} j_R^{N\mu} J_{R\mu} \right] + \text{h.c.}$$



## **V. Quenching of $g_A$ ( $q = g_{eff}^A / g_{free}^A$ )**

**Should  $g_A$  be quenched in medium?**  
**Missing wave-function correlations**  
**Renormalized operator?**  
**Neglected two-body currents?**  
**Model-space truncations?**

# Quenching in nuclear matter: $g_{\text{eff}}^{\text{eff}}_A = q g_{\text{free}}^{\text{free}}_A$



$$\mathcal{L} = -\frac{G_\beta}{\sqrt{2}} [\bar{u}\gamma^\alpha(1-\gamma^5)d] [\bar{e}\gamma^\alpha(1-\gamma^5)\nu_e] \quad \mathcal{L} = -\frac{G_\beta}{\sqrt{2}} [\bar{p}\gamma^\alpha(g_V - g_A\gamma^5)n] [\bar{e}\gamma^\alpha(1-\gamma^5)\nu_e]$$

*CVC hypothesis*

$g_V = 1$  at the quark level

$g_V = 1$  at the nucleon level

$g_V = 1$  inside nuclei

*Quenching of  $g_A$*

$g_A = 1$  at the quark level

$g_{\text{free}}^{\text{free}}_A = 1.27$  at the nucleon level

$g_{\text{eff}}^{\text{eff}}_A = ?$  inside nuclei

**ISM:**  $(g_{\text{eff}}^{\text{eff}}_A)^4 \simeq 0.66$  ( $^{48}\text{Ca}$ ),  $0.66$  ( $^{76}\text{Ge}$ ),  $0.30$  ( $^{76}\text{Se}$ ),  $0.20$  ( $^{130}\text{Te}$ ) and  $0.11$  ( $^{136}\text{Xe}$ )

**QRPA:**  $(g_{\text{eff}}^{\text{eff}}_A)^4 = 0.30$  and  $0.50$  for  $^{100}\text{Mo}$  and  $^{116}\text{Cd}$

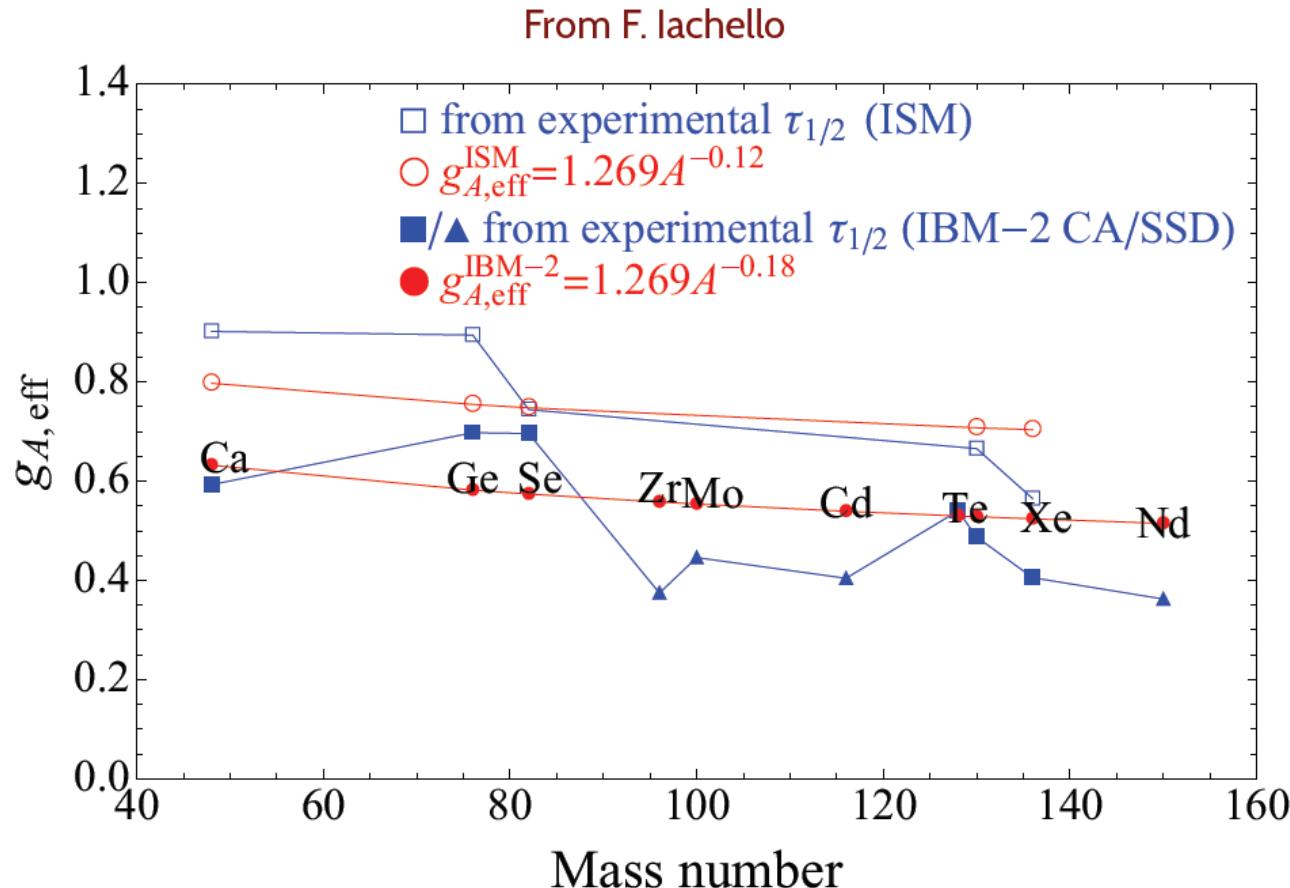
**IBM:**  $(g_{\text{eff}}^{\text{eff}}_A)^4 \simeq (1.269 A^{-0.18})^4 = 0.063$

Faessler, Fogli, Lisi, Rodin, Rotunno, F. Š,  
J. Phys. G 35, 075104 (2008).

# *Quenching of $g_A$ -IBM ( $T_{1/2}^{0\nu}$ suppressed up to factor 50)*

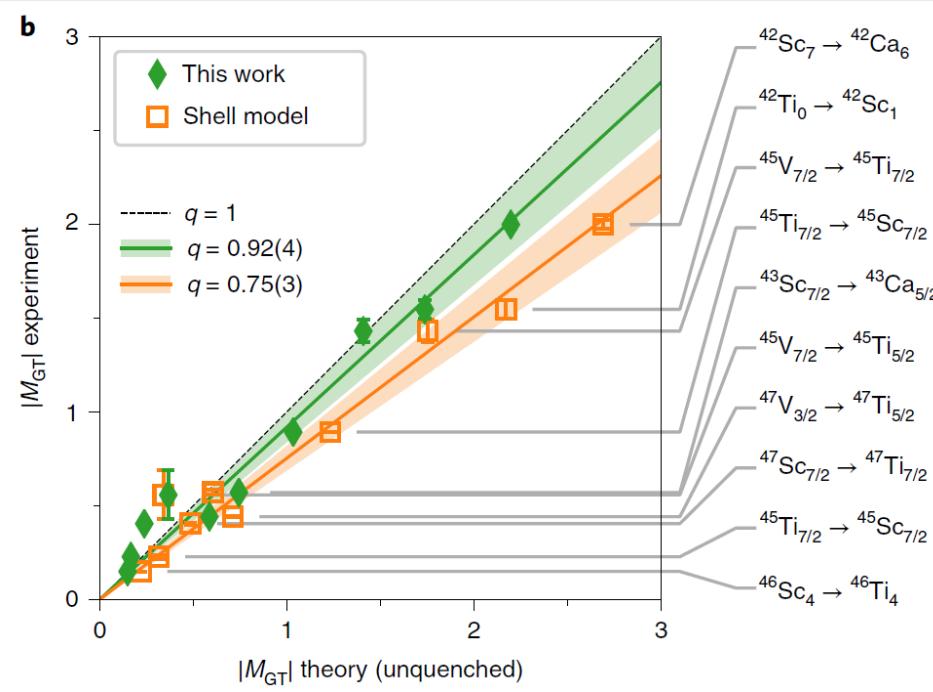
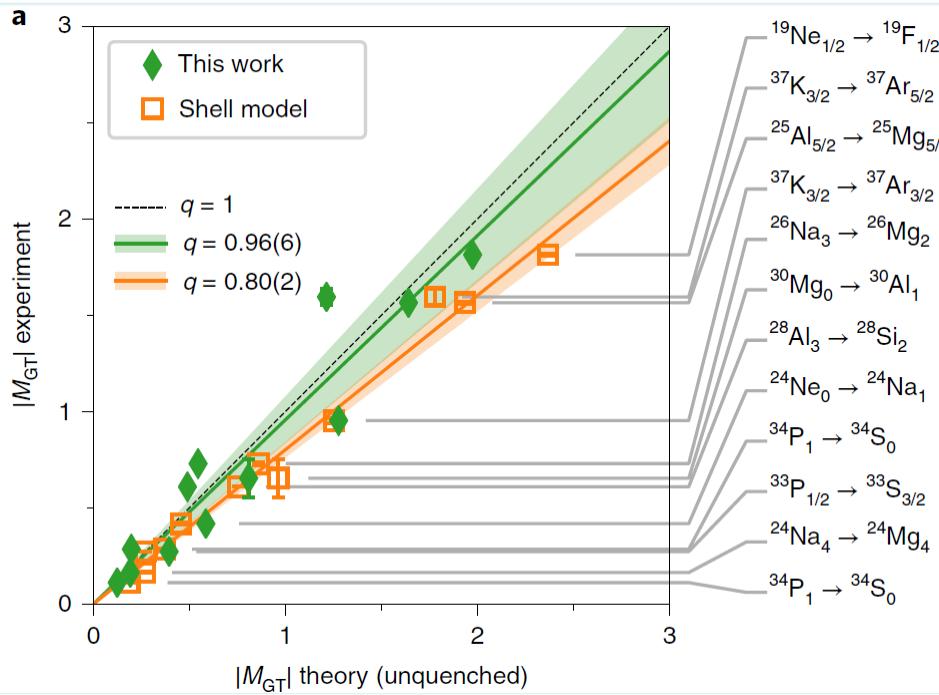
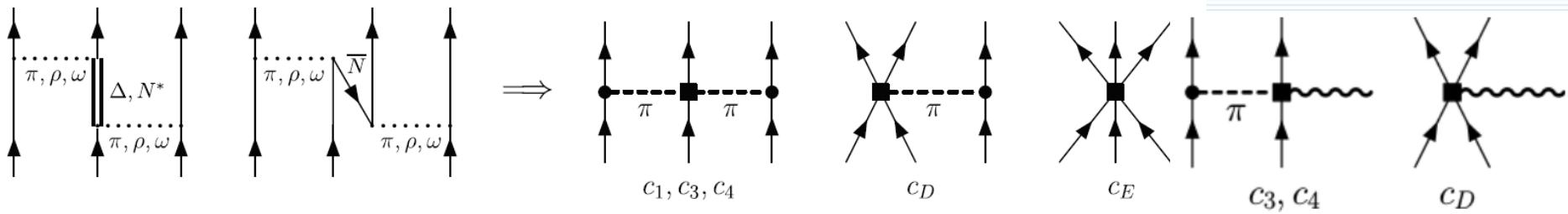
$(g_A^{\text{eff}})^4 \simeq (1.269 \text{ A}^{-0.18})^4 = 0.063$  (The Interacting Boson Model). This is an incredible result. The quenching of the axial-vector coupling within the IBM-2 is more like 60%.

It has been determined by theoretical prediction for the  $2\nu\beta\beta$ -decay half-lives, which were based on within closure approximation calculated Corresponding NMEs, with the measured half-lives.



# Discrepancy between experimental and theoretical $\beta$ -decay rates resolved from first principles

Ab initio calculations  
(light nuclear systems)  
including meson-  
exchange  
currents do not need  
any “quenching”



# Improved description of the $0\nu\beta\beta$ -decay rate (and novel approach of fixing $g_A^{\text{eff}}$ )

F. Š, R. Dvornický, D. Štefánik, A. Faessler, PRC 97, 034315 (2018).

**Let perform  
Taylor expansion**

$$M_{GT}^{K,L} = m_e \sum_n M_n \frac{E_n - (E_i + E_f)/2}{[E_n - (E_i + E_f)/2]^2 - \varepsilon_{K,L}^2}$$

$$\frac{\varepsilon_{K,L}}{E_n - (E_i + E_f)/2} \quad \begin{aligned} \epsilon_K &= (E_{e_2} + E_{\nu_2} - E_{e_1} - E_{\nu_1})/2 \\ \epsilon_L &= (E_{e_1} + E_{\nu_2} - E_{e_2} - E_{\nu_1})/2 \end{aligned} \quad \epsilon_{K,L} \in \left(-\frac{Q}{2}, \frac{Q}{2}\right)$$

**We get**

$$\left[T_{1/2}^{2\nu\beta\beta}\right]^{-1} \simeq \left(g_A^{\text{eff}}\right)^4 \left|M_{GT-3}^{2\nu}\right|^2 \frac{1}{|\xi_{13}^{2\nu}|^2} \left(G_0^{2\nu} + \xi_{13}^{2\nu} G_2^{2\nu}\right)$$

$$M_{GT-1}^{2\nu} = \sum_n M_n \frac{1}{(E_n - (E_i + E_f)/2)}$$

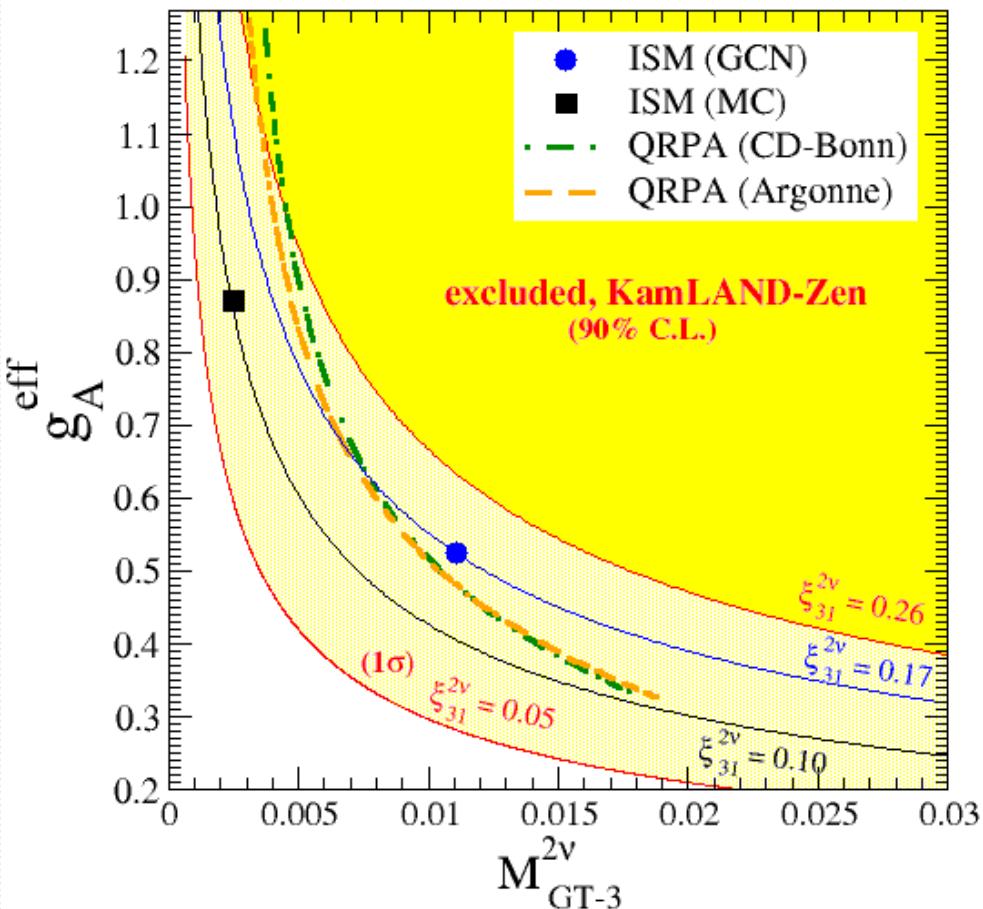
$$M_{GT-3}^{2\nu} = \sum_n M_n \frac{4 m_e^3}{(E_n - (E_i + E_f)/2)^3}$$

$$\xi_{13}^{2\nu} = \frac{M_{GT-3}^{2\nu}}{M_{GT-1}^{2\nu}}$$

*The  $g_A^{\text{eff}}$  can be determined with measured half-life and ratio of NMEs and calculated NME dominated by transitions through low lying states of the intermediate nucleus (ISM)*

The  $g_A^{\text{eff}}$  can be determined with measured half-life and ratio of NMEs  $\xi_{31}^{2\nu}$  and calculated NME dominated by transitions through low lying states of the intermediate nucleus.

$M_{\text{GT-3}}$  have to be calculated by nuclear theory - ISM



$$(g_A^{\text{eff}})^2 = \frac{1}{|M_{\text{GT-3}}^{2\nu}|} \frac{|\xi_{13}^{2\nu}|}{\sqrt{T_{1/2}^{2\nu-\text{exp}} (G_0^{2\nu} + \xi_{13}^{2\nu} G_2^{2\nu})}}$$

$$M_{\text{GT-1}}^{2\nu} = \sum_n M_n \frac{1}{(E_n - (E_i + E_f)/2)}$$

$$M_{\text{GT-3}}^{2\nu} = \sum_n M_n \frac{4 m_e^3}{(E_n - (E_i + E_f)/2)^3}$$

$$\xi_{13}^{2\nu} = \frac{M_{\text{GT-3}}^{2\nu}}{M_{\text{GT-1}}^{2\nu}}$$

KamLAND-Zen Coll. (+J. Menendez, F.Š.),  
Phys.Rev.Lett. 122, 192501 (2019)

# Measurement of GT strength via $\mu$ -capture



J-PARC 3-50 GeV p,  $\nu$ ,  $\mu$

## Contradicting results:

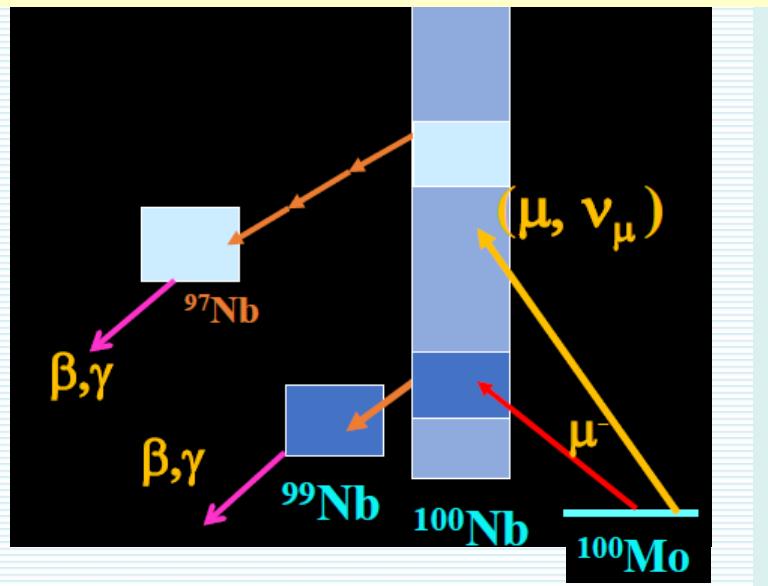
- Strong quenching

Jokiniemi, Suhonen, PRC 100, 014619 (2019)

- Weak quenching

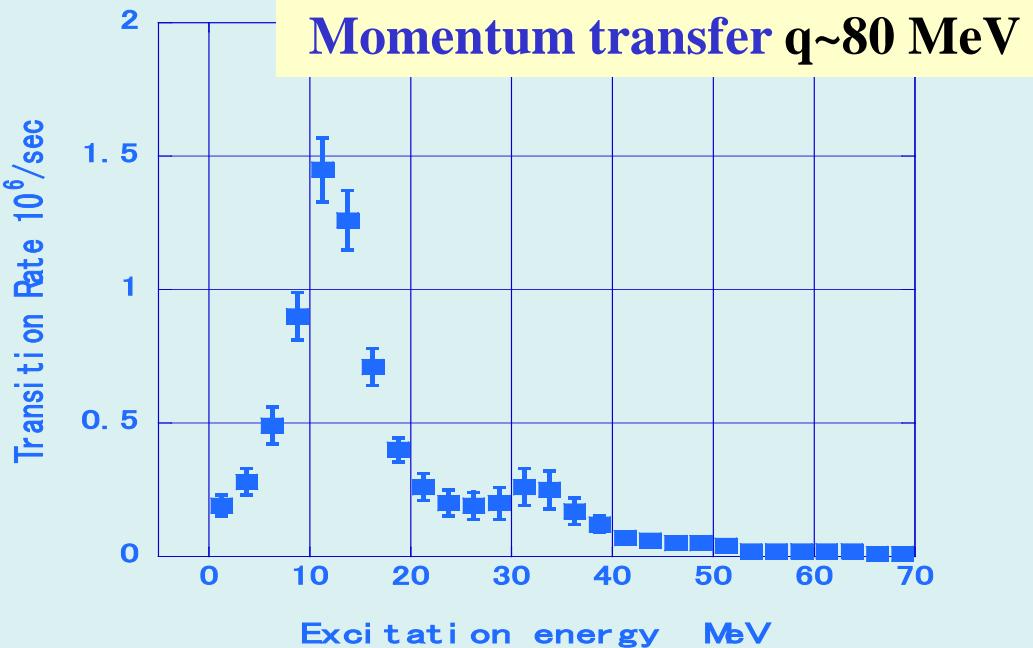
Zinner, Langanke, Vogel PRC 74, 024326 (2006)

Marketin, Paar, Niksic, Vretenar PRC 79, 054323 (2009)

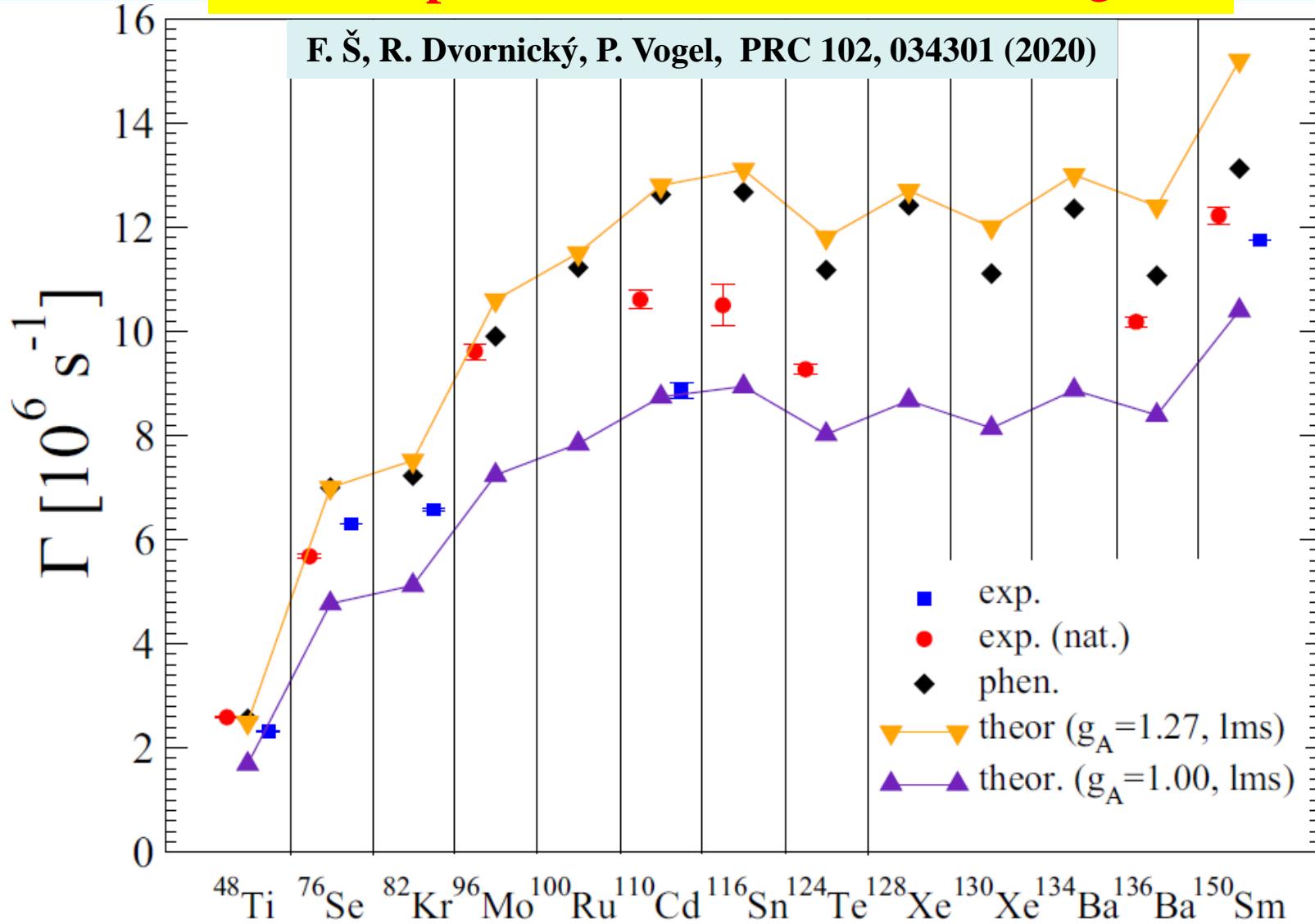


⇒ Small basis nuclear structure calculations (NSM, IBM) are disfavored. ⇒

I. Hashim H. Ejiri , MXG16, PR C 97 2018



# Muon capture rates evaluated within QRPA



In agreement with soft quenching Zinner, Langanke, Vogel PRC 74, 024326 (2006);

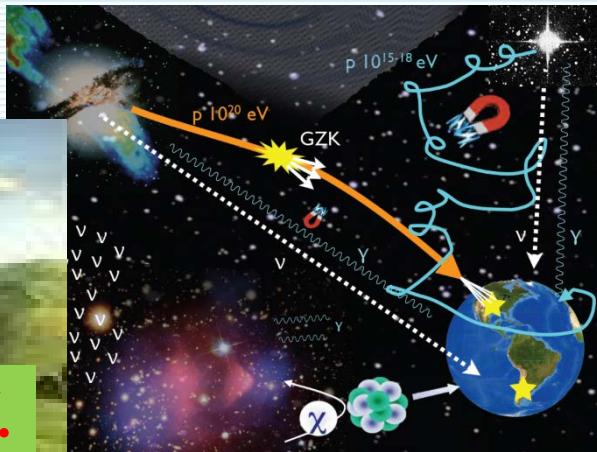
Marketin, Paar, Niksic, Vretenar PRC 79, 054323 (2009)

Contradicting results: strong quenching Jokiniemi, Suhonen, PRC 100, 014619 (2019)

Thank You!



$\nu$ 's, the  
Standard  
Model  
misfits



We are at  
the beginning  
of the **Beyond  
Standard Model**  
Road...

people often *overestimate* what will happen in the next *two years*  
and *underestimate* what will happen in *ten* (Bill Gates)



4th of Dec. 1930

*Journal - Photocopy of PLC 0393  
Abschrift/15.12.55 PW*

Offener Brief an die Gruppe der Radiaktiven bei der Gauvereins-Tagung zu Tübingen.

#### Abschrift

Physikalisches Institut  
der Eidg. Technischen Hochschule  
Zürich

Zürich, 4. Des. 1930  
Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich huldvollst anshören bitte, Ihnen des näheren ausseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen verzweifelten Ausweg verfallen um den "Wechselseits" (1) der Statistik und dem Energiesatz zu retten. Möglicherweise, es könnten elektrisch neutrale Teilchen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschließungsprinzip befolgen und sich von Lichtquanten ausscheiden noch dadurch unterscheiden, dass sie nicht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen müsste von derselben Grossenordnung wie die Elektronenmasse sein und jedenfalls nicht grösser als 0,01 Protonenmasse.. Das kontinuierliche beta-Spektrum wäre dann verständlich unter der Annahme, dass beim beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert wird, derart, dass die Summe der Energien von Neutron und Elektron konstant ist.

Nun handelt es sich weiter darum, welche Kräfte auf die Neutronen wirken. Das wahrscheinlichste Modell für das Neutron scheint mir aus wellenmechanischen Gründen (näheres weiss der Ueberbringer dieser Zeilen) dieses zu sein, dass das ruhende Neutron ein magnetischer Dipol von einem gewissen Moment  $\mu$  ist. Die Experimente verlängern wohl, dass die ionisierende Wirkung eines solchen Neutrons nicht grösser sein kann, als die eines gamma-Strahls und darf dann  $\mu$  wohl nicht grösser sein als  $e \cdot (10^{-13} \text{ cm})$ .

Ich traue mich vorlufig aber nicht, etwas über diese Idee zu publizieren und wende mich erst vertraulich an Euch, liebe Radiaktive, mit der Frage, wie es um den experimentellen Nachweis eines solchen Neutrons stände, wenn dieses ein ebensoliches oder etwa 10mal grösseres Durchdringungsvermögen besitzen würde, wie ein gamma-Strahl.

Ich gebe zu, dass mein Ausweg vielleicht von vornherein wenig wahrscheinlich erscheinen wird, weil man die Neutronen, wenn sie existieren, wohl schon längst gesehen hätte. Aber nur wer wagt, gewinnt und der Ernst der Situation beim kontinuierlichen beta-Spektrum wird durch einen Ausspruch meines verehrten Vorgängers im Amt, Herrn Debyes, beleuchtet, der mir förmlich in Brüssel gesagt hat: "O, daran soll man am besten gar nicht denken, sowie an die neuen Steuern." Darum soll man jeden Weg zur Rettung ernstlich diskutieren. Also, liebe Radiaktive, prüft, und richtet. Leider kann ich nicht persönlich in Tübingen erscheinen, ich schlage infolge eines in den Nacht von 6. um 7. Dez. in Zürich stattfindenden Balles hier unabschöpflich ein. Mit vielen Grüßen an Euch, sowie an Herrn Baek, Euer untertanigster Diener

W. Pauli



90 years of  
 $\nu$ -physics!



The best that most of us can hope to achieve in physics is simply to misunderstand at a deeper level.

— Wolfgang Pauli —

AZ QUOTES

I have done a terrible thing. I invented a particle that cannot be detected. Wolfgang Pauli